

Canadian Lawyers Liability Assurance Society
2019/2020 Renewal Application for
Excess Professional Liability Insurance

This application is made by the undersigned member (the "Firm") of the Canadian Lawyers Liability Assurance Society ("CLLAS") for issuance by CLLAS to the Firm of policies of professional liability insurance.

Note: *The policies applied for are "claims made" policies and only provide coverage for claims first made against the Insured during the policy period.*

Please answer **ALL** questions. Where space to answer is insufficient, attach a separate sheet.

1. Name of Firm (Named Insured): McMillan LLP
2. Address of principal office: Brookfield Place, Suite 4400, Bay Wellington Tower
181 Bay Street, Toronto ON M5J 2T3
Phone: (416) 865-7000 Fax: (416) 865-7048
3. Address, phone and fax numbers of other office(s):
See attached Exhibit 1
4. Management or service companies, date(s) established and services provided:
See attached Exhibit 2
5. Is the Firm a multi-disciplinary partnership ("MDP")? ☐ yes ☒ no
If "yes", provide date MDP was established and name the non-lawyer partners and their respective disciplines.

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6. Since the most recent CLLAS application, has the name of the Firm been changed, or has any firm merged into the Firm? If so, give full particulars (including the number of lawyers merged into the Firm in each such situation) unless previously provided.

No

7. Attached as Appendix A is a list of the Firm's predecessor firms resulting from mergers since July 1, 1987. Is the list complete?

☒ yes ☐ no

If "no", please provide update.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

8. Please complete Appendices B and C to provide the following details as of March 1, 2017:

- a) Number of lawyers (including partners, employed lawyers, counsels/of counsels and lawyer consultants).
- b) Number of patent & trademark agents (who are not lawyers).
- c) Number of other non-lawyer consultants.
- d) Number of paralegals.
- e) Number of other employees.
- f) If applicable, the number of lawyers who are not partners, employed lawyers, counsels/of counsels or lawyer consultants of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm. Please identify such individuals and professional corporations as requested in Appendix B.

Note: A common professional corporation structure is one where the lawyer remains a partner of the firm but the firm contracts with a professional corporation to provide the services of the partner to the firm via the professional corporation. Those lawyers would be accounted for in a) above. Question f) is intended to address an alternative structure whereby the professional corporation itself is a partner of the firm and it contracts directly or via another professional corporation with a lawyer to provide professional services.

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9. Please show the Firm's practice split by indicating the approximate percentage of billings for the following areas of law:

	<u>This Year</u>	<u>Last Year</u>
a) Corporate and Commercial Law	30.7 %	32.06 %
b) Criminal Law	0.0 %	0.0 %
c) Family Law	0.0 %	0.0 %
d) Intellectual Property	0.0 %	0.0 %
e) Labour Law	incl. in litigation %	incl. in litigation %
f) Litigation	22.52 %	20.76 %
g) Real Estate	10.07 %	11.04 %
h) Securities Law	17.60 %	16.90 %
i) Tax Matters	4.61 %	4.80 %
j) Wills, Estates, Trust	0.0 %	0.00 %
k) Other (please specify)	14.50 %	14.42 %
Regulatory, Misc. Students, Administration		

10. Have any of the lawyers or non-lawyer consultants listed in Appendices B and C or former lawyers or former non-lawyer consultants of the Firm been the subject of disciplinary proceedings, suspended or disbarred from practice since the date of the Firm's most recent CLLAS application? ☐ yes ☒ no

If "yes", please provide full details:

11. Attached as Appendix D is a description of the "Associated Firms" and "Umbrella Firms" which are identified in the Associated Firm Endorsement (Endorsement No. 1) of the current CLLAS Primary Policy. Please verify, update where appropriate and advise CLLAS of any anticipated changes.
12. Canadian law society programs may restrict coverage if Professional Services are provided outside of Canada or if the Professional Services relate to non-Canadian law. The CLLAS Primary Policy also excludes coverage for lawyers providing Professional Services from a U.S. office as well as the practice of non-Canadian law. Please provide details of such services in Appendix E.
13. Attached as Appendix F is a schedule of claims and notices which have been given to the applicable law society and CLLAS as of December 31, 2018. Please verify and provide any changes in status. All known claims or notices that are not on the schedule, including those reported to any underlying insurance carrier, should be reported up to the date of this application. **However, updates thus reported are not considered official notice of claim to CLLAS.**
- If applicable, CLLAS will also require an update on claims of your predecessor firms which were reported prior to any merger which are paid or currently reserved excess of \$500,000.

Note: Details required on all claims or notices are: name of lawyer, name of claimant, date claim reported, error date, date claim closed (if applicable), a brief description of the claim, including damages sought, amount paid (legal & indemnity) and amount reserved (legal & indemnity).

14. Will the Firm purchase coverage under the CLLAS optional excess layer?

☒ yes ☐ no

If "yes", please indicate preferred limit option:

<input type="checkbox"/> \$10M xs \$160M	<input type="checkbox"/> \$20M xs \$160M
<input type="checkbox"/> \$30M xs \$160M	<input type="checkbox"/> \$40M xs \$160M
<input type="checkbox"/> \$50M xs \$160M	<input checked="" type="checkbox"/> \$60M xs \$160M

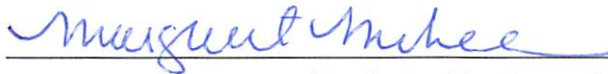
15. Under Appendix G, please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

16. Please complete Appendix H to provide underwriting information with respect to cyber liability.

17. Please attach as Appendix I copy of the Firm's 2018 Professional Liability Insurance Application and Exemption Form submitted to LawPro.

The undersigned hereby declares that the above statements and particulars, including those set forth in Appendices A through I, are true and that no material facts have been omitted, suppressed or misstated and that this application, which is deemed to include the information from any previous applications completed by the Firm for CLLAS, shall be the basis of each of the insurance contracts with CLLAS.

Signature: _____



(Must be signed by a Partner of the Firm)

Name of Signatory: _____

Margaret McNee, General Counsel/Chief Risk Officer

(Who shall be the designated contact person between CLLAS & the Firm as respects this insurance.)

Date: May 2019

EXHIBIT " 1"
OFFICES OF McMILLAN LLP

McMillan LLP
2700-1000 Sherbrooke St. W.
Montreal, QC H3A 3G4
Phone: (514) 987-5000
Fax: (514) 987-1213

McMillan LLP
Suite 2000, 45 O'Connor Street
Ottawa, ON K1P 1A4
Phone: (613) 232-7171
Fax: (613) 231-3191

McMillan LLP
Brookfield Place, Suite 4400
Bay Wellington Tower
181 Bay Street
Toronto, ON M5J 2T3
Phone: (416) 865-7000
Fax: (416) 865-7048

McMillan LLP
TD Canada Trust Tower
421 7th Avenue S.W.
Suite 1700
Calgary, ALB T2P 4K9
Phone: (403) 531-4700
Fax: (403) 531-4720

McMillan LLP
1500 Royal Centre
1055 West Georgia St.
Vancouver, BC V6E 4N7
Phone: (604) 689-9111
Fax: (604) 685-7084

McMillan LLP
3502 Tower 2, Lippo Centre
89 Queensway
Hong Kong
Phone: (852) 3101-0213
Fax: (852) 3585-1233

Exhibit "2"
McMillan LLP
Corporate and Service Entities
May 2, 2019

Name of Entity	Date Established	Service Provided
6390064 Canada Inc.	May 10, 2005	General partner of MServices LP
6390676 Canada Inc.	May 11, 2005	General partner of 7686943 Canada LP
MB Holdings Corporation	December 20, 2002	Holds Toronto office lease
MB Services Corporation	February 1, 1991	Former service corporation that holds some old fixed assets
MServices Limited Partnership (formerly MB Services Limited Partnership)	December 10, 1998	Administrative services entity for McMillan LLP
McMillan Alberta Holdings Inc.	March 27, 2009	Holds lease for Calgary office
Menrose Management Services Inc.	February 1, 1987	Holds lease for Montreal office; former administrative services entity for McMillan LLP
Lang Michener	January 14, 2011	Partnership between 7686943 Canada Limited Partnership and 7686960 Canada Inc.
LML&S Services Inc.	June 27, 1990	Acts as B.C. Attorney for Service for extra-provincially registered companies, as well as escrow agent in commercial transactions

Name of Entity	Date Established	Service Provided
Double India Holding Company Limited	April 28, 1993	Holds the Vancouver office lease
1055 Corporate Services Ltd.	March 4, 2004	Acts as Incorporator (and first shareholder) for BC companies when expedited incorporation required
7686943 Canada Inc.	October 27, 2010	Ottawa tenant
7686960 Canada Inc.	October 27, 2010	Holds a nominal interest in Lang Michener on behalf of McMillan LLP
7686943 Canada Limited Partnership	November 1, 2010	Holds substantially all of McMillan's interest in Lang Michener
Lang Michener Management Corp.	February 1, 1994	Former administrative services entity for Lang Michener
McMillan Holdco Inc.	November 3, 2016	General Partner of McMillan Vantage Holdings LP; holds 50% interest in McMillan GR Inc.
McMillan Vantage Holdings LP	November 14, 2016	Holds 50% interest in McMillan Vantage Policy Group LP
McMillan GR Inc.	November 3, 2016	General Partner of McMillan Vantage Policy Group LP
McMillan Vantage Policy Group LP	November 14, 2016	Services include government relations and communications
Prefix Legal LLP	October 1, 2016 (registered October 25, 2016)	Carries on the practice of law separate from McMillan LLP

APPENDIX A

PREDECESSOR FIRMS

Name of Firm: McMillan LLP

	<u>Merger Date</u>
McMillan Binch	
McMillan Binch LLP	
Mendelsohn s.e.n.c.	May 1, 2005
McMillan Binch Mendelsohn LLP	
Thackray Burgess Professional Corporation (TB) *	May 1, 2009
Blanie & Company (predecessor firm of TB)	
Evans Higa Burgess (predecessor firm of TB)	
Lang Michener LLP	January 1, 2011
Lang Michener (changed to LLP in October 2003)	
Lang Michener Lawrence & Shaw (Lang Michener's former name in Toronto, Mississauga and Western Canada)	
Lang Michener Honeywell Wotherspoon (Lang Michener's former name in Ottawa)	
Lang Michener Lash Johnston Smith, Shaver	
Honeywell, Wotherspoon (Ottawa)	April 1, 1990
Lawrence & Shaw (Vancouver)	June 7, 1989
Lash, Johnston	1986
Lang Michener Cranston Farquharson & Wright Robertson Ward Suderman (Toronto)	May 1, 1990

* While Thackray Burgess did not formally merged with McMillan LLP, for the purpose of insurance, CLAS considered this as a merger and recognized Thackray Burgess as a predecessor firm. McMillan offered employment to certain former Thackray Burgess lawyers and certain other lawyers from that firm became partners at McMillan.

Note: A predecessor firm is one a) which has undergone dissolution; and b) in which more than 50% of the partners and employed lawyers became partners and employed lawyers of the Firm.

APPENDIX B
ACTIVE MEMBERS OF THE FIRM AS OF MARCH 1, 2019

Name of Firm: McMillan LLP

	<u>CANADA</u>					<u>OUTSIDE OF CANADA</u> ^{/5}	
	<u>B.C.</u>	<u>Alberta</u>	<u>Ontario</u>	<u>Quebec</u>	<u>Other Provinces</u> <u>(Please specify)</u>	<u>U.S.</u>	<u>Other</u> <u>Locations</u>
a) No. of Lawyers ^{/1}	<u>41</u>	<u>10</u>	<u>114</u>	<u>31</u>	<u>1</u>		<u>Hong Kong</u>
b) No. of Patent & Trademark Agents ^{/2}	<u>0</u>	<u>0</u>	<u>2</u>	<u>0</u>	<u>0</u>		
c) No. of Non-lawyer Consultants ^{/3}	<u>3</u>	<u>0</u>	<u>5</u>	<u>0</u>	<u>1</u>		
d) No. of Paralegals	<u>26</u>	<u>4</u>	<u>28</u>	<u>13</u>	<u>0</u>		
e) No. of Other Employees	<u>78</u>	<u>16</u>	<u>242</u>	<u>34</u>	<u>0</u>		
f) No. of lawyers who are not employees of the Firm who, directly or indirectly, provide services to professional corporations which are partners of the Firm ^{/4}	<u>28</u>	<u>5</u>	<u>34</u>	<u>1</u>	<u>0</u>		

^{/1} Including partners, employed lawyers, counsels/of counsels and lawyer consultants.

^{/2} These are not lawyers.

^{/3} Please complete Appendix C if individuals are reported under this category.

^{/4} Lawyers reported here should not be included under a). (See note at Question 8.f) of the application.)

^{/5} Please complete Question 3 of Appendix E to provide further information on lawyers reported under these columns.

Please attach a list of the lawyers reported under a) above, showing in each case his/her full name, date of call, date joined the Firm and, if applicable, date became partner.

Please attach a list of the names of the individuals reported under f) above, together with the names of the professional corporations to which they provide services.

If underlying insurance is purchased outside any Canadian mandatory law society program for lawyers, please provide full details under Appendix E, Question 4.

If members of the Firm, either alone or with others, engage in the conduct of any profession or business other than the practice of law (e.g. financial management, mortgage brokering or other consulting; underwriting or brokering of securities or investment banking activities; real estate appraisal; actuarial analysis) either directly or indirectly as an agent, employee or partner of any organization, please give full particulars.

Employee Number	Employee Name (Last Suffix, First MI)	Job	ROG	Classification	Work Location	Year of Call	Date Joined Firm	Date Became Partner
11598	Abouchaker, Christian	Associate	Business Law	Associates	Montreal	2015	05/12/2014	
10001	Adamson, Cate (Alice) E.	Principal	Advocacy & Employment	Principal	Toronto	2012	05/09/2011	
11922	Allon, Morvyn D.	Counsel	Commercial Real Estate	Counsel	Toronto	1999	06/27/2016	
11166	Allison, Mitchell	Associate	Commercial Real Estate	Associates	Calgary	2014	05/07/2012	
10011	Ammerman, Darcy	Principal	Financial Services	Principal	Ottawa	2011	05/04/2009	
11823	Annibale, Jason J.	Equity Partner	Advocacy & Employment	Partner	Toronto	2002	01/05/2009	01/01/2016
11862	Backus, Gavin	Associate	Capital Markets & M&A	Associates	Vancouver	2018	05/02/2016	
10038	Badali, Gerald	Counsel	Financial Services	Counsel	Toronto	1983	04/17/2000	
11585	Bains, Ravipal S.	Associate	Capital Markets & M&A	Associates	Vancouver	2016	05/05/2014	
11542	Barfai, Geza	Counsel	Advocacy & Employment	Counsel	Toronto	1978	02/17/2014	02/17/2014
12248	Barbeau, James Paul	Counsel	Capital Markets & M&A	Counsel	Calgary	2004	05/28/2018	05/28/2018
12355	Barton, Nicholas	Associate	Commercial Real Estate	Associates	Calgary	2016	03/11/2019	
11730	Bates, ChristieJaneClark	Associate	Technology & IP	Associates	Toronto	2017	05/04/2015	
11516	Bathgate, Benjamin	Equity Partner	Advocacy & Employment	Partner	Toronto	2005	04/09/2007	01/01/2015
10056	Beaudin, Patrice	Counsel	Financial Services	Counsel	Montreal	1991	02/01/2005	01/01/2014
12033	Beaudrie, Gerald	Equity Partner	Business Law	Partner	Toronto	2006	05/02/2005	01/01/2017
11494	Betts, Douglas	Counsel Hong Kong	Capital Markets & M&A	Counsel	Hong Kong	1978	06/01/2015	
11874	Bhinder, Guneev	Associate	Advocacy & Employment	Associates	Toronto	2018	05/02/2016	
10072	Bird, Keith D.	Equity Partner	Regulatory	Partner	Toronto	1999	06/30/1997	01/01/2015
11184	Boothoo, Michelle	Associate	Financial Services	Associates	Toronto	2014	05/14/2012	
11873	Borojeni, Alma	Associate	Commercial Real Estate	Associates	Toronto	2018	05/02/2016	
10084	Boshyk, Paul	Principal	Advocacy & Employment	Principal	Toronto	2012	05/09/2011	
11545	Brazil, Laura	Principal	Advocacy & Employment	Principal	Toronto	2011	03/03/2014	
11182	Brown-Okunhila, Stephen	Associate	Advocacy & Employment	Associates	Toronto	2014	05/14/2012	
12146	Bruvels, Nicholas	Associate	Capital Markets & M&A	Associates	Toronto	2017	10/02/2017	
10104	Burns, Michael A.	Counsel	Capital Markets & M&A	Counsel	Toronto	1992	01/01/2002	03/13/2017
11693	Catimel-Marchand, Émile	Associate	Financial Services	Associates	Montreal	2016	01/05/2015	
2069	Chad, Joshua	Associate	Regulatory	Associates	Toronto	2013	05/09/2011	
12328	Chisholm, Adam	Equity Partner	Advocacy & Employment	Partner	Toronto	2009	05/07/2007	01/01/2019
12360	Christien, Josane	Counsel	Advocacy & Employment	Counsel	Montreal	2003	03/25/2019	
12352	Clemens, Marie	Counsel	Advocacy & Employment	Counsel	Toronto		03/06/2019	
10167	Collins, Paul	Equity Partner	Capital Markets & M&A	Partner	Toronto	1986	07/04/1984	01/01/2015
11596	Conan, Charlotte E.	Counsel	Capital Markets & M&A	Counsel	Toronto	1997	05/12/2014	08/31/2015
11640	Cooper, Rachel	Counsel	Advocacy & Employment	Counsel	Toronto	2005	08/05/2014	
11574	Coughlin, Sean K.	Associate	Commercial Real Estate	Associates	Toronto	2016	05/05/2014	
10174	Cowan, David	Equity Partner	Capital Markets & M&A	Partner	Vancouver	1980	02/02/2003	01/01/2015
11865	Csaszar, Emily A.	Associate	Financial Services	Associates	Vancouver	2018	05/02/2016	
12310	Cuervo-Lorens, Ralph	Counsel	Regulatory	Counsel	Toronto	1991	10/22/2018	10/22/2018
11390	Cullen, Timothy	Associate	Advocacy & Employment	Associates	Ottawa	2015	05/06/2013	
11582	Cuthill, Natalie	Associate	Advocacy & Employment	Associates	Vancouver	2016	05/05/2014	
11740	Dakha, Maneesha	Associate	Business Law	Associates	Vancouver	2017	05/04/2015	
11043	Davis, Paul	Equity Partner	Capital Markets & M&A	Partner	Toronto	1988	03/08/2010	01/01/2016
12094	Dawson, Joanna	Associate	Business Law	Associates	Vancouver	2012	06/05/2017	
10198	Debenham, David B.	Counsel	Advocacy & Employment	Counsel	Ottawa	1988	11/01/2004	01/01/2014
11931	Dewan, Rajeev	Counsel	Capital Markets & M&A	Counsel	Toronto	1999	07/11/2016	07/11/2016
11741	Dhillon, Dharampreet	Associate	Commercial Real Estate	Associates	Vancouver	2017	05/04/2015	
12308	Dolot, Nicolas	Associate	Financial Services	Associates	Montreal	2017	10/15/2018	
11918	Dubé, Georges	Equity Partner	Capital Markets & M&A	Partner	Toronto	1994	06/06/2016	01/01/2019
11980	Dudziwicz, David	Associate	Commercial Real Estate	Associates	Toronto	2011	11/21/2016	
10230	Dufort, Teresa M.	Equity Partner	Advocacy & Employment	Partner	Toronto	1984	12/02/1985	01/01/2015
11589	Dykstra, Lindsay E.	Associate	Business Law	Associates	Vancouver	2016	05/05/2014	
11824	Elbaz, Sidney	Equity Partner	Advocacy & Employment	Partner	Montreal	2006	06/15/2005	01/01/2016
10253	Farahani, Arman G.	Principal	Capital Markets & M&A	Principal	Vancouver	2010	09/08/2009	
10273	Forgione, Pasquale	Equity Partner	Financial Services	Partner	Toronto	1997	12/29/1997	01/01/2015
10275	Forsthal, Annik	Principal	Commercial Real Estate	Principal	Toronto	2012	05/09/2011	
11726	Freedman, Joshua	Associate	Capital Markets & M&A	Associates	Toronto	2017	05/04/2015	
10290	Gallagher, Ryan C.	Principal	Regulatory	Principal	Vancouver	2007	12/02/2009	
12132	Gebert, Jeffrey	Principal	Capital Markets & M&A	Principal	Toronto	2011	08/21/2017	
11846	Genereux, Marc	Counsel	Commercial Real Estate	Counsel	Montreal	1982	03/07/2016	03/07/2016
11869	German, Mireille	Associate	Advocacy & Employment	Associates	Montreal	2017	05/04/2016	
12195	Giesbrecht, Laura	Associate	Business Law	Associates	Toronto	2013	03/26/2018	
10317	Glass, Robert E.	Counsel	Business Law	Counsel	Toronto	1980	04/01/1980	01/01/2014
10326	Goldstein, Yoine	Counsel	Financial Services	Counsel	Montreal	1961	08/01/2006	
12311	Gordner, Talia	Counsel	Regulatory	Counsel	Toronto	2012	10/22/2018	
11382	Gordon, Samantha	Associate	Advocacy & Employment	Associates	Toronto	2015	05/06/2013	
12290	Gotfried, Adam	Counsel	Tax	Counsel	Toronto	2014	09/05/2018	
11204	Gratton, Tyson	Associate	Business Law	Associates	Vancouver	2014	05/07/2012	
12262	Groom, Patrick	Counsel	Advocacy & Employment	Counsel	Toronto	2007	07/16/2018	07/16/2018
11762	Haley, Jason M.	Associate	Financial Services	Associates	Calgary	2016	06/15/2015	
11683	Halladay, Casey W.	Equity Partner	Regulatory	Partner	Toronto	2002	05/03/1999	01/01/2015
10354	Hamelin, Stephanie	Principal	Commercial Real Estate	Principal	Montreal	2007	05/29/2006	
12329	Han, Julie	Equity Partner	Financial Services	Partner	Toronto	2010	05/05/2008	01/01/2019
12359	Handa, Rish	Counsel	Business Law	Counsel	Montreal	2001	03/25/2019	
11782	Hanton, Michael J.	Counsel	Financial Services	Counsel	Montreal	1994	08/17/2015	08/17/2015
12286	Hanna, Keith	Associate	Financial Services	Associates	Montreal	2006	09/04/2018	
10359	Hanna, W. Bradley	Equity Partner	Advocacy & Employment	Partner	Toronto	1994	05/06/1991	01/01/2015
10360	Harmer, Melanie J.	Principal	Advocacy & Employment	Principal	Vancouver	2009	05/01/2006	
10998	Harrison, Brett	Equity Partner	Advocacy & Employment	Partner	Toronto	2001	05/03/1999	01/01/2015
12140	Herley, Hilary	Associate	Advocacy & Employment	Associates	Vancouver	2013	09/05/2017	
12151	Hurst, Roland	Principal	Capital Markets & M&A	Principal	Vancouver	2011	10/10/2017	

12005	Ivanovic, Gordana	Associate	Advocacy & Employment	Associates	Calgary	2006	02/13/2017	
11852	Jalette, Catherine	Associate	Advocacy & Employment	Associates	Montreal	2010	03/21/2016	
11383	Jarvis (Pudar), Sasa	Associate	Capital Markets & M&A	Associates	Vancouver	2015	05/06/2013	
11259	Jones, Charles	Counsel	Advocacy & Employment	Counsel	Calgary	1992	10/01/2012	01/01/2014
11600	Kaddis, Mina	Associate	Advocacy & Employment	Associates	Montreal	2015	05/12/2014	
11879	Kan, Kelly	Associate	Capital Markets & M&A	Associates	Toronto	2018	05/02/2016	
11733	Khalil, Shahram	Associate	Commercial Real Estate	Associates	Toronto	2017	05/04/2015	
10433	Knight, Anthony	Counsel	Commercial Real Estate	Counsel	Vancouver	1977	05/09/1976	01/01/2017
11375	Kocerginski, Mitchell	Associate	Advocacy & Employment	Associates	Toronto	2015	05/06/2013	
11532	Kosic, Kosta	Equity Partner	Capital Markets & M&A	Partner	Montreal	2002	02/19/2014	01/01/2016
10442	Kubrick, Geoffrey C.	Equity Partner	Regulatory	Partner	Ottawa	1988	09/01/2005	01/01/2015
12301	Lachance-Touchette, Gabrielle	Associate	Advocacy & Employment	Associates	Montreal	2012	10/02/2018	
11882	Laking, Alan	Associate	Business Law	Associates	Toronto	2018	05/02/2016	
12302	Lalancette, Eve	Associate	Commercial Real Estate	Associates	Montreal	2016	10/02/2018	
11469	Lambert, Kyle M.	Associate	Advocacy & Employment	Associates	Ottawa	2013	09/23/2013	
11880	Lemajic, Alexis	Associate	Advocacy & Employment	Associates	Toronto	2018	05/02/2016	
11816	Lemieux, Maxime	Counsel	Capital Markets & M&A	Counsel	Montreal	2006	12/07/2015	
10478	Loney, Julia C.	Principal	Business Law	Principal	Calgary	2011	05/11/2009	
12032	Lorimer, H. Lindsay	Equity Partner	Advocacy & Employment	Partner	Toronto	2000	07/25/2007	01/01/2017
10489	Lyons, Carol V.	Counsel	Financial Services	Counsel	Toronto	1989	07/02/1987	01/01/2017
	MacDonald, Daniel	Consultant	Risk Management		Toronto			
10495	MacNeil, Janine M.	Equity Partner	Regulatory	Partner	Toronto	2002	05/01/2000	01/01/2019
11959	Mahoney, Richard	Counsel	Regulatory	Counsel	Ottawa	1987	09/13/2016	09/13/2016
10501	Madment, J. Scott	Equity Partner	Advocacy & Employment	Partner	Toronto	1989	07/28/1992	01/01/2015
11912	Mandel, Jennifer	Counsel	Commercial Real Estate	Counsel	Toronto	2006	05/30/2016	
12251	Marocco, Andrae	Counsel	Business Law	Counsel	Toronto	2011	06/04/2018	06/04/2018
10518	Martin, Kathy A.	Equity Partner	Financial Services	Partner	Toronto	2002	05/01/2000	01/01/2015
12002	Martyn, Scott	Counsel	Commercial Real Estate	Counsel	Toronto	2011	02/01/2017	02/01/2017
11884	Mavani, Habib	Associate	Capital Markets & M&A	Associates	Toronto	2018	05/02/2016	
11213	McDonald, Morgan	Principal	Business Law	Principal	Vancouver	2013	05/09/2012	
11684	McEchnie, Dave J.	Equity Partner	Advocacy & Employment	Partner	Toronto	2004	05/06/2002	01/01/2015
	McNee, Margaret	General Counsel	Risk Management	Counsel	Toronto			
10549	McWilliam, Bruce N.	Counsel	Business Law	Counsel	Toronto	1985	09/01/1984	01/01/2014
10556	Mendesohn, Max	Counsel	Financial Services	Counsel	Montreal	1966	07/02/1965	01/01/2014
12180	Michoulas, Asterios	Counsel	Commercial Real Estate	Counsel	Vancouver	2003	02/14/2018	02/14/2018
12330	Mirakian, Shahan A.	Equity Partner	Financial Services	Partner	Toronto	2002	06/05/2000	01/01/2019
12332	Mirza, Ahsan	Equity Partner	Financial Services	Partner	Toronto	2011	05/04/2009	01/01/2019
	Morrison, John	Consultant						
11774	Munk-Manel, Shari	Principal	Advocacy & Employment	Principal	Montreal	2006	06/30/2015	
11826	Munro, James R.	Equity Partner	Capital Markets & M&A	Partner	Vancouver	2005	05/17/2004	01/01/2016
10600	Murray, Patrick W.	Counsel	Commercial Real Estate	Counsel	Ottawa	1995	12/01/2006	01/01/2016
10602	Musgrove, James B.	Equity Partner	Regulatory	Partner	Toronto	1986	02/03/1984	01/01/2015
11738	Nieuwenhuis, Ruth Janelle	Associate	Advocacy & Employment	Associates	Vancouver	2017	05/04/2015	
11746	Niski, Mikolaj	Associate	Business Law	Associates	Toronto	2017	05/04/2015	
10624	O'Hara, Jonathan P.	Principal	Regulatory	Principal	Ottawa	2012	06/27/2011	
11736	Olagueira, Jose (Bill)	Associate	Financial Services	Associates	Vancouver	2017	05/04/2015	
10629	Ono, Herbert	Counsel	Capital Markets & M&A	Counsel	Vancouver	1990	08/08/2005	01/01/2016
11875	Pallotta, Anthony	Associate	Financial Services	Associates	Toronto	2018	05/02/2016	
11883	Papineau-Wolff, Sophie	Associate	Business Law	Associates	Montreal	2017	05/02/2016	
12052	Paransky, Simon	Associate	Advocacy & Employment	Associates	Montreal	2018	05/08/2017	
11305	Parliament, Lisa D.	Equity Partner	Advocacy & Employment	Partner	Toronto	2004	05/06/2002	01/01/2015
10643	Pascu, Andrei	Principal	Advocacy & Employment	Principal	Montreal	2010	08/20/2009	
11370	Pennington, Kristen	Associate	Advocacy & Employment	Associates	Toronto	2015	05/06/2013	
12171	Petel, Yonatan	Equity Partner	Financial Services	Partner	Montreal	2009	05/12/2008	01/01/2018
12298	Petersen, Christian	Counsel	Advocacy & Employment	Counsel	Vancouver	2002	10/01/2018	10/01/2018
10669	Phelan, Patrick J.	Counsel	Business Law	Counsel	Toronto	1983	06/15/1981	01/01/2014
12249	Pich, Lydia	Counsel	Commercial Real Estate	Counsel	Toronto	2006	05/15/2018	05/15/2018
11979	Rafi, Lela	Counsel	Capital Markets & M&A	Counsel	Toronto	2006	11/21/2016	
12291	Rainville, Sonia	Equity Partner	Commercial Real Estate	Partner	Montreal	1981	09/21/2018	11/01/2018
11467	Ranger, Michel M.	Equity Partner	Tax	Partner	Montreal	1997	09/16/2013	01/01/2018
11576	Rankin, Jeremy	Associate	Advocacy & Employment	Associates	Toronto	2016	05/05/2014	
11575	Ray, Lauren	Associate	Advocacy & Employment	Associates	Toronto	2016	05/05/2014	
10702	Reardon, Peter	Counsel	Financial Services	Counsel	Vancouver	1978	01/01/1987	01/01/2018
10707	Reilly, Katherine A.	Principal	Advocacy & Employment	Principal	Vancouver	2007	09/05/2006	
11728	Ricchetti, Alexander	Associate	Financial Services	Associates	Toronto	2017	05/04/2015	
10717	Richmond, Michael J.	Equity Partner	Business Law	Partner	Toronto	2000	05/13/2004	01/01/2015
11388	Rock, Rebecca M.	Associate	Business Law	Associates	Vancouver	2015	05/06/2013	
10735	Rosentzweig, David L.	Counsel	Business Law	Counsel	Montreal	1978	06/15/1976	01/01/2014
10739	Rostom, Wael	Equity Partner	Financial Services	Partner	Toronto	2000	05/05/1997	01/01/2015
11732	Rozario, Nicole	Associate	Advocacy & Employment	Associates	Toronto	2017	05/04/2015	
11181	Rudensky, Adriana	Associate	Business Law	Associates	Toronto	2014	05/14/2012	
11393	Rylands, Kourtney	Associate	Business Law	Associates	Calgary	2015	05/06/2013	
92935	Sabel (Vukobrat), Andjela	Associate	Capital Markets & M&A	Associates	Vancouver	2013	05/09/2011	
11579	Saga, Candy	Counsel	Financial Services	Counsel	Vancouver	1986	05/01/2014	05/01/2014
10752	Sagan, Maria	Principal	Financial Services	Principal	Toronto	2011	05/04/2009	
11747	Saini, Lovepreet	Associate	Advocacy & Employment	Associates	Calgary	2013	05/04/2015	
2135	Samra, Caroline	Associate	Business Law	Associates	Toronto	2013	05/09/2011	
11724	Samra, Valeriana	Associate	Capital Markets & M&A	Associates	Toronto	2017	05/04/2015	
	Scavone, Robert	Lawyer Consultant			Toronto			
11864	Shaw, Grace E.	Associate	Regulatory	Associates	Vancouver	2018	05/02/2016	
11863	Sherlock, Holly	Associate	Advocacy & Employment	Associates	Toronto	2018	05/02/2016	

11775	Shore, Robert J.	Principal	Commercial Real Estate	Principal	Toronto	2015	07/06/2015	
10792	Shouldice, Daniel	Associate	Financial Services	Associates	Vancouver	2012	05/03/2010	
10795	Simpson, Jeffrey B.	Counsel	Advocacy & Employment	Counsel	Toronto	1980	07/04/1978	01/01/2014
11876	Ste, Marie, Shannon	Associate	Business Law	Associates	Toronto	2018	05/02/2016	
11685	Stewart, C. Brett	Equity Partner	Business Law	Partner	Toronto	2005	05/05/2003	01/01/2015
12334	Stirling, Andrew J.	Equity Partner	Tax	Partner	Toronto	2008	05/08/2006	01/01/2019
12141	Sutcliffe, James	Equity Partner	Financial Services	Partner	Vancouver	1993	09/11/2017	09/11/2017
11743	Sutton, Kailey	Associate	Commercial Real Estate	Associates	Toronto	2017	05/04/2015	
12293	Therrien, Émilie	Associate	Commercial Real Estate	Associates	Montreal	2010	09/21/2018	
11871	Thomas, Brent	Associate	Financial Services	Associates	Toronto	2018	05/02/2016	
10864	Thompson, Martin J.	Equity Partner	Advocacy & Employment	Partner	Ottawa	2003	05/06/2002	01/01/2015
10865	Thompson, Patrick	Principal	Advocacy & Employment	Principal	Ottawa	2005	05/03/2004	
10866	Thring, David E.	Counsel	Financial Services	Counsel	Toronto	1981	06/01/1983	01/01/2019
12113	Tickle, Vicki	Counsel	Financial Services	Counsel	Vancouver	2008	07/04/2017	07/04/2017
11387	Tran, Marina	Associate	Capital Markets & M&A	Associates	Vancouver	2015	05/06/2013	
11932	Tremblay, Janie	Counsel	Financial Services	Counsel	Calgary	1997	07/12/2016	
12123	Tseng, Pablo Jorge Z.Y.	Associate	Regulatory	Associates	Vancouver	2013	07/31/2017	
10894	Valières, Eric	Equity Partner	Advocacy & Employment	Partner	Montreal	1992	04/22/2002	01/01/2015
11745	Vineberg, Philip De Benedictis	Associate	Business Law	Associates	Toronto	2017	05/04/2015	
10912	Virgin, Jamieson D.	Principal	Advocacy & Employment	Principal	Vancouver	2011	05/04/2009	
11566	Wahide, Ehsanullah	Associate	Tax	Associates	Toronto	2016	05/05/2014	
12172	Wasser, Lyndsay	Equity Partner	Advocacy & Employment	Partner	Toronto	2004	12/04/2006	01/01/2018
12335	Weerasooriya, Tushara N.	Equity Partner	Financial Services	Partner	Toronto	2005	05/05/2003	01/01/2019
10937	Wells, Peter E.	Counsel	Regulatory	Counsel	Toronto	1978	09/07/1976	01/01/2014
	Wentzell, David	Lawyer Consultant			Toronto			
10949	Wilks, Jamie M.	Counsel	Tax	Counsel	Toronto	1989	03/26/2001	
10950	Wilks, Peter A.	Counsel	Financial Services	Counsel	Toronto	1965	08/14/1989	01/01/2017
11107	Wisner, Robert	Equity Partner	Advocacy & Employment	Partner	Toronto	1996	05/10/1993	01/01/2015
12336	Wong, Enda	Equity Partner	Business Law	Partner	Montreal	2009	05/12/2008	01/01/2019
10958	Wong, Grant	Counsel	Capital Markets & M&A	Counsel	Vancouver	1997	05/01/1995	01/01/2016
10959	Wortley, Stephen	Equity Partner	Capital Markets & M&A	Partner	Vancouver	1985	05/07/1984	01/01/2015
11372	Wu, William	Associate	Regulatory	Associates	Toronto	2015	05/06/2013	
10962	Wust, Jeffrey D.	Principal	Capital Markets & M&A	Principal	Vancouver	2004	09/18/2006	
12142	Yousofi, Angela	Associate	Capital Markets & M&A	Associates	Vancouver	2017	09/14/2017	
10984	Zhao, Sandra	Principal	Capital Markets & M&A	Principal	Toronto	2011	05/04/2009	
11572	Zhou, David	Associate	Business Law	Associates	Toronto	2016	05/05/2014	

Patent and Trade Mark Agents (as at April 18, 2019)

Employee Number	Employee Name (Last Suffix, First MI)	Job	NOG	Classification	Work Location	Original Hire
11895	Abdel-Kader, Sherif A.	Patent/Technical Spclst	Regulatory	Associates	Toronto	05/16/2016
11997	Terrefe, Tilaye	Patent/Technical Spclst	Technology & IP	Associates	Toronto	01/23/2017

Paralegals and Law Clerks (as at April 18, 2019)

Employee Number	Employee Name (Last Suffix, First MI)	Job	NOG	Work Location	Original Hire
11948	Atkinson, Susan	Corp.Compliance Clerk	Business Law	Vancouver	08/15/2016
12316	Becker, Jennifer	Paralegal	Business Law	Calgary	11/26/2018
12299	Boardman, Michele	Paralegal	Business Law	Vancouver	10/01/2018
11081	Bokser, Paula	Law Clerk	Financial Services	Toronto	10/07/1987
12184	Burch, Nicole	Law Clerk	Business Law	Toronto	03/12/2018
12285	Chan, Odette	Law Clerk	Business Law	Toronto	09/17/2018
10131	Chan, Venice	Paralegal	Capital Markets & M&A	Vancouver	03/17/1997
10132	Chang, Alice C.	Paralegal	Financial Services	Vancouver	07/04/2006
10137	Chatziadamos, Mary	Paralegal	Business Law	Montreal	08/06/2007
11084	Chaves, Nancy	Law Clerk	Advocacy & Employment	Toronto	03/08/1999
12084	Cheng, Karen	Paralegal	Capital Markets & M&A	Vancouver	05/23/2017
12101	Chmurzewski, Magdalena	Law Clerk	Business Law	Toronto	06/26/2017
10159	Clemens, Julie M.	Paralegal	Capital Markets & M&A	Vancouver	05/03/2006
12347	Coelho Domingues Bochet, Ana Lucia	Paralegal	Business Law	Vancouver	02/11/2019
10172	Corneau, Doris	Law Clerk	Business Law	Ottawa	09/01/1977
10189	Dapito, Evelyn	Law Clerk	Regulatory	Toronto	10/06/2008
11765	David, Jennifer L.	Law Clerk	Commercial Real Estate	Toronto	06/15/2015
12319	Deguire, Lili-Anne	Supervisor, Corp Services	Business Law	Montreal	12/10/2018
10209	Dhillon, Susan	Supervisor, Corp Services	Business Law	Vancouver	04/01/1999
11231	Dilag, Regina V.	Junior Paralegal	Business Law	Vancouver	07/16/2012
12176	Doyle, Melanie	Law Clerk	Capital Markets & M&A	Toronto	01/29/2018
12100	Dyck, Rebecca	Law Clerk	Business Law	Toronto	06/26/2017
11909	Gauthier, Monique	Paralegal	Commercial Real Estate	Montreal	05/24/2016
10300	Gay, Frédérique	Paralegal	Financial Services	Montreal	12/15/2008
10305	Genovezos, Panagiota (Penny)	Paralegal	Business Law	Montreal	03/19/2007
11977	Gerrard, Lisa K.	Paralegal	Financial Services	Calgary	11/15/2016
11956	Goldman, Carolyn	Supervisor, Corp Services	Business Law	Toronto	09/12/2016
12278	Goricanec, Mery	Law Clerk	Business Law	Toronto	08/13/2018
12009	Gould, Eleanor	Law Clerk	Commercial Real Estate	Toronto	02/21/2017
12157	Hernandez, Liliana	Paralegal	Business Law	Montreal	11/06/2017
12087	Hilts, Fiona	Law Clerk	Business Law	Toronto	06/05/2017
12315	Jiang, Fan	Paralegal	Commercial Real Estate	Vancouver	11/19/2018
11708	Khounganian, Kristine Lee	Corp.Compliance Clerk	Business Law	Toronto	03/02/2015
12340	Laferrière, Hélène	Legal Translator and Revi	Translation Services	Montreal	01/07/2019
11689	LaForgia, Patricia	Law Clerk	Commercial Real Estate	Toronto	01/05/2015
10455	Laniel, Alice F.	Paralegal	Capital Markets & M&A	Vancouver	05/01/2000
11675	Liang, Connie	Jr. Corp. Compliance Clrk	Business Law	Vancouver	11/10/2014
10471	Liew, Joni S.	Paralegal	Capital Markets & M&A	Vancouver	09/03/2004
11858	Liu, Hao Ran	Paralegal	Business Law	Montreal	04/25/2016
11082	Ly, Anna	Law Clerk	Financial Services	Toronto	06/04/2007
12317	Maynard-Dickenson, Stephanie	Law Clerk	Business Law	Toronto	12/03/2018
12021	McDonnell, Niamh	Corp.Compliance Clerk	Business Law	Vancouver	03/06/2017
11073	McIntyre, Barry W.	Title Searcher/Conveyance	Commercial Real Estate	Toronto	01/04/1990
10545	McNamara, Sharon	Paralegal	Capital Markets & M&A	Vancouver	02/18/2002
10562	Miller, Karen	Paralegal	Financial Services	Vancouver	01/11/1984
12245	Moore, Lisa	Paralegal	Regulatory	Vancouver	05/22/2018
11074	Moore, Maria	Law Clerk	Commercial Real Estate	Toronto	02/23/1987
10591	Mujagic, Sieglind (Sigi)	Paralegal	Commercial Real Estate	Vancouver	07/02/1975

Paralegals and Law Clerks (as at April 18, 2019)

12346	Pearlman, Mary-Colleen	Paralegal	Capital Markets & M&A	Vancouver	02/11/2019
11331	Petrenko, Diana	Supervisor, Corp Service	Business Law	Calgary	03/04/2013
10684	Pouliot, Deborah	Law Clerk	Advocacy & Employment	Toronto	10/26/1986
10730	Rocca, Linda A.	Paralegal	Capital Markets & M&A	Vancouver	10/01/1996
11845	Seto, Sareena	Paralegal	Financial Services	Calgary	02/29/2016
10799	Singleton, Megan	Law Clerk	Financial Services	Toronto	03/24/2008
12338	St-Amand Séguin, Andréanne	Paralegal	Business Law	Montreal	01/14/2019
11854	Stasiuk, Amanda	Law Clerk	Commercial Real Estate	Toronto	04/05/2016
12000	Surendran, Dashmini	Law Clerk	Commercial Real Estate	Toronto	01/30/2017
10855	Taylor, Susan Y.	Paralegal	Advocacy & Employment	Vancouver	06/29/2009
11901	Testa, Raffaella	Paralegal	Business Law	Montreal	05/16/2016
12120	Therrien, Carmen	Translator/Coordinator	Translation Services	Montreal	07/31/2017
11622	Thuilleaux, Sabine	Mgr, Linguistic Services	Translation Services	Montreal	06/25/2014
10880	Tremblay, Glen	Paralegal	Financial Services	Vancouver	11/20/2000
10883	Tsang, Cecilia W.	Paralegal	Business Law	Vancouver	10/27/2008
10889	Turcotte, Sindie	Paralegal	Business Law	Montreal	06/13/2011
11080	Tyrrell, Susan J.	Law Clerk	Financial Services	Toronto	02/20/2006
11096	Villegas (Ng), May	Law Clerk	Commercial Real Estate	Toronto	01/09/2012
12256	Villella, Jessica	Paralegal	Financial Services	Vancouver	06/13/2018
11443	Whittington, Leigh	Law Clerk	Commercial Real Estate	Ottawa	06/28/2013
10954	Wong, Cecilia S.	Paralegal	Capital Markets & M&A	Vancouver	03/21/2005
11088	Zee, Barbara P.	Law Clerk	Capital Markets & M&A	Toronto	05/12/1992
11950	Zorrilla, Douglas	Paralegal	Commercial Real Estate	Vancouver	08/22/2016

Other Employees (as at April 18, 2019)

Employee Number	Employee Name (Last Suffix, First MI)	Job	NOG	Work Location	Original Hire
10000	Abdullah, Karim F.	Business Centre Assistant	Business Centre	Toronto	02/01/1982
11480	Aben (McDonald), Crystal	Legal Administrative Assi	Legal Support Services	Calgary	10/15/2013
12103	Abramovitz, Jessica	Legal Administrative Assi	Legal Support Services	Toronto	06/26/2017
11951	Ahmed, Omar	Team Lead, Office & Facil	Business Centre	Ottawa	08/22/2016
10006	Aiello, Mirella	Legal Administrative Assi	Legal Support Services	Toronto	06/04/1984
12110	Alfiero, Nunziatina	Hospitality Coordinator	Hospitality Concierge Ser	Montreal	06/26/2017
12001	Ali, Zaid	Solutions Architect	Information Technology	Toronto	01/30/2017
12057	Alsbergas, John	Articling/Student at Law	Students- Articling	Vancouver	05/08/2017
11398	Alty, Blayne	Supvr, Hospitality & Conc	Hospitality Concierge Ser	Vancouver	05/16/2013
12254	Amante, Leila	DSC/Legal Support Ast	Document Specialist Centr	Vancouver	06/04/2018
10012	Amrit, Mona	Legal Administrative Assi	Legal Support Services	Toronto	06/14/2011
11547	Angeles, Maria	Supervisor, Office Servic	Business Centre	Vancouver	03/03/2014
12156	Anghel, Radu-Mihail	Senior Economist	Administration	Ottawa	10/24/2017
11838	Anghelescu, Iuliana Daniela	Office Services Assistant	Business Centre	Vancouver	02/15/2016
12017	Anthony, Katherine	Coord, Hosp & Conc Serv	Hospitality Concierge Ser	Toronto	03/13/2017
10023	Antonelli, Robin	Learning&Performance Spec	Learning & Development	Toronto	05/03/2004
12174	Antunes, Denis	Account Manager	Marketing & Bus. Developm	Toronto	01/15/2018
10030	Arseneau, Robert	Coordinator, Firm Events	Hospitality Concierge Ser	Toronto	08/29/2011
11099	Arseneau-Lacoursiere, Veronique	File Management Specialis	Legal Support Services	Montreal	01/09/2012
10032	Asmerom, Josef	Facilities Assistant	Facilities	Toronto	02/06/2004
12322	Atallah, Amanda M.	Legal Administrative Assi	Legal Support Services	Montreal	12/17/2018
11822	Aubin, Lyne	Legal Administrative Assi	Legal Support Services	Montreal	01/04/2016
11994	Babos, Robert	Graphic Designer	Marketing & Bus. Developm	Toronto	01/16/2017
10039	Baddeley, Jennifer J.	Sr. Dir, Strat Init & Pro	Administration	Vancouver	09/15/2010
11935	Badiali, Ida	Supvr Payroll & Benefits	Human Resources	Toronto	07/18/2016
12188	Balinha, Ester	Hospitality Services Asst	Hospitality Concierge Ser	Toronto	03/19/2018
10046	Baltazar, Sylvie	Legal Administrative Assi	Legal Support Services	Montreal	02/28/2000
11119	Bara, Erika	Legal Administrative Assi	Legal Support Services	Montreal	02/13/2012
2175	Barrientos Martinez, Juan Carlos	Billing Coordinator	Finance/Accounting	Toronto	07/22/2011
11923	Bastaldo, Jhanez	Accounts Payable Admin	Finance/Accounting	Toronto	07/04/2016
12158	Baudhuin, Ophelie	Legal Administrative Assi	Legal Support Services	Montreal	11/02/2017
12042	Bevans, Graham	Articling/Student at Law	Students- Articling	Toronto	05/08/2017
12064	Bissoon, Radica R.	Coordinator, Prof. Grwth/	Professional Growth & Mgm	Toronto	05/16/2017
12275	Bodnar, Oihia	Digital Marketing Special	Marketing & Bus. Developm	Toronto	08/13/2018
10077	Boeyen-Landriault, Kathy	Legal Administrative Assi	Legal Support Services	Ottawa	02/01/1993
11899	Bokser, Samantha	Assistant, Mktg&Bus.Dev.	Marketing & Bus. Developm	Toronto	05/16/2016
12325	Bolton, Arlete	Document Specialist/Techn	Document Specialist Centr	Toronto	01/07/2019
11766	Bone, Andrew F.	Trust & Billing Administr	Finance/Accounting	Calgary	06/15/2015
10082	Bonk, Michelle A.	Legal Administrative Assi	Legal Support Services	Toronto	05/08/1995
10092	Briltz, Nenetie (Trinitas) T.	Legal Administrative Assi	Legal Support Services	Toronto	05/28/1990
12194	Broad, Tracy	Manager, Mktg and Comm.	Marketing & Bus. Developm	Toronto	03/26/2018
11615	Brown, Perlita	Trust Accounting Admin	Finance/Accounting	Vancouver	06/10/2014
11284	Buchanan, Bibi	Legal Administrative Assi	Legal Support Services	Toronto	11/26/2012
12257	Buday, Andrew	Proposal Specialist	Marketing & Bus. Developm	Toronto	06/13/2018
10101	Buder, Laura	Office Administrator/LAA	Legal Support Services	Ottawa	10/07/2010
12258	Burton, Andrew	AV Technician	Information Technology	Toronto	06/13/2018
11215	Butler, Daniel	Facilities Assistant	Facilities	Toronto	06/04/2012
10110	Calderon, Sharon	Legal Administrative Assi	Legal Support Services	Toronto	05/12/1986
10111	Callaghan, Jennifer	File Management Specialis	Legal Support Services	Toronto	06/13/2011
11834	Camina, Cesar	Business Centre Assistant	Business Centre	Toronto	02/01/2016
12014	Cao, Da Feng	IT Solutions Specialist	Information Technology	Toronto	03/06/2017
10117	Carlos, Henedine May S.	Billing Coordinator	Finance/Accounting	Toronto	10/01/1985
12207	Carriere, Alexandra	Legal Administrative Assi	Legal Support Services	Toronto	04/30/2018
12118	Carter, Natasha	Hospitality Services Asst	Hospitality Concierge Ser	Vancouver	07/05/2017
10124	Castonguay, Victoria B.	Lead Asst, Hosp & Conc Se	Hospitality Concierge Ser	Toronto	04/17/2000
12349	Castrucci, Carmen	Legal Administrative Assi	Legal Support Services	Vancouver	02/11/2019
10126	Cenne, Ann	Application Support Speci	Information Technology	Ottawa	09/22/1986
11616	Chang, Hansol	Manager, Marketing & Bus	Marketing & Bus. Developm	Vancouver	06/10/2014

Other Employees (as at April 18, 2019)

11294	Chaput, Marthe	Legal Administrative Assi	Legal Support Services	Ottawa	12/03/2012
12034	Charitonov, Elizabeth	DSC/Legal Support Ast	Document Specialist Centr	Toronto	04/24/2017
12253	Charlton, Lyndie	Lateral Recruitment Coord	Administration	Vancouver	06/04/2018
12036	Chaudhary, Namrata	Business Systems Analyst	EPMO	Toronto	04/24/2017
10140	Chen, Bozena M.	Legal Administrative Assi	Legal Support Services	Toronto	02/17/2003
12314	Chen, Fang	Articling/Student at Law	Students- Articling	Vancouver	11/13/2018
11207	Chen, Sherry	Document Specialist/Train	Document Specialist Centr	Ottawa	05/16/2012
12058	Cheng, Colin	Articling/Student at Law	Students- Articling	Vancouver	05/08/2017
12135	Chernawski, Amanda	Research Librarian	Research and Library Serv	Toronto	08/28/2017
10143	Cheung, Joanna S.	Legal Administrative Assi	Legal Support Services	Toronto	11/19/2001
10147	Chien, Annie	Legal Administrative Assi	Legal Support Services	Toronto	11/14/1988
11160	Chieng, Tong	Solutions Architect	Information Technology	Toronto	04/30/2012
11251	Chilelli, Amanda	Legal Administrative Assi	Legal Support Services	Montreal	08/27/2012
12210	Chiu, Ben	Supvr, Hospitality & Conc	Hospitality Concierge Ser	Toronto	05/14/2018
12181	Chiu, Teresa Wai Ling	CRM Assistant	Marketing & Bus. Developm	Toronto	02/23/2018
12125	Cho, Mathew	Project Manager, EP MO	EP MO	Toronto	08/09/2017
11761	Chun, Adrian	Enterprise Solutions Arch	Information Technology	Toronto	06/08/2015
10158	Clarke, Samuel	Mgr,Tax,Treas.& Ptnr Cap.	Finance/Accounting	Toronto	09/23/1991
11360	Coates, Carolyn	Document Specialist	Document Specialist Centr	Vancouver	04/22/2013
12088	Cofell-Pefia, Ré	Legal Administrative Assi	Legal Support Services	Toronto	06/05/2017
11451	Cole (Smith), Nadine	Supervisor, Billing	Finance/Accounting	Toronto	08/06/2013
11660	Conn, Robert W.	File Management Specialis	Legal Support Services	Calgary	09/17/2014
10171	Coolahan, Karen M.	Legal Administrative Assi	Legal Support Services	Toronto	06/26/1989
11715	Correa, Luisa	Legal Administrative Assi	Legal Support Services	Vancouver	03/16/2015
11985	Costa, John	Facilities Manager	Facilities	Toronto	12/06/2016
12136	Crilly, Luke	Cash Receipts Admin	Finance/Accounting	Toronto	08/28/2017
12196	Crutchley, Melanie	National Mgr, Proposals	Marketing & Bus. Developm	Toronto	04/02/2018
11125	Cucca, Caterina	Billing Coordinator	Finance/Accounting	Montreal	02/27/2012
10182	Currell, Susanne	Legal Administrative Assi	Legal Support Services	Toronto	01/22/2007
10184	Da Ponte, M. Fatima	Legal Administrative Assi	Legal Support Services	Toronto	10/04/1993
11137	Dai, Hongyan	Senior Strategic Advisor	Marketing & Bus. Developm	Vancouver	03/12/2012
12272	Dara, Sabah	Executive Receptionist	Hospitality Concierge Ser	Vancouver	07/30/2018
10191	DaSilva, Angela	Admin Coord, Hosp & Conci	Hospitality Concierge Ser	Toronto	09/28/1987
11303	Delgado, Leandra	Mgr,Conflicts & Risk Man.	Risk Management	Toronto	01/21/2013
10202	Derbyshire, Janet	Exec/Legal Admin Assistan	Legal Support Services	Toronto	10/30/1989
12175	Désilets, Lucie	Manager, Marketing & Bus	Marketing & Bus. Developm	Montreal	01/15/2018
10205	Desrosiers, Johanne	Executive Receptionist	Hospitality Concierge Ser	Montreal	09/17/1999
11709	Dhillon, Kiran	Legal Administrative Assi	Legal Support Services	Toronto	03/02/2015
11851	DiFebo, Amanda	Legal Administrative Assi	Legal Support Services	Toronto	03/28/2016
10219	Donaher, Stephanie J.	Nat.Dir,Prof.Growth/Mgmt	Professional Growth & Mgm	Toronto	05/04/1998
10226	D'Silva, Janis	Trade Mark Admin Clerk	Administration	Toronto	09/04/2007
11966	D'Souza, Sydel	Legal Administrative Assi	Legal Support Services	Vancouver	10/06/2016
11460	Duckworth, Claire F.	National Director, Financ	Finance/Accounting	Toronto	08/26/2013
11828	Eckerman, Tracy	Document Specialist	Document Specialist Centr	Toronto	01/12/2016
10245	Elvey, Rasha	Evening Team Leader, DSC	Document Specialist Centr	Toronto	11/03/2008
12358	England, Brynne	Library Technician	Research and Library Serv	Toronto	03/21/2019
10247	Eno, Laura	Library Technician	Research and Library Serv	Vancouver	03/15/1993
12074	Farinas, Iris	Executive Receptionist	Hospitality Concierge Ser	Calgary	05/23/2017
10254	Farndon, Sandra E.	Legal Administrative Assi	Legal Support Services	Toronto	09/17/1990
11431	Fernandez, Mark R.	Program Manager	EP MO	Toronto	06/24/2013
10981	Filippelli, Sofia S.	Legal Administrative Assi	Legal Support Services	Toronto	03/28/2011
11147	Fiorella, Tina	Project Manager, EP MO	EP MO	Toronto	04/09/2012
12353	Fiss, Julia	Human Resources Coordinat	Human Resources	Toronto	03/06/2019
10268	Flynn, Nicole M.	Legal Administrative Assi	Legal Support Services	Toronto	01/24/2000
11791	Fonseca, Helena	Legal Administrative Assi	Legal Support Services	Toronto	09/14/2015
12161	Fonseca, Mathew	Marketing Assistant	Marketing & Bus. Developm	Toronto	11/15/2017
11620	Francini, Lisa	Controller Exp Ops Rep Pr	Finance/Accounting	Toronto	06/16/2014
11799	Frechette, Shanna	Document Specialist/Train	Document Specialist Centr	Montreal	10/13/2015
12137	Gagnon-Djalo, Yassin	Articling/Student at Law	Students- Articling	Montreal	09/07/2017

Other Employees (as at April 18, 2019)

12320	Galali, Serena	File Management Specialis	Legal Support Services	Vancouver	12/10/2018
10293	Garneau-Ross, Louise	Nat.Coordinator, PG&M	Professional Growth & Mgm	Toronto	09/17/2007
12193	Gascoyne, Christine	Document Specialist	Document Specialist Centr	Toronto	03/26/2018
12313	Gates, Amanda	Office Services Assistant	Business Centre	Vancouver	11/19/2018
11656	Gent, Michel	Financial Systems Analyst	Finance/Accounting	Toronto	09/15/2014
10311	Giannini, Franca	Office Manager	Administration	Montreal	05/25/1991
11982	Glass, Lori	eDiscovery Support Analys	E-Discovery Support	Toronto	11/21/2016
10319	Glavin, Beth	Legal Administrative Assi	Legal Support Services	Ottawa	11/04/1985
11944	Goldfarb, Brenda	Collections Clerk	Finance/Accounting	Toronto	08/09/2016
12271	Goncalves Starling, Vinicius	Office Services Assistant	Business Centre	Vancouver	07/30/2018
10327	Goncalves, Cindy L.	Accounts Payable Admin	Finance/Accounting	Toronto	03/20/1996
10328	Goncalves, Lidia M.	Supervisor, Business Cent	Business Centre	Toronto	10/01/1990
12027	Gonnet, Stephanie	Pursuit Advisor	Marketing & Bus. Developm	Toronto	04/03/2017
11255	Gordeyev, Ilya	Tech Support Analyst II	Information Technology	Toronto	09/24/2012
11030	Gordon, Freda	DSC/Legal Support Ast	Document Specialist Centr	Toronto	12/19/2011
10329	Gouveia, Susan D.	Legal Administrative Assi	Legal Support Services	Toronto	11/25/1991
11855	Graden, Ashleigh	Research Librarian	Research and Library Serv	Toronto	04/11/2016
10333	Gray, Colette M.	Legal Administrative Assi	Legal Support Services	Toronto	04/01/1997
10337	Greene, Loraine M.	Legal Administrative Assi	Legal Support Services	Vancouver	01/02/2008
12128	Greer, Courtney	Office Services Assistant	Business Centre	Ottawa	08/14/2017
10338	Gregory, Dawn (Ingrid)	Exec Rec, Hosp & Conci	Hospitality Concierge Ser	Toronto	01/03/1977
12061	Grigg, Alexander	Articling/Student at Law	Students- Articling	Calgary	05/08/2017
10345	Guglietti, Patrick V.	Team Lead, Trust & Treasu	Finance/Accounting	Vancouver	05/14/2007
11288	Harries, Ronald M.	Tech Support Analyst	Information Technology	Vancouver	12/03/2012
11559	Healey, Larry	Director, 3E & EPMO	Finance/Accounting	Toronto	04/07/2014
11988	Helal, Bushra	NOG Account Manager	Marketing & Bus. Developm	Toronto	12/15/2016
10369	Hénault, Isabelle	Office Services Assistant	Business Centre	Montreal	12/17/2008
12167	Hévin, Candice	Articling/Student at Law	Students- Articling	Montreal	01/11/2018
10372	Hewgill, Wendy D.	Legal Administrative Assi	Legal Support Services	Toronto	09/04/1984
10378	Homem, Cristina	Legal Administrative Assi	Legal Support Services	Toronto	06/26/2000
11152	Hope, Dionne	Legal Administrative Assi	Legal Support Services	Toronto	04/23/2012
10384	Hussain, Pamela	Asst, Hosp & Conc Service	Hospitality Concierge Ser	Toronto	08/22/2011
12341	Hutchinson, Julie	Legal Administrative Assi	Legal Support Services	Vancouver	01/07/2019
12288	Huynh, David	Tech Support Analyst	Information Technology	Vancouver	09/10/2018
11500	Igbinigie, Nneka	Tech Support Analyst	Information Technology	Calgary	11/04/2013
10315	Indyk, Priscil	Legal Administrative Assi	Legal Support Services	Vancouver	01/02/2007
12289	Jang, Kristine	Legal Administrative Assi	Legal Support Services	Vancouver	09/04/2018
10395	Jardim Costa, Nancy M.	Nat.Coordinator, PG&M	Professional Growth & Mgm	Toronto	01/05/1998
11938	Jay, Carolyn	Mgr,HRIS,Payroll&Benefits	Human Resources	Toronto	07/25/2016
12050	Jean, Marie-Eve	Articling/Student at Law	Students- Articling	Ottawa	05/08/2017
11468	Jennings, Martha	Library Technician	Research and Library Serv	Toronto	09/23/2013
10400	Jinah, Alnoor M.	AV Technician	Information Technology	Toronto	03/13/1995
12273	Kaczorowski, Victoria	Legal Administrative Assi	Legal Support Services	Vancouver	08/13/2018
11798	Kalicharan, Rose M.	Business Centre Coordinat	Business Centre	Toronto	10/05/2015
11894	Kalmokoff, Kathryn Michele	Document Specialist	Document Specialist Centr	Vancouver	05/03/2016
10411	Kane, Marlene J.	Dir. of Profess.Dev.,Nat.	Professional Growth & Mgm	Toronto	03/17/1986
11891	Kastner, Brenda	Document Specialist	Document Specialist Centr	Toronto	05/09/2016
10423	Khan, Suraya	Legal Administrative Assi	Legal Support Services	Vancouver	06/14/2004
10424	Kibjis, Dena A.	Legal Administrative Assi	Legal Support Services	Toronto	11/15/1995
11975	Kim, Da-Hee	Staff Accountant	Finance/Accounting	Toronto	11/14/2016
12356	Kim, Hari	Assistant, Mktg&Bus.Dev.	Marketing & Bus. Developm	Vancouver	03/04/2019
12261	Kitza Joly, Maxime	Proposal Specialist	Marketing & Bus. Developm	Montreal	07/03/2018
10432	Kmiec, Kinga	Legal Administrative Assi	Legal Support Services	Toronto	05/21/2002
12119	Koalicki, Monica	Billing Coordinator	Finance/Accounting	Toronto	07/31/2017
10436	Kokkinakos, Patricia	Legal Administrative Assi	Legal Support Services	Toronto	05/31/1999
12049	Kokot, Lucas	Articling/Student at Law	Students- Articling	Ottawa	05/08/2017
12246	Kovatcheva, Milena A.	Mktng&Bus.Dev.Coordinator	Marketing & Bus. Developm	Montreal	05/22/2018
10448	Kwong, Ada	Legal Administrative Assi	Legal Support Services	Toronto	10/15/1990
11702	Lacroix, Leona	Trust & Billing Administr	Finance/Accounting	Ottawa	02/17/2015

Other Employees (as at April 18, 2019)

11212	Lai, Jessica	Legal Administrative Assi	Legal Support Services	Vancouver	05/28/2012
12197	Lakusta, Lisa	Legal Administrative Assi	Legal Support Services	Toronto	04/09/2018
11860	Lam, Karen	Legal Administrative Assi	Legal Support Services	Vancouver	04/25/2016
11163	Lapierre, Andrea	Legal Administrative Assi	Legal Support Services	Vancouver	04/30/2012
12097	Law, Amanda	Human Resources Specialis	Human Resources	Toronto	06/19/2017
12312	Le, Thi Ngoc Huyen	Accounting Analyst	Finance/Accounting	Toronto	11/19/2018
10458	Leach, Nina E.	Ntl Mgr, Hosp & Con Serv.	Hospitality Concierge Ser	Toronto	11/13/2000
11700	Lee, Jocelyn	Legal Administrative Assi	Legal Support Services	Toronto	02/17/2015
12086	Lee, Monica	Human Resources Coordinat	Human Resources	Vancouver	05/23/2017
12035	Leier, Jillian	Legal Administrative Assi	Legal Support Services	Toronto	04/18/2017
10465	Leo, Wilma	Legal Administrative Assi	Legal Support Services	Toronto	03/14/1988
10468	Leslie, Daisy A.	Legal Administrative Assi	Legal Support Services	Toronto	02/20/2006
11098	Leung, Yvonne	Nat.Mgr Resear & Lib Serv	Research and Library Serv	Toronto	01/09/2012
11688	Lian, May Cui Wei	Legal Administrative Assi	Legal Support Services	Calgary	12/29/2014
12149	Liang, Amy	Document Specialist	Document Specialist Centr	Toronto	10/10/2017
11635	Ligere, Marcia	Billing Coordinator	Finance/Accounting	Toronto	07/14/2014
11339	Lin, Min	Accounts Payable Admin	Finance/Accounting	Toronto	03/25/2013
11256	Liu, Jia	Controller Rev, Plan An	Finance/Accounting	Toronto	09/24/2012
11995	Lok, Bryan	Office Services Assistant	Business Centre	Vancouver	01/16/2017
10482	Louie, Susan	Legal Administrative Assi	Legal Support Services	Toronto	02/03/1994
10486	Luketic, Kimberly A.	National Manager, DSC	Document Specialist Centr	Toronto	11/27/1989
12270	Lungren, Kristine	Legal Administrative Assi	Legal Support Services	Vancouver	07/30/2018
10487	Lussier, France A.	DSC/Legal Support Ast	Document Specialist Centr	Montreal	08/29/2011
12267	Ma, Hong	Legal Administrative Assi	Legal Support Services	Toronto	07/30/2018
11016	Macfarlane, Judith A.	Dir, Professional Resourc	Professional Growth & Mgm	Vancouver	12/05/2011
11679	MacRae, Deborah	Legal Administrative Assi	Legal Support Services	Calgary	12/03/2014
11813	Maharaj, Judy	Supervisor, Collections	Finance/Accounting	Toronto	12/07/2015
12082	Majiedt, Nadine	Legal Administrative Assi	Legal Support Services	Calgary	05/01/2017
11670	Malette, Andrea	Human Resources Generalis	Human Resources	Toronto	10/20/2014
12148	Manalo, Eunica	Office Services Assistant	Business Centre	Vancouver	10/02/2017
12055	Manhas, Brandon	Articling/Student at Law	Students- Articling	Vancouver	05/08/2017
12294	Mao, Yongchong	Senior Economist	Administration	Toronto	09/26/2018
10515	Martella, Adriana	Legal Administrative Assi	Legal Support Services	Montreal	01/03/1983
11364	Martinez Mora, Samuel	Solutions Architect	Information Technology	Toronto	04/29/2013
11945	McGinn, Michael	Learning & Dev Specialist	Learning & Development	Vancouver	08/15/2016
11552	McGreer, Claudette	Legal Administrative Assi	Legal Support Services	Toronto	03/24/2014
12198	McLeod, Shannon	Research Librarian	Research and Library Serv	Vancouver	04/09/2018
12327	McNee, Margaret C.	General Counsel/CRO	Risk Management	Toronto	06/27/1983
10553	Medeiros, Lucy	Legal Administrative Assi	Legal Support Services	Toronto	03/22/1999
10554	Medeiros, Sheila M.	Legal Administrative Assi	Legal Support Services	Vancouver	02/21/2000
12211	Meffe, Veronica	Articling/Student at Law	Students- Articling	Toronto	05/15/2018
10561	Messenger, Crystal M.	Legal Administrative Assi	Legal Support Services	Vancouver	03/25/2008
11643	Metcalfe, Benjamin J.	Document Specialist/Train	Document Specialist Centr	Calgary	08/11/2014
12060	Miazek, Marta	Articling/Student at Law	Students- Articling	Vancouver	05/08/2017
11942	Miroshnichenko, Natalia	Manager, Human Resources	Human Resources	Vancouver	08/02/2016
12200	Moeed, Ali	Business Analyst	EPMO	Toronto	04/09/2018
11397	Moore, Gundy	Legal Administrative Assi	Legal Support Services	Vancouver	05/13/2013
12054	Morrison, Colin	Legal Administrative Assi	Legal Support Services	Vancouver	05/08/2017
11484	Mottley, Lance	Mgr, Enterprise Architect	Information Technology	Toronto	10/28/2013
12342	Muggeridge, Wendy	Legal Administrative Assi	Legal Support Services	Montreal	01/28/2019
10590	Muir, Linda	Executive Receptionist	Hospitality Concierge Ser	Vancouver	02/07/1985
10594	Mundy, Julie A.	Project Manager, EPMD	EPMD	Toronto	11/17/2003
12266	Museredza, Chiedza	Articling/Student at Law	Students- Articling	Toronto	07/30/2018
12122	Nacalaban, Nino Alan A.	Billing Coordinator	Finance/Accounting	Vancouver	07/31/2017
10608	Napolitano, Margherita	Legal Administrative Assi	Legal Support Services	Toronto	01/06/1986
12238	Naranjo, Heliana Michelle	Trust Accounting Admin	Finance/Accounting	Toronto	05/09/2018
12280	Nelson, Katherine	Legal Administrative Assi	Legal Support Services	Vancouver	08/13/2018
11405	Nesovic, Emily	Doc Spec/Special Projects	Document Specialist Centr	Toronto	05/13/2013
12018	Nguyen, Loan	Billing Coordinator	Finance/Accounting	Toronto	03/13/2017

Other Employees (as at April 18, 2019)

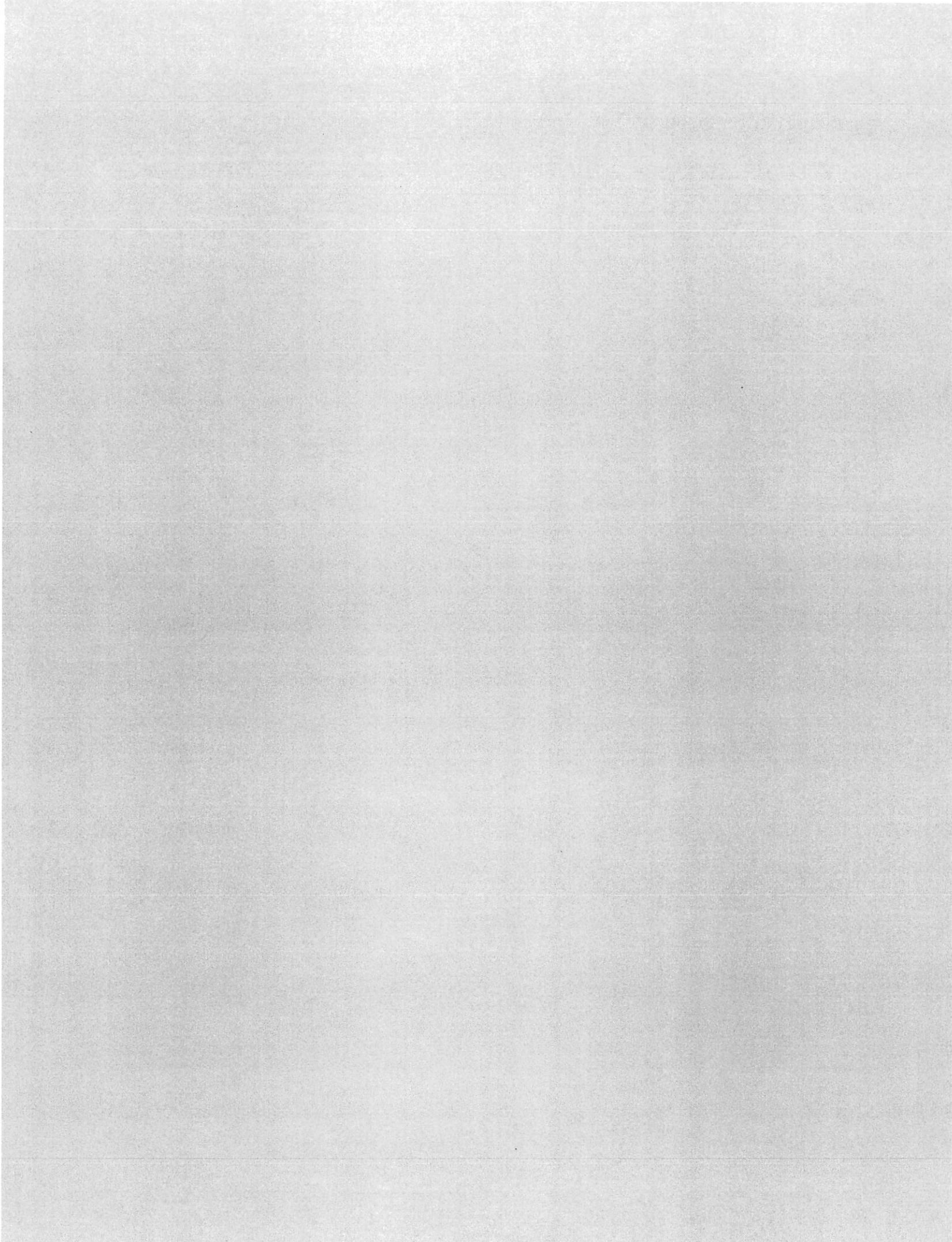
10615	Nicholson, Janette	Learning Technologist	Learning & Development	Toronto	06/20/1994
11022	Notarangelo, Daniel	IT Solutions Specialist	Information Technology	Montreal	11/28/2011
10623	Ofreneo Drechsler, Gemmalene V.	Document Specialist	Document Specialist Centr	Toronto	07/07/2003
11750	Okroj, Diana B.	Legal Administrative Assi	Legal Support Services	Toronto	05/04/2015
10632	Ottaviano, Mary (Mariella)	Legal Administrative Assi	Legal Support Services	Toronto	05/08/1995
10633	Ouellet, Guillaume	Team Lead, Office & Facil	Business Centre	Montreal	02/19/1996
12268	Page, Lisa	Articling/Student at Law	Students- Articling	Ottawa	07/30/2018
11318	Pal, Judy	Controller, ExpOps&Report	Finance/Accounting	Toronto	02/11/2013
11233	Paiger, Allison	Ntl Mgr, Fac & Office Ser	Facilities	Vancouver	07/16/2012
10636	Palmas, Pedro	Director, Technical Servi	Information Technology	Toronto	10/28/2010
12047	Pan, Benjamin	Articling/Student at Law	Students- Articling	Toronto	05/08/2017
11723	Papillon, Karine	Coord Trade Mark/LAA	Legal Support Services	Montreal	05/04/2015
12026	Partito, Andrea	Process Improv & Incid Mg	Information Technology	Toronto	04/03/2017
12300	Patterson, Andrea	Mktg & Graphic Desig Spec	Marketing & Bus. Developm	Calgary	10/09/2018
12326	Pecchia, Francesca	Legal Administrative Assi	Legal Support Services	Toronto	01/07/2019
10652	Pelletier, May S.	LAA/Paralegal	Legal Support Services	Vancouver	04/04/2005
10653	Péloquin, Catherine	Dir, Professional Resourc	Professional Growth & Mgm	Montreal	05/27/2010
11632	Pertschi, Sigrid	Document Specialist	Document Specialist Centr	Vancouver	04/10/2013
11411	Peters, Alison	Ntl Recruitment Specialis	Human Resources	Ottawa	05/27/2013
10668	Phanor, Wanda	Legal Administrative Assi	Legal Support Services	Ottawa	11/05/1990
12348	Pinto, Rhona M.	Legal Administrative Assi	Legal Support Services	Toronto	02/11/2019
10671	Pinto, Rita	Legal Administrative Assi	Legal Support Services	Toronto	04/04/1983
12038	Polese, Colleen	Info Governance Assistant	Info Security & Governanc	Toronto	05/08/2017
12201	Portman, Skye	Hospitality Services Asst	Hospitality Concierge Ser	Vancouver	04/09/2018
10682	Potter, Joanne E.	Legal Administrative Assi	Legal Support Services	Toronto	09/12/1983
1293	Pouliot, Madelaine	Client life Cycle Coordin	Finance/Accounting	Toronto	03/14/2011
10686	Prashar, Rajat	National Director, IT	Information Technology	Toronto	09/12/2011
12089	Pratt, Karen	Legal Administrative Assi	Legal Support Services	Toronto	06/05/2017
6032	Prefontaine, Connie	Document Spec/Conf Asst	Document Specialist Centr	Calgary	05/01/2009
12129	Provost, Brittany	Executive Receptionist	Hospitality Concierge Ser	Ottawa	08/14/2017
10692	Pugh, April	Legal Administrative Assi	Legal Support Services	Toronto	03/06/2006
11420	Purdon, Christopher D.	Ntl Mgr, E-Discovery Supp	E-Discovery Support	Toronto	06/03/2013
12199	Radford, Hilary J.	Executive Receptionist	Hospitality Concierge Ser	Toronto	04/09/2018
11796	Ragheb, Suzan S.	Collections Clerk	Finance/Accounting	Toronto	10/07/2015
11498	Raikar, Pranita	E-billing Coordinator	Finance/Accounting	Toronto	11/11/2013
12323	Rainone, Jacqueline	Executive Assistant	Human Resources	Toronto	01/07/2019
12044	Ramirez, Paola	Articling/Student at Law	Students- Articling	Toronto	05/08/2017
10696	Ramos, Sylvia	Asst, Hosp & Conc Service	Hospitality Concierge Ser	Toronto	04/04/2001
11283	Rampergass, Fareeda	Admin. Assistant	Marketing & Bus. Developm	Toronto	11/26/2012
10698	Rana, Shiahreen M.	DSC/Legal Support Ast	Document Specialist Centr	Toronto	05/20/2003
10701	Reachill, Amy	Document Specialist	Document Specialist Centr	Toronto	06/21/2004
10715	Richards, Trish	Legal Administrative Assi	Legal Support Services	Toronto	05/20/2003
10718	Rider, Nisha	National Director, HR	Human Resources	Toronto	06/01/1994
11625	Roberts, Karen	Billing Coordinator	Finance/Accounting	Vancouver	06/23/2014
11989	Roberts, Louis	Tech Support Analyst	Information Technology	Montreal	12/19/2016
12007	Robertson, Justin	Mktg & Comm. Specialist	Marketing & Bus. Developm	Toronto	02/13/2017
12324	Rodriguez Benitez, Dayana	Legal Administrative Assi	Legal Support Services	Toronto	01/07/2019
10733	Romero, Tara	DSC/Legal Support Ast	Document Specialist Centr	Toronto	01/30/2006
12043	Rothstein, Reuben	Articling/Student at Law	Students- Articling	Toronto	05/08/2017
11502	Roudenskaia, Marina	Billing Coordinator	Finance/Accounting	Vancouver	11/25/2013
12045	Ruhani, Sara	Articling/Student at Law	Students- Articling	Toronto	05/08/2017
12154	Salama, Sherif	Tech Support Analyst	Information Technology	Toronto	10/23/2017
11653	Samuel, Roxanne	Billing Coordinator	Finance/Accounting	Toronto	09/02/2014
12204	Sandy, Kendy	Mktng&Bus.Dev.Coordinator	Marketing & Bus. Developm	Toronto	04/23/2018
12048	Sawhney, Rupin	Articling/Student at Law	Students- Articling	Toronto	05/08/2017
12059	Schlagintweit, Rosemary	Articling/Student at Law	Students- Articling	Vancouver	05/08/2017
11786	Schrager, Ilana C.	Associate Dir, Prof Resco	Professional Growth & Mgm	Vancouver	09/09/2015
12040	Schwan, Meghan	Articling/Student at Law	Students- Articling	Toronto	05/08/2017
10768	Scott, Dagmar	Consultant, Spcl Projects	Human Resources	Toronto	02/03/2003

Other Employees (as at April 18, 2019)

11262	Sebastian, Denise	Legal Administrative Assi	Legal Support Services	Toronto	10/09/2012
11153	Shageer, Nardiya	File Management Specialis	Legal Support Services	Toronto	04/23/2012
11991	Shahabun, Rehan	Document Specialist	Document Specialist Centr	Toronto	01/03/2017
12304	Sheikh, Imran	Document Specialist	Document Specialist Centr	Toronto	10/09/2018
11821	Shen, Kuo	Senior Financial Analyst	Finance/Accounting	Toronto	01/04/2016
10788	Shering, Rebecca	Legal Administrative Assi	Legal Support Services	Toronto	03/15/2010
12303	Sheth-Shah, Aarti	Legal Administrative Assi	Legal Support Services	Toronto	10/09/2018
10789	Shetty, Rose M.	Document Specialist	Document Specialist Centr	Toronto	06/05/1989
12306	Shirokov, Arsen	Dir, Info Security & Gov	Info Security & Governanc	Toronto	10/09/2018
11973	Shunthirasingham, Nundini	Enterprise App Developer	Information Technology	Toronto	11/14/2016
10793	Shustova, Oksana	Legal Administrative Assi	Legal Support Services	Montreal	05/10/2010
12206	Simick, Juneli	File Management Specialis	Legal Support Services	Toronto	04/30/2018
10798	Singh DeSouza, Shalini	Legal Administrative Assi	Legal Support Services	Toronto	08/23/1999
10797	Singh, Kamini M.	Team Leader, A/P	Finance/Accounting	Toronto	07/19/1993
11543	Smith, Heather	Info Governance Superviso	Info Security & Governanc	Toronto	03/03/2014
10811	Smith, Kelly	Trust Accounting Admin	Finance/Accounting	Montreal	12/11/1992
12283	Smolarz, Dorota	DSC/Legal Support Ast	Document Specialist Centr	Toronto	09/10/2018
11078	Snook, Victoria L.	Dir, Law Clerk/Paralegals	Professional Growth & Mgm	Toronto	03/14/2005
12187	Somers, Jeremy	Office Services Assistant	Business Centre	Montreal	03/19/2018
12115	Sorgini, Annalisa	Manager, LSS	Legal Support Services	Toronto	07/10/2017
12250	Soucy, Tiffany	Dir, Professional Resourc	Professional Growth & Mgm	Toronto	06/04/2018
11479	Spanjers, Emily	Research Librarian	Research and Library Serv	Vancouver	10/07/2013
10821	Spano-Greco, Catena	Legal Administrative Assi	Legal Support Services	Toronto	09/19/1988
10823	Spoltore, Marlene	Info Governance Assistant	Info Security & Governanc	Toronto	10/27/1971
12265	Stedman, Lauren	Coordinator, Prof. Grwth/	Professional Growth & Mgm	Vancouver	07/03/2018
10831	Steffensen, Donna	Legal Administrative Assi	Legal Support Services	Ottawa	11/01/2004
12166	Steinberg, Amelia	Info Governance Assistant	Info Security & Governanc	Vancouver	01/02/2018
12287	Stuart, Jessica	Artiding/Student at Law	Students- Artiding	Toronto	09/10/2018
12016	Sudelkis, Elaine	Conflicts Coordinator	Risk Management	Toronto	03/13/2017
12191	Szabo, Emily	DSC/Legal Support Ast	Document Specialist Centr	Vancouver	03/19/2018
10845	Szilagy, Balazs	Team Leader, Audio Visual	Information Technology	Toronto	06/20/2011
11792	Taylor, Brent A.	Ntl Mgr, Learning & Devel	Learning & Development	Toronto	09/21/2015
11261	Taylor, Susan E.	DSC/Legal Support Ast	Document Specialist Centr	Toronto	10/09/2012
11027	Thambialah, Thavaseelan	Enterprise App Developer	Information Technology	Toronto	12/12/2011
12006	Thayne, Carolyn	Legal Administrative Assi	Legal Support Services	Calgary	02/13/2017
10861	Theoret, France	National Payroll Administ	Human Resources	Montreal	10/02/1989
11998	Thomas, Arlette	Document Specialist	Document Specialist Centr	Montreal	01/23/2017
12343	Thompson, Tara	Legal Administrative Assi	Legal Support Services	Vancouver	02/04/2019
11721	Tiernan, Dana L.	Billing Coordinator	Finance/Accounting	Toronto	04/13/2015
10871	Toman, Maryanne A.	Document Specialist	Document Specialist Centr	Toronto	09/07/1976
11307	Tomovic, Milena	Coordinator	Professional Growth & Mgm	Montreal	01/23/2013
10878	Tracy, Tanya	Legal Administrative Assi	Legal Support Services	Vancouver	09/11/1995
10884	Tsang, Euphemia L.	Legal Administrative Assi	Legal Support Services	Toronto	06/07/1993
11439	Tsumagari, David	Legal Administrative Assi	Legal Support Services	Calgary	07/02/2013
12357	Turner, Erica	TOR Benefits Specialist	Human Resources	Toronto	03/25/2019
12107	Turson, Valérie	Legal Administrative Assi	Legal Support Services	Montreal	06/26/2017
12046	Tworzyanski, Christopher	Artiding/Student at Law	Students- Artiding	Toronto	05/08/2017
12056	Tyzuk, Alexander	Artiding/Student at Law	Students- Artiding	Vancouver	05/08/2017
12309	Ursaki, Allison	Team Lead, Office & Facil	Business Centre	Calgary	10/22/2018
10892	Valani, Gulamali K.	Business Centre Assistant	Business Centre	Toronto	11/11/1985
11960	Valencia, Juan	IT Solutions Specialist	Information Technology	Toronto	09/19/2016
12351	Valenzuela, Carmen Maggie	Legal Administrative Assi	Legal Support Services	Vancouver	02/25/2019
10897	Van Rooyen, Patricia	Document Specialist	Document Specialist Centr	Toronto	02/16/2004
10908	Viani, Judy	Legal Administrative Assi	Legal Support Services	Vancouver	10/07/1991
11713	Villar, Ricardo	Business Centre Assistant	Business Centre	Toronto	03/16/2015
11888	Viner, Lori	Legal Administrative Assi	Legal Support Services	Vancouver	05/09/2016
12305	Vranchidis, Kaitlyn	Legal Administrative Assi	Legal Support Services	Toronto	10/09/2018
10914	Vukorep, Darlene	Legal Administrative Assi	Legal Support Services	Vancouver	11/04/1985
10916	Wagg, Michelle M.	Team Lead, Doc Spec/LSS	Document Specialist Centr	Toronto	05/06/1998

Other Employees (as at April 18, 2019)

11340	Walker, James	Ntl Program Manager, EPMO	EPMO	Toronto	03/25/2013
10921	Walls, Tracy	Legal Administrative Assi	Legal Support Services	Toronto	08/30/1999
10933	Weber, Stephanie	Business Centre Assistant	Business Centre	Toronto	01/01/2011
11674	Wei, Min	General Accountant	Finance/Accounting	Vancouver	11/10/2014
12202	Whitley, Kirsten	Supervisor, Legal Sup Serv	Legal Support Services	Vancouver	04/03/2018
11301	Wilhelmina, April	Ntl Mgr, Information Gove	Info Security & Governanc	Vancouver	01/14/2013
12083	Williams, Janice	Nat. Dir, Mar & Bus.Devel	Marketing & Bus. Developm	Toronto	05/29/2017
12150	Williscroft, Melissa	Research Librarian	Research and Library Serv	Toronto	10/10/2017
12295	Wintrip, Lisa	Legal Administrative Assi	Legal Support Services	Calgary	10/01/2018
12345	Woo, Sung Hun Kevin	File Management Specialis	Legal Support Services	Vancouver	02/04/2019
10968	Yankowski, Frances	Billing Coordinator	Finance/Accounting	Montreal	09/11/1989
10970	Yee, Melinda	Legal Administrative Assi	Legal Support Services	Vancouver	11/07/2005
12354	Yeung, Ricky	Business Centre Assistant	Business Centre	Toronto	03/18/2019
10978	Yukawa, Mari L.	Legal Administrative Assi	Legal Support Services	Vancouver	04/25/2000
10980	Yung, Michelle	Legal Administrative Assi	Legal Support Services	Toronto	02/24/1997
12236	Zeno, Sara	Human Resources Coordinat	Human Resources	Toronto	05/15/2018
11986	Zheng, Kang	Info Security Analyst	Info Security & Governanc	Toronto	12/12/2016



Professional Corporations (as at April 18, 2019)

Employee Number	Employee Name (Last Suffix, First MI)	Job	NOG	Work Location	Professional Corporation Name
10994	Avis, Paul	Equity Partner	Financial Services	Toronto	Avis Prof Corp
10044	Balakrishnan, Desmond	Equity Partner	Capital Markets & M&A	Vancouver	DMB Law Corporation
11682	Black, Ryan J	Equity Partner	Business Law	Vancouver	Ryan Black Law Corp.
10085	Botz, Peter	Equity Partner	Tax	Vancouver	Botz Law Corporation
10993	Campbell, Neil	Equity Partner	Regulatory	Toronto	A Neil Campbell Prof Corp
10133	Chapple, Bruce A.	Equity Partner	Business Law	Toronto	B. Chapple Prof Corp
12173	Chertin, Jason Alexander	Equity Partner	Capital Markets & M&A	Toronto	JASON A. CHERTIN PROFESSI
10144	Chevrette, Charles	Equity Partner	Business Law	Montreal	Lex Chevrette Inc
11063	Chisholm, Damon J.	Equity Partner	Commercial Real Estate	Vancouver	Damon J Chisholm Law Corp
10161	Clifford, John F.	Equity Partner	Business Law	Toronto	John F Clifford Prof Corp
10166	Collins, Barbara J.	Counsel	Capital Markets & M&A	Vancouver	Barbara J. Collins
11472	Derbawka, Janet L.	Counsel	Commercial Real Estate	Vancouver	Janet L. Derbawka LawCorp
10206	Deutsch, Thomas	Equity Partner	Capital Markets & M&A	Vancouver	Thomas J Deutsch Law Corp
10197	DeVuono, Carl A.	Equity Partner	Business Law	Toronto	C. De Vuono Prof Corp
10225	Drabinsky, Howard M.	Equity Partner	Business Law	Toronto	Howard Drabinsky Prof Cor
10992	Dunlop, David	Equity Partner	Business Law	Toronto	David Dunlop Prof Corp
10266	Floyd, Gary	Equity Partner	Capital Markets & M&A	Vancouver	Altus Equity Law Corp
10269	Flynn-Guglietti, Mary L.	Equity Partner	Commercial Real Estate	Toronto	Mary L. Flynn-Guglietti P
10286	Friedman, Eric B.	Equity Partner	Financial Services	Toronto	Eric B. Friedman Prof Cor
10287	Friedman, Michael F.	Equity Partner	Tax	Toronto	Michael F. Friedman Prof C
10295	Garrah, Christopher J.	Equity Partner	Business Law	Toronto	C.J. Garrah Prof. Corp.
10313	Giddens, Peter D.	Equity Partner	Regulatory	Toronto	Peter Giddens Prof Corp
10340	Grenier, Glenn	Equity Partner	Advocacy & Employment	Toronto	Glenn Grenier Prof. Corp.
10999	Groom, Sharon	Equity Partner	Regulatory	Toronto	S.E. Groom Prop Corp
10347	Gustafson, Karl	Counsel	Regulatory	Vancouver	K.E.G. Law Corporation
11269	Harkness, Craig	Equity Partner	Commercial Real Estate	Calgary	Craig S. Harkness PC
10407	Junger, Robin	Equity Partner	Regulatory	Vancouver	RMJ Law Corp
10420	Kent, Cory	Equity Partner	Capital Markets & M&A	Vancouver	C.H. Kent Law Corporation
10421	Kent, David W.	Equity Partner	Advocacy & Employment	Toronto	D. W. Kent Prof Corp
10434	Knowler, Sandra	Equity Partner	Business Law	Vancouver	Sandra Knowler Law Corp
11047	Krupa, Henry	Counsel	Regulatory	Toronto	Henry Krupa Law Prof Corp
12168	Levine, Jeffrey	Equity Partner	Advocacy & Employment	Toronto	Jeffrey Levine Prof. Corp
11304	Maerov, Adam	Equity Partner	Financial Services	Calgary	Adam C. Maerov Prof. Corp
11825	McIlwain, Greg	Equity Partner	Business Law	Calgary	Gregory J. McIlwain
10537	McInnes, David	Counsel	Advocacy & Employment	Vancouver	N. David McInnes Law Corp
10564	Miller, Todd	Equity Partner	Tax	Toronto	T. A. Miller Prof Corp
10584	Morrison, John	Counsel	Financial Services	Vancouver	John D. Morrison Law Corp
11000	Murphy, Timothy J.	Equity Partner	Financial Services	Toronto	Timothy J. Murphy PC
12170	Neighbor, Mark C.	Equity Partner	Capital Markets & M&A	Vancouver	Mark Neighbor Law Corpora
10628	Onn, Andrea L.	Equity Partner	Commercial Real Estate	Toronto	Andrea Onn Prof Corp.
10997	Opashinov, Mark	Equity Partner	Regulatory	Toronto	Mark Opashinov Prof. Corp
10649	Pedlow, Douglas	Counsel	Business Law	Vancouver	Douglas H Pedlow Law Corp
11686	Pereira, Jill R.	Equity Partner	Financial Services	Vancouver	Jill R. Pereira Law Corp
11263	Pinsonnault, Guy	Counsel	Regulatory	Ottawa	Guy Pinsonnault Avocat In
10694	Raffin, Leo	Equity Partner	Capital Markets & M&A	Vancouver	Leo Raffin Law Corp
10699	Rankin, Michael S.	Equity Partner	Regulatory	Ottawa	Michael S. Rankin Pro Cor
12331	Reid, Michael E.	Equity Partner	Business Law	Vancouver	Michael Reid Law Corp.
10719	Rigby, Stephen C.	Equity Partner	Business Law	Toronto	Stephen C E Rigby PC
10732	Rogers, R.D. Jeffrey	Equity Partner	Financial Services	Toronto	R.D. Jeffrey Rogers PC
10737	Ross, David N.	Equity Partner	Commercial Real Estate	Toronto	David N. Ross Prof Corp
10741	Rowlands, William A.	Counsel	Commercial Real Estate	Toronto	W.Rowlands Prof Corp.
12247	Schepp, Cameron	Counsel	Capital Markets & M&A	Calgary	Cameron Schepp Profession
10771	Scott, Thomas	Equity Partner	Business Law	Toronto	T.E. Scott Prof Corp
12333	Shannon, Michael	Partner	Capital Markets & M&A	Vancouver	Michael T. Shannon Law Co
10804	Skwarok, Mark	Counsel	Advocacy & Employment	Vancouver	Mark Skwarok Law Corp
10806	Slan, David	Equity Partner	Commercial Real Estate	Toronto	David Slan Prof Corp
11518	Stead, Andrew E.	Equity Partner	Advocacy & Employment	Calgary	Andrew E. Stead Prof Corp
11987	Stuhldreier, Lucia	Counsel	Regulatory	Vancouver	L M Stuhldreier Law Corpo
10853	Taylor, Michael	Equity Partner	Capital Markets & M&A	Vancouver	MHT Law Corporation

Professional Corporations (as at April 18, 2019)

10856	Templeton, Michael D.	Equity Partner	Tax	Toronto	Michael Templeton Prof Co
10860	Theodorakis, Tom	Equity Partner	Business Law	Vancouver	Tom Theodorakis Law Corp
10874	Tougas, Francois	Equity Partner	Regulatory	Vancouver	FT Law Corporation
10930	Waters, Donald	Equity Partner	Financial Services	Toronto	D. M. Waters Prof Corp
10941	Whitcombe, Michael P.	Equity Partner	Business Law	Toronto	M. P. Whitcombe Prof Corp
10965	Yaksich, Mickey	Counsel	Tax	Toronto	Mickey Yaksich Prof Corp
11052	Young, Joan M.	Equity Partner	Advocacy & Employment	Vancouver	Joan M. Young Law Corp
10985	Zinkhofer, Bernhard J.	Counsel	Capital Markets & M&A	Vancouver	Bernard Zinkhofer LC
10987	Zivot, Louis	Counsel	Advocacy & Employment	Vancouver	Louis J. Zivot Law Corp

APPENDIX C
ACTIVE NON-LAWYER COUNSULTANTS OF THE FIRM AS OF MARCH 1, 2019
(Excluding Patent & Trademark Agents)

Name of Firm: McMillan LLP

SECTION A

Professional Service Provided or Type of Profession	# Person in Same Profession	Location (Province)	Client Contact	Advise Clients	Supervised by Lawyers	Underlying Insurance ^{/1}	% of Time Docketed ^{/2}
Strategic Advisors	3	VAN	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	0.00
Strategic Advisors	1	TOR	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	0.00
Strategic Advisor	1	HK	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	0.00
Strategic Advisors	5	OTT	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input type="checkbox"/> Yes <input checked="" type="checkbox"/> No	<input checked="" type="checkbox"/> Yes <input type="checkbox"/> No	0.00
			<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	

SECTION B

Please provide the following details on the underlying insurances purchased and attach a copy of the policies:

Type of Exposure: Misc. Professional Liability
Insurance Carrier: Chubb Insurance Company of Canada
Policy Number: 8207-4107
Period of Insurance: October 31, 2018 - October 31, 2019
Retroactive Date: _____
Limits: \$ 2,000,000 per claim, \$ 2,000,000 aggregate

Type of Exposure: _____
Insurance Carrier: _____
Policy Number: _____
Period of Insurance: _____
Retroactive Date: _____
Limits: \$ _____ per claim, \$ _____ aggregate

^{/1} If underlying insurance is purchased, please complete Section B.

^{/2} PLEASE COMPLETE THIS COLUMN ONLY FOR INDIVIDUALS WHO ARE NOT ACTING UNDER THE SUPERVISION OF A LAWYER AND FOR THAT PORTION OF TIME THE INDIVIDUAL IS NOT ACTING UNDER THE SUPERVISION OF A LAWYER.

	Employee Name (Last Suffix, First MI)	Job	NOG	Work Location
	Day, Stockwell	Senior Strategic Advisor	Marketing & Bus. Developm	Vancouver
	Duncan, Dwight	Senior Strategic Advisor	Marketing & Bus. Developm	Toronto
	Reynolds, John	Senior Strategic Advisor	Marketing & Bus. Developm	Vancouver
	Dai, Hongyan	Senior Strategic Advisor	Marketing & Bus. Developm	Vancouver
	Shao, Patty	Strategic Advisor	Marketing & Bus. Developm	Hong Kong
	Resnick, Mark	Managing Director	McMillan Vantage	Ottawa
	Headley, Kate	Principal	McMillan Vantage	Ottawa
	Paradis, Melanie	Director	McMillan Vantage	Toronto
	McLaughlin, Graeme	Principal	McMillan Vantage	Ottawa
	Osgoode, Robyn	Principal, Communications	McMillan Vantage	Ottawa

*

Mahoney, Richard
Murphy, Tim
Chevrette, Charles
Cullen, Timothy
O'Hara, Jonathan
Kubrick, Geoffrey
Flynn-Guglietti, Mary
Forristal, Annik
Jones, Richard
Junger, Robin
Richmond, Mike
Willis, Peter

*** The individuals highlighted above are practising lawyers who also engage in providing advisory services and are listed (or will be listed) in our Strategic Advisors Professional Liability Policy. They have been included in the lawyer headcount and not in the advisors headcount since they primarily are engaged in the practice of law.**

CHUBB INSURANCE COMPANY OF CANADA

DECLARATIONS

RENEWAL OF: 8207-4107
(October 31, 2017 to October 31, 2018)

POLICY NUMBER: 8207-4107

THIS IS A CLAIMS MADE POLICY WHICH APPLIES ONLY TO ANY CLAIM FIRST MADE AGAINST THE INSURED AND REPORTED IN WRITING TO THE COMPANY DURING THE POLICY PERIOD OR, IF PURCHASED, THE EXTENDED REPORTING PERIOD. THE LIMITS OF LIABILITY AVAILABLE TO PAY DAMAGES SHALL BE REDUCED BY DEFENCE EXPENSES, AND DEFENCE EXPENSES WILL BE APPLIED AGAINST THE DEDUCTIBLE.

Item 1. **INSURED - ADDRESS:**

LML & S Limited Partnership., McMillan LLP, McMillan Vantage Policy Group LP
1500 - 1055 West Georgia Street
VANCOUVER, BC V6E4N7

Item 2. **POLICY PERIOD:**

- (a) Inception Date: October 31, 2018
 - (b) Expiration Date: October 31, 2019
- at 12:01 a.m. both dates at the Address in ITEM 1.

Item 3. **LIMITS OF LIABILITY** (inclusive of **DEFENCE Expenses**):

- (a) \$2,000,000.00 maximum limit of liability each **Claim** or **Related Claims**, but not to exceed
- (b) \$2,000,000.00 maximum aggregate limit of liability under the Policy for all **Claims**.

Item 4. **DEDUCTIBLE:** \$10,000.00 each **Claim** or **Related Claims**.

Item 5. **PREMIUM:** \$12,968.00

Item 6. **PROFESSIONAL SERVICES:**

Business and Market Research, Public Sector Consulting but excluding Legal Services

Item 7. **RETROACTIVE DATE:** Not Applicable, at 12:01 a.m. at the Address in ITEM 1.

CHUBB

Miscellaneous Professional Liability

IN WITNESS WHEREOF, CHUBB INSURANCE COMPANY OF CANADA has caused this policy to be signed by its President.

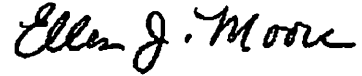
CHUBB INSURANCE COMPANY OF CANADA



Authorized Representative

08/17/2018

Date



President

THIS IS A CLAIMS MADE POLICY WITH DEFENCE EXPENSES INCLUDED IN THE LIMITS OF LIABILITY. PLEASE READ THE ENTIRE POLICY CAREFULLY.

Subject to the applicable limits of liability and all of the terms and conditions of this Policy, Chubb Insurance Company of Canada (the Company) and the Insured agree as follows:

I. INSURING AGREEMENT

The Company will pay on behalf of the Insured Damages which the Insured shall become legally obligated to pay and DEFENCE Expenses as a result of any Claim first made against the Insured during the Policy Period and reported in writing to the Company during the Policy Period for a Wrongful Act first committed on or after the Retroactive Date stated in ITEM 7 of the Declarations. As part of and subject to the applicable limits of liability, the Company shall have the right and duty to defend any such Claim, even if the Claim is groundless.

II. DEFINITIONS

- (A) "Claim" means any civil action, suit, proceeding or demand by any person or entity seeking to hold the Insured responsible for monetary damages as a result of a Wrongful Act actually or allegedly committed by the Insured or by any other person for whose Wrongful Acts the Insured is legally responsible.
- (B) "Damages" means judgments or settlements negotiated with the approval of the Company; however, Damages shall not include:
- (1) fines, taxes, or penalties;
 - (2) fees or other charges of the Insured; or
 - (3) punitive or exemplary damages or the multiplied portion of any multiplied damage award, except where permitted by law Damages shall include, an amount not exceeding the sum of \$25,000, the multiplied portion of a multiplied damages award or an award of punitive or exemplary damages, which sum shall be part of and not in addition to the applicable limits of liability.
- (C) "DEFENCE Expenses" means reasonable expenses and legal fees incurred with the approval of the Company in the investigation, adjustment, DEFENCE or appeal of a Claim against the Insured; however, DEFENCE Expenses shall not include salaries, overhead or benefit expenses or other fees and charges of the Insured.
- (D) "Insured" means the person or entity stated in ITEM 1 of the Declarations, and any natural person who was, is or shall become a director, officer, employee or partner thereof, but only while such person was, is or shall be acting within the scope of his or her duties as such.
- (E) "Policy Period" means the period from the inception date to the expiration date stated in ITEM 2 of the Declarations, or to any earlier cancellation date.
- (F) "Professional Services" means only services performed for others for a fee and which are listed in ITEM 6 of the Declarations.
- (G) "Related Claims" means collectively all Claims involving the same Wrongful Act or Wrongful Acts which are logically or causally connected by reason of any common fact, circumstance, situation, transaction, event or decision.
- (H) "Wrongful Act" means any actual or alleged act, error or omission committed solely in the performance of, or failure to perform Professional Services.
-

III. EXCLUSIONS

This Policy shall not apply to any **Claim**:

- (A) based on or directly or indirectly arising out of or resulting from any criminal, fraudulent, dishonest or discriminatory act or omission or any act, error or omission committed with knowledge of its wrongful nature or with intent to cause damage, or the gaining by the **Insured** of any personal profit, gain or advantage to which the **Insured** was not legally entitled; however, the Company shall reimburse the **Insured** for any **DEFENCE Expenses** that would have been payable under this Policy if, upon final disposition of such **Claim**, such allegations have not been proven;
 - (B) for non-pecuniary relief;
 - (C) based on or directly or indirectly arising out of or resulting from any actual or alleged bodily injury to or sickness, disease or death of any person, or damage to or destruction of any tangible property, including the loss of use thereof;
 - (D) based on or directly or indirectly arising out of or resulting from:
 - (1) any actual, alleged or threatened exposure to, or generation, storage, transportation, discharge, emission, release, dispersal, escape, treatment, removal or disposal of any smoke, vapors, soot, fumes, acids, alkalis, toxic chemicals, liquids or gases, waste materials (including materials which are intended to be or have been recycled, reconditioned or reclaimed) or other irritants, pollutants or contaminants, or
 - (2) any regulation, order, direction or request to test for, monitor, clean up, remove, contain, treat, detoxify or neutralize any of the foregoing, or any action taken in contemplation or anticipation of any such regulation, order, direction or request;
 - (E) by or on behalf of any person or entity included within the definition of **Insured** against any other person or entity included within the definition of **Insured**;
 - (F) based on or directly or indirectly arising out of or resulting from the performance of or failure to perform **Professional Services** for:
 - (1) the **Insured**, or
 - (2) any entity owned or controlled by any person or entity included within the definition of **Insured**, or
 - (3) any person or entity which owns or controls any entity included within the definition of **Insured**, or
 - (4) any entity which is under common ownership or control with any entity included within the definition of **Insured**, or
 - (5) any entity of which any person included within the definition of **Insured** is a director, officer, partner or principal shareholder;
 - (G) based on or directly or indirectly arising out of or resulting from any act, error, omission, fact, circumstance, situation, transaction, event or decision which is the subject of any notice or claim under any prior policy; or any other act, error, or omission, whenever occurring, which is logically or causally connected by reason of any common fact, circumstance, situation, transaction, event or decision, with any act, error or omission which is the subject of such notice or such claim.
-

IV. CONDITIONS**(A) Territory:**

This Policy applies only to **Wrongful Acts** committed, and to **Claims** made against the **Insured**, in the United States of America, its territories or possessions, or Canada.

(B) Notice:

- (1) As a condition precedent to any right to coverage afforded by this Policy, the **Insured** must give written notice to the Company of any **Claim** as soon as practicable after such **Claim** is first made and, subject to Section IV (C) below, during the **Policy Period** or **Extended Reporting Period**, if applicable.
- (2) If the **Insured** becomes aware of any circumstances which may subsequently give rise to a **Claim** against the **Insured** and, during the **Policy Period** or, if applicable,

The **Extended Reporting Period**, gives the Company written notice of

- (1) the nature and date of the specific **Wrongful Act**, and
- (2) the names of potential claimants, and
- (3) the injury or consequences which have or might result therefrom, and
- (4) the manner in which the **Insured** first became aware of the potential for a **Claim** therefrom, then any **Claim** subsequently made against the **Insured** arising out of such **Wrongful Act** shall be deemed to have been made during the **Policy Period** or, if applicable, the **Extended Reporting Period**.

(C) Additional Time to Report Certain Claims:

If a **Claim** is first made against the **Insured** during the last fourteen (14) calendar days of the **Policy Period**, such **Claim** will be deemed to have been reported to the Company during the **Policy Period** if:

- (1) the **Insured** makes reasonable efforts to report such **Claim** immediately, and
- (2) the **Insured** gives the Company written notice of such **Claim** no later than fourteen (14) calendar days after the **Policy Period**.

(D) Date of Related Claims:

Related Claims shall be deemed to have been first made at the earlier of the following times:

- (1) at the time the earliest of the **Related Claims** was first made, or
- (2) at the earliest time at which notice was given under any policy of insurance of any act, error, omission, fact, circumstance, situation, transaction, event or decision underlying any of the **Related Claims**.

(E) DEFENCE and Settlement of Claims:

The **Insured** shall not admit any liability for or settle any **Claim** or incur any costs, charges or expenses without the written consent of the Company. The Company shall have the right to make investigations and conduct negotiations and, with the written consent of the **Insured**, enter into such settlement of any **Claim** that the Company deems appropriate. If the **Insured** shall refuse to consent to a settlement acceptable to the claimant in accordance with the Company's recommendation, the **Insured** shall thereafter negotiate or defend such **Claim** at the **Insured's** own expense independently of the Company, and, subject to the applicable limits of liability of this Policy, the Company's liability for such **Claim** shall not exceed the amount for which such **Claim** could have been settled plus **DEFENCE Expenses** incurred with the Company's consent up to the date the **Insured** refused to settle such **Claim**.

(F) **Limits of Liability and Deductibles:**

- (1) Regardless of the number of **Claims**, the number of persons or entities included with the definition of **Insured**, or the number of claimants who make **Claim** against the **Insured**:
 - (a) the amount stated in ITEM 3(a) of the Declarations shall be the maximum limit of liability of the Company for all **Damages** and **DEFENCE Expenses** resulting from each **Claim** or **Related Claims** made against the **Insured**, which amount shall be part of and not in addition to the amount stated in ITEM 3(b) of the Declarations, and
 - (b) the amount stated in ITEM 3(b) of the Declarations shall be the maximum aggregate limit of liability of the Company for all **Damages** and **DEFENCE Expenses** resulting from all **Claims** under this Policy, and
 - (c) the deductible stated in ITEM 4 of the Declarations shall apply separately to each **Claim** or **Related Claims** and shall also apply to either **Damages** or **DEFENCE Expenses** or both.
- (2) **DEFENCE Expenses** shall be part of and not in addition to the applicable limits of liability, and payment of **DEFENCE Expenses** by the Company shall reduce, and may exhaust, the applicable limits of liability.
- (3) The obligations of the Company to pay **Damages** and to defend any **Claim** seeking **Damages** from the **Insured** or pay **DEFENCE Expenses** shall only be in excess of the deductible, which amount shall be borne by the **Insured** at the **Insured's** own expense. The Company shall have no obligation whatsoever, either to the **Insured** or to any other person or entity, to pay all or any portion of the deductible amount on behalf of the **Insured**. The Company shall, however, at its sole discretion, have the right and option to do so, in which event the **Insured** will repay the Company any amounts so paid.
- (4) The Company shall not be obligated to pay any **Damages** or to defend or continue to defend any **Claim** after the applicable limit of liability has been exhausted by the payment of **Damages** or **DEFENCE Expenses** or both.

(G) **Extended Reporting Period:**

- (1) If this Policy is not renewed for any reason or is canceled for any reason other than for non-payment of premium, the **Insured** shall have the right to purchase an extension of the coverage granted by this Policy for a period of one (1) year after the effective date of such cancellation or non-renewal (an Extended Reporting Period), but only with respect to any **Wrongful Act** committed before the effective date of such cancellation or non-renewal and otherwise covered by this Policy. The premium for this Extended Reporting Period shall be seventy-five percent (75%) of the premium set forth in ITEM 5 of the Declarations, as the same may be amended from time to time, and must be paid within thirty (30) days after the effective date of cancellation or non-renewal. Such additional premium shall be deemed fully earned immediately upon the inception of the Extended Reporting Period.
- (2) The Company's limits of liability during the Extended Reporting Period shall be part of, and not in addition to, the Company's limits of liability stated in ITEM 3 of the Declarations.

(H) **Other Insurance:**

This policy shall be specifically excess over, and shall not contribute with, any other valid and collectible insurance, whether such other insurance is stated to be primary, contributing, excess (except insurance specifically in excess of this Policy), contingent or otherwise.

(I) **Cooperation and Subrogation:**

- (1) In the event of a **Claim**, or after giving the Company notice of circumstances which may subsequently give rise to a **Claim**, the **Insured** will provide the Company with all information, assistance and cooperation as the Company may reasonably request. The **Insured** shall, upon the Company's request, assist in making settlements and the conduct of actions, suits or proceedings. The **Insured** shall attend hearings, trials and depositions and shall assist in securing and giving evidence and obtaining the attendance of witnesses.
- (2) The **Insured** will do nothing that may prejudice the Company's position or the Company's potential or actual rights of recovery in the event of a **Claim**.
- (3) In the event of payment under this policy, the Company shall be subrogated to, and entitled to an assignment of all of the rights of recovery therefor of the **Insured**. The **Insured** shall execute all papers and shall do everything that may be necessary to secure such rights, including the execution of such documents as may be necessary to enable the Company effectively to pursue and enforce such rights and to bring suit in the name of the **Insured**.

(J) **Cancellation:**

- (1) The Company may cancel this Policy by delivering or mailing to the **Insured** by registered, certified or other first class mail written notice stating when, not less than thirty (30) days thereafter, such cancellation shall be effective.
- (2) The **Insured** may cancel this Policy by surrendering this Policy or by mailing or delivering to the Company notice stating when thereafter such cancellation shall be effective.
- (3) The mailing of notice as aforesaid shall be sufficient proof of notice. If this Policy is canceled by the Company, the earned premium shall be computed pro rata. If this Policy is canceled by the **Insured**, the earned premium shall be computed in accordance with the customary short rate table and procedure. Premium adjustment may be made either at the time cancellation is effective or as soon as practicable after cancellation becomes effective, but payment or tender of unearned premium is not a condition of cancellation.

(K) **Entire Agreement:**

The **Insured** agrees that this Policy, including any endorsements and the application attached to and forming part of this Policy, and including any materials submitted in connection with such application, which are on file with the Company and are a part of this Policy, as if physically attached, constitutes the entire agreement existing between the **Insured** and the Company or any of its agents relating to this insurance.

(L) **Representations:**

The **Insured** represents that the particulars and statements contained in the application and all materials submitted in connection therewith are true, accurate and complete, and agrees that this Policy is issued in reliance on the truth of that representation, and that such particulars and statements, which are incorporated into and constitute a part of this Policy, are the basis of this Policy and are material to the Company's acceptance of this risk.

(M) **No Action Against Company; Bankruptcy of Insured:**

- (1) No action shall be taken against the Company unless, as conditions precedent thereto, there shall have been full compliance with all of the terms of this Policy and the amount of the obligation of the **Insured** to pay shall have been finally determined either by judgment against the **Insured** after adjudicatory proceedings, or by written agreement of the **Insured**, the claimant and the Company.

-
- (2) No person or entity shall have any right under this Policy to join the Company as a party to any **Claim** against the **Insured** to determine the liability of the **Insured**, nor shall the Company be impleaded by the **Insured** or the **Insured's** legal representative in any such **Claim**.
- (3) Bankruptcy or insolvency of the **Insured** or of the **Insured's** estate shall not relieve the Company of any of its obligations hereunder.

(N) **Authorization and Notices:**

The person or entity stated in ITEM 1 of the Declarations shall be the sole agent, and shall act on behalf of all **Insureds** with respect to all matters under this Policy, including but not limited to giving and receiving notices and other communications, effecting or accepting any endorsements to or cancelation of this Policy, the payment of premium and the receipt of any return premiums, and the purchase of any Extended Reporting Period.

(O) **Changes:**

Notice to any agent or knowledge possessed by any agent or other person acting on behalf of the Company shall not effect a waiver or change in any part of this Policy or estop the Company from asserting any right under its terms, conditions and limitations; nor shall the terms, conditions and limitations of this Policy be waived or changed except by written endorsement issued to form a part of this Policy.

(P) **No Transfer or Assignment of Insured's Interest:**

No transfer or assignment of interest under this Policy or any cause of action against the Company arising out of its performance of, or alleged failure to perform in accordance with the terms and conditions of this Policy shall be effective without the Company's written consent; however, in the event of the death, incapacity or bankruptcy of any person or entity within the definition of **Insured**, a **Claim** against the estate, heirs, legal representatives or assigns or the **Insured** for a **Wrongful Act** by such **Insured** shall be considered a **Claim** against the **Insured**.

(Q) **Headings:**

The descriptions in the headings and sub-headings of this Policy are solely for convenience, and form no part of the terms and conditions of the Policy.

Miscellaneous Professional Liability Chubb

Schedule of Forms

To be attached to and form part of
Policy No. 8207-4107

Company: Chubb Insurance Company of Canada

Issued to: LML & S Limited Partnership., McMillan LLP, McMillan Vantage Policy Group LP

CE 14-02-16105 (4/10 ed.)

CE 14-02-16110 (9/14 ed.)

CE 14-02-16123 (12/10 ed.)

CE 14-02-5551 (2/02 ed.)

CE 14-02-5615 (5/11 ed.)

CE 14-02-5623 (10/12 ed.)

CE 17-02-9182 (7/16 ed.)

CE 17-02-9198 (1/14 ed.)

ENDORSEMENT

Effective date of
this endorsement: October 31, 2018

Company: Chubb Insurance Company of Canada
Endorsement No. 1
To be attached to and
form a part of Policy No. 8207-4107

Issued to: LML & S Limited Partnership., McMillan LLP, McMillan Vantage Policy Group LP

It is agreed that in the event of a **Claim** where an **Insured** incurs both **DEFENCE Expenses** and/or **Damages** that are covered by this Policy and also **DEFENCE Expenses** and/or **Damages** that are not covered by this Policy, either because such **Claim** includes both covered and uncovered matters or because such **Claim** is made against both covered and uncovered parties, then coverage shall apply as follows:

- (A) **DEFENCE Expenses:** one hundred percent (100%) of reasonable and necessary **DEFENCE Expenses** incurred by the **Insureds** from such **Claim** will be considered covered; and
- (B) **Damages:** all remaining loss incurred by an **Insured** from such **Claim** will be allocated between covered loss and uncovered loss based upon the relative legal exposures of the parties to such matters.

Regardless of any allocation in accordance with either paragraph (A) or (B) above, the Deductible shall be the amount stated in Item 4 of the Declarations.

ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.



Authorized Representative

ENDORSEMENT

Effective date of
this endorsement: October 31, 2018

Company: Chubb Insurance Company of Canada

Endorsement No. 2

To be attached to and
form a part of Policy No. 8207-4107

Issued to: LML & S Limited Partnership., McMillan LLP, McMillan Vantage Policy Group LP

It is agreed that:

1. AMENDED SECTION II DEFINITIONS

(i) The following Definitions are deleted in their entirety and replaced by the following:

(A) **"Claim"** means

(1) any of the following:

(a) a written demand for monetary damages or non-monetary relief;

(b) a civil proceeding commenced by the service of a complaint or a similar pleading; or

(c) an arbitration proceeding;

including any appeal therefrom that seeks to hold the **Insured** responsible for a **Wrongful Act**, actually or allegedly committed by the **Insured** or by any other person for whose **Wrongful Acts** the **Insured** is legally responsible; or

(2) a written request to toll or waive a statute of limitations relating to a potential **Claim** described in paragraph (1) above.

Except as may be provided in Section IV Condition (B) Notice, a **Claim** will be deemed to have been first made when such **Claim** is commenced as set forth in this definition (or, in the case of a written demand or request, including but not limited to a demand for arbitration, when such demand or request is first received by an **Insured**).

(D) **"Insured"** means:

(1) the person or entity stated in ITEM 1 of the Declarations, any **Subsidiary** of such entity; and any natural person who was, is or shall become a director, officer, employee or partner thereof, but only while such person was, is or shall be acting within the scope of his or her duties as such; and

(2) any independent contractor of the **Insured**, but only with respect to **Claims** arising out of **Professional Services** done for or at the direction of the **Insured**, and only if and to the extent that the **Insured**, after evaluating the merits of the **Claim**, has agreed in writing to include such independent contractor as an **Insured** under this Policy.

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- (H) **"Wrongful Act"** means
 - (1) any actual or alleged act, error or omission committed solely in the performance of, or failure to perform **Professional Services**; and/or
 - (2) any actual or alleged libel, slander or other form of defamation, or invasion or infringement of the right of privacy, committed solely in the performance of, or failure to perform **Professional Services**; and/or
 - (3) any actual or alleged breach of duty, committed solely in the performance of, or failure to perform, **Professional Services**.
 - (ii) The following Definitions are added to this Policy:
 - (I) **"Application"** means all signed applications, including attachments and other materials submitted therewith or incorporated therein, submitted by the **Insureds** to the Company for this Policy or for any policy of which this Policy is a direct or indirect renewal or replacement. **Application** shall also include all documents provided by the **Insureds** to the Company in connection with the underwriting or issuance of this Policy, including quarterly and annual reports, financial statements, proxy statements and other notices to shareholders, whether provided to the Company directly or indirectly through the use of public databases or similar sources.
 - (J) **"Common Law Partner"** means any natural person qualifying as a common law partner under the provisions of any applicable federal, provincial, territorial, state, or local law or under the provisions of any formal program established by the **Insured**.
 - (K) **"Subsidiary"** means any entity created or acquired on or before the Inception Date in Item 2(a) of the Declarations, during any time in which the **Insured** owns, directly or through one or more **Subsidiaries**, more than 50% of the outstanding securities representing the right to vote for the election of such entity's directors.

2. AMENDED SECTION III EXCLUSIONS
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- (i) The following Exclusions are deleted in their entirety and replaced by the following:
 - (A) based upon, arising from, or in consequence of:
 - (1) any fraudulent act or omission or any wilful violation of any statute or regulation by such **Insured**, if a final and non-appealable judgment or adjudication adverse to such **Insured** establishes such a fraudulent act or omission or wilful violation; or
 - (2) an **Insured** having gained in fact any profit, remuneration or advantage to which such **Insured** was not legally entitled.

However, in respect of this Exclusion (A), no fact pertaining to or knowledge possessed by any **Insured** who is a natural person shall be imputed to any other **Insured** who is a natural person to determine if coverage is available. Furthermore, only facts pertaining to and knowledge possessed by any past, present or future managing partner, chief financial officer, in-house general counsel, risk manager, president, chief executive officer or chairperson of an **Insured** entity shall be imputed to such **Insured** entity to determine if coverage is available.

- (ii) The following Exclusions are added to this Policy:
- (H) based on, arising from, or in consequence of any actual or alleged **Wrongful Act** first committed before October 2, 2006 if, on or before October 2, 2006, any person or entity included within the definition of **Insured** knew or could have reasonably foreseen that such **Wrongful Act** did or could result in a **Claim** against any **Insured**.
 - (I) based on, arising from, or in consequence of any fact, circumstance, situation, transaction, event or **Wrongful Act** underlying or alleged in any prior and/or pending litigation or administrative or regulatory proceeding as of October 2, 2006.
 - (J) based on, arising from, or in consequence of any electronic dissemination of faxes, e-mail or other similar communication of any kind whatsoever, regardless of the nature or form of such communication or the medium by which such communication is communicated, to multiple actual or prospective customers or clients of any **Insured** or to any third party, in contravention of any law, including any statute, regulation or order.
 - (K) based upon, arising from, or in consequence of any actual or alleged guarantee, promise or warranty, either express or implied, or verbal or written, relating to:
 - (1) costs or cost savings; and/or
 - (2) future value, return on investment, purchase, lease, assign or sale of any real or personal property; and/or
 - (3) the performance of any investment adviser, manager or custodian.

3. AMENDED SECTION IV CONDITIONS

- (i) The following Conditions are deleted in their entirety and replaced by the following:
- (A) **Territory:**
Coverage shall extend anywhere in the world.
 - (E) **DEFENCE and Settlement of Claims**
The **Insured** shall not admit any liability for or settle any **Claim** or incur any costs, charges or expenses without the written consent of the Company. The Company shall have the right to make investigations and conduct negotiations and, with the written consent of the **Insured**, make any settlement of any **Claim** it deems appropriate.
 - (L) **Representations:**
In issuing this Policy the Company has relied upon the statements, representations and information in the **Application**. All of the **Insureds** acknowledge and agree that all such statements, representations and information (i) are true and accurate, (ii) were made or provided in order to induce the Company to issue this Policy, and (iii) are material to the Company's acceptance of the risk to which this Policy applies.

In the event that any of the statements, representations or information in the **Application** are not true and accurate, this Policy shall be void with respect to any **Insured** who knew as of the effective date of the **Application** the facts that were not truthfully and accurately disclosed (whether or not the **Insured** knew of such untruthful disclosure in the **Application**) or to whom knowledge of such facts is imputed. For purposes of the preceding sentence:

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- (1) the knowledge of any **Insured** who is a natural person and a past, present or future managing partner, chief financial officer, in-house general counsel, risk manager, president, chief executive officer or chairperson of an **Insured** entity shall be imputed to such **Insured** entity and its **Subsidiaries**;
 - (2) the knowledge of the natural person(s) who signed the **Application** for this Policy shall be imputed to all of the **Insureds**; and
 - (3) except as provided in (1) above, the knowledge of a natural person who is an **Insured** who did not sign the **Application** shall not be imputed to any other **Insured**.
- (ii) The following Conditions are added to this Policy
- (R) **Changes in Exposure**
- (1) If any **Insured** which is an entity:
 - (a) acquires securities or voting rights in another organization or creates another organization, which as a result of such acquisition or creation becomes a **Subsidiary**, or
 - (b) acquires any organization by merger into or consolidation with an **Insured** which is an entity,

such organization and its duly elected directors, duly elected or appointed officers and employees shall be **Insureds** under this Policy but only with respect to **Wrongful Acts** committed, attempted, or allegedly committed or attempted, after such acquisition or creation unless the Company agrees, after presentation of a complete application and all appropriate information, along with payment of any additional premium required by the Company, to provide coverage by endorsement for **Wrongful Acts** committed, attempted, or allegedly committed or attempted, by such **Insured** prior to such acquisition or creation.

If the fair value of all cash, securities, assumed indebtedness and other consideration paid by the **Insured** which is an entity for any such acquisition or creation exceeds 10% of the total assets of that **Insured** as reflected in that **Insured's** most recent audited consolidated financial statements, that **Insured** shall give written notice of such acquisition or creation to the Company as soon as practicable together with such information as the Company may require and shall pay any reasonable additional premium required by the Company.
 - (2) If:
 - (a) any **Insured** which is an entity merges into or consolidates with another organization, or
 - (b) another organization or person or group of organizations and/or persons in concert acquire securities or voting rights which result in ownership or voting control by the other organization(s) or person(s) of more than 50% of the outstanding securities representing the present right to vote for election of directors of that **Insured**, coverage under this Policy shall continue until termination of this Policy, but only with respect to **Claims** for **Wrongful Acts** committed, attempted, or allegedly committed or attempted by the **Insureds** prior to such merger, consolidation or acquisition. The **Insured** shall give written notice of such merger, consolidation or acquisition as soon as practicable, together with such information as the Company may require.

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- (3) In the event an organization ceases to be a **Subsidiary** before or after the inception date of this Policy, coverage with respect to such **Subsidiary** and its **Insureds** who are natural persons shall continue until termination of this Policy but only with respect to **Claims** for **Wrongful Acts** committed, attempted, or allegedly committed or attempted, prior to the date such organization ceased to be a **Subsidiary**.

(S) **Spouses, Estates and Legal Representatives**

Coverage shall extend to **Claims** arising from the **Professional Services** of an **Insured** who is a natural person made against:

- (1) the lawful spouse or **Common Law Partner** of such **Insured**, if named as a co-defendant with such **Insured** solely by reason of such person's status as a spouse or **Common Law Partner**, or such spouse or **Common Law Partner's** ownership interest in property that is sought by a claimant as recovery for an alleged act, error or omission of such **Insured**; and
- (2) the estate, heirs, legal representatives or assigns of such **Insured** if such **Insured** is deceased or the legal representatives or assigns of such **Insured** if such **Insured** is incompetent, insolvent or bankrupt.

All terms and conditions of this Policy including, without limitation, the Deductible applicable to **Damages** or **DEFENCE Expenses** incurred by the **Insured**, shall also apply to **Damages** or **DEFENCE Expenses** incurred by the **Insured's** spouse, **Common Law Partner**, estate, heirs, legal representatives or assigns. The coverage provided by this extension shall not apply with respect to any loss arising from an act or omission by an **Insured's** estate, heirs, legal representatives, assigns, spouse or **Common Law Partner**.

ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.



Authorized Representative

ENDORSEMENT

Effective date of
this endorsement: October 31, 2018

Company: Chubb Insurance Company of Canada
Endorsement No. 3
To be attached to and
form a part of Policy No. 8207-4107

Issued to: LML & S Limited Partnership., McMillan LLP, McMillan Vantage Policy Group LP

It is agreed that Section IV CONDITIONS (E) **DEFENCE and Settlement of Claims** of the Policy is deleted in its entirety and replaced by the following:

(E) DEFENCE and Settlement of Claims

The **Insured** shall not admit any liability for or settle any **Claim** or incur any costs, charges or expenses without the written consent of the Company. The Company shall have the right to make investigations and conduct negotiations and, with the written consent of the **Insured**, make any settlement of any **Claim** it deems appropriate. If an **Insured** withholds consent to any settlement acceptable to the claimant in accordance with the Company's recommendation (a "Proposed Settlement"), the Company's liability for all **Loss**, including **DEFENCE Expenses**, from such **Claim** shall not exceed:

1. the amount of the Proposed Settlement plus **DEFENCE Expenses** incurred up to the date of the **Insured's** refusal to consent to the Proposed Settlement of such **Claim**; plus
2. fifty percent (50%) of any **Damages** and **DEFENCE Expenses**, in excess of the amount referenced in 1. above, incurred in connection with such **Claim** subject to the Deductible and the available Limits of Liability for such **Claim**. The remaining fifty percent (50%) of any **Damages** and **DEFENCE Expenses**, in excess of the amount referenced in 1. above shall be borne by the **Insured** uninsured and at their own risk.

ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.



Authorized Representative

ENDORSEMENT

Effective date of
this endorsement: October 31, 2018

Company: Chubb Insurance Company of Canada

Endorsement No. 4

To be attached to and
form a part of Policy No. 8207-4107

Issued to: LML & S Limited Partnership., McMillan LLP, McMillan Vantage Policy Group LP

It is agreed that:

- (1) No coverage will be available under this Policy for **Claims** based on or directly or indirectly arising out of or resulting from:
 - (a) any actual or alleged act, error or omission, misstatement, misleading statement, or breach of duty in connection with the rendering of, or actual or alleged failure to render, any **Legal Services**, as defined in Paragraph (2) below; or
 - (b) the commingling of, or inability or failure to pay, collect or safeguard, any money or funds.
- (2) The term "**Legal Services**" means:
 - (a) services performed for others which by law can only be performed by licensed attorneys; regardless of whether any such services were performed by a licensed attorney; and/or
 - (b) services performed for others by a licensed attorney as an administrator, conservator, executor, trustee, guardian or committee or in any similar fiduciary capacity incidental to the practice of law; and/or
 - (c) services performed for others by a licensed attorney as a notary public.

CHUBB

ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.

A handwritten signature in black ink, consisting of a series of loops and a final upward stroke.

Authorized Representative

ENDORSEMENT

Effective date of
this endorsement: October 31, 2018

Company: Chubb Insurance Company of Canada

Endorsement No. 5

To be attached to and
form a part of Policy No. 8207-4107

Issued to: LML & S Limited Partnership., McMillan LLP, McMillan Vantage Policy Group LP

It is agreed that:

- (1) The following are added to Section II DEFINITIONS of this Policy:
- "Accounting Services"** means financial accounting work, tax opinions, auditing, assurance, attestation or certification of financial statements that is performed either by an **Insured** while acting in their capacity as a public accountant or a public accountant sub-contracted by the **Insured** to provide such accounting services for or on behalf of others.
- "Architectural or Engineering Services"** means services performed by either an **Insured** while acting in their capacity as a consulting architect or engineer or a consulting architect or engineer sub-contracted by the **Insured** to provide any such architectural or engineering services for or on behalf of others.
- (2) The following are added to Section III EXCLUSIONS of this Policy:
- (1) based on or directly or indirectly arising out of or resulting from any **Wrongful Act** where an **Insured** has intentionally exceeded or acted beyond their contractual or discretionary authority to make and/or implement decisions;
 - (2) based on or directly or indirectly arising out of or resulting from any **Accounting Services** or **Architectural or Engineering Services**; or
 - (3) based on or directly or indirectly arising out of or resulting from any solicitation, sourcing, structuring, due diligence, valuations or negotiating the purchase or sale of assets on behalf of third parties.

ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.



Authorized Representative

ENDORSEMENT

Effective date of
this endorsement: October 31, 2018

Company: Chubb Insurance Company of Canada

Endorsement No. 6

To be attached to and
form a part of Policy No. 8207-4107

Issued to: LML & S Limited Partnership., McMillan LLP, McMillan Vantage Policy Group LP

It is agreed that this Policy shall not apply to any **Claim** based on or directly or indirectly arising out of or resulting from any actual or alleged:

- (A) infringement of, or contribution to, or inducement of the infringement of any: copyright, patent, trademark, service mark, service name;
- (B) plagiarism or misappropriation of property rights, information, ideas or trade secrets; or
- (C) intentional false advertising or unfair trade practices with respect to the advertising or sales of the **Insured's** own products, publications or services.

ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.



Authorized Representative

ENDORSEMENT

Effective date of
this endorsement: October 31, 2018

Company: Chubb Insurance Company of Canada
Endorsement No. 7

To be attached to and
form a part of Policy No. 8207-4107

Issued to: LML & S Limited Partnership., McMillan LLP, McMillan Vantage Policy Group LP

STATUTORY CONDITIONS AMENDATORY ENDORSEMENT

For Contracts of Insurance made in
Alberta, British Columbia, and Manitoba

This policy has been issued with this Statutory Conditions Endorsement that amends sections of this policy. These Statutory Conditions apply where an insured under this policy of insurance is domiciled or where any insured property in issue is located in Alberta, British Columbia, or Manitoba.

In consideration of the premium charged and notwithstanding anything to the contrary in this policy, it is agreed that in the event of any conflict between the terms and conditions of this Endorsement (in part or in its entirety) and the terms and conditions of the other policy terms and conditions or endorsements (in part or in their entirety), the provisions that are more favourable to the insured shall govern. Nothing in this Endorsement is intended nor shall be construed to violate or vary from the requirements of the Insurance Acts of Alberta, British Columbia, or Manitoba. Statutory Condition 1 and Statutory Conditions 6 to 13 apply only to contracts that include insurance against loss or damage to property, Statutory Conditions 2 to 5 and Statutory Condition 14 apply only to contracts that include liability insurance.

Every action or proceeding against an insurer for the recovery of insurance money payable under the contract is absolutely barred unless commenced within the time set out in the Insurance Act.

Any provision in this Policy that specifically excludes an actual or alleged criminal or intentional act or omission of the insured shall only exclude coverage otherwise provided to the natural person insured who actually or allegedly committed the aforementioned conduct. All other insureds seeking coverage must fully cooperate with the insurer investigating the loss, including submission to an examination under oath if the insurer so requests, and production of documents requested by the insurer which relate to the loss.

STATUTORY CONDITIONS**MISREPRESENTATION**

- 1 If a person applying for insurance falsely describes the property to the prejudice of the insurer, or misrepresents or fraudulently omits to communicate any circumstance that is material to be made known to the insurer in order to enable it to judge the risk to be undertaken, the contract is void as to any property in relation to which the misrepresentation or omission is material.

PROPERTY OF OTHERS

- 2 The insurer is not liable for loss or damage to property owned by a person other than the insured unless
 - (a) otherwise specifically stated in the contract, or
 - (b) the interest of the insured in that property is stated in the contract.

CHANGE OF INTEREST

- 3 The insurer is liable for loss or damage occurring after an authorized assignment under the Bankruptcy and Insolvency Act (Canada) or a change of title by succession, by operation of law or by death.

MATERIAL CHANGE IN RISK

- 4 (1) The insured must promptly give notice in writing to the insurer or its agent of a change that is
- (a) material to the risk, and
 - (b) within the control and knowledge of the insured.
- (2) If an insurer or its agent is not promptly notified of a change under subparagraph (1) of this condition, the contract is void as to the part affected by the change.
- (3) If an insurer or its agent is notified of a change under subparagraph (1) of this condition, the insurer may
- (a) terminate the contract in accordance with Statutory Condition 5, or
 - (b) notify the insured in writing that, if the insured desires the contract to continue in force, the insured must, within 15 days after receipt of the notice, pay to the insurer an additional premium specified in the notice.
- (4) If the insured fails to pay an additional premium when required to do so under subparagraph (3)(b) of this condition, the contract is terminated at that time and Statutory Condition 5(2)(a) applies in respect of the unearned portion of the premium.

TERMINATION OF INSURANCE

- 5 (1) The contract may be terminated
- (a) by the insurer giving to the insured 15 days' notice of termination by registered mail or 5 days' written notice of termination personally delivered, or
 - (b) by the insured at any time on request.
- (2) If the contract is terminated by the insurer,
- (a) the insurer must refund the excess of premium actually paid by the insured over the prorated premium for the expired time, but in no event may the prorated premium for the expired time be less than any minimum retained premium specified in the contract, and
 - (b) the refund must accompany the notice unless the premium is subject to adjustment or determination as to amount, in which case the refund must be made as soon as practicable.
- (3) If the contract is terminated by the insured, the insurer must refund as soon as practicable the excess of premium actually paid by the insured over the short rate premium for the expired time specified in the contract, but in no event may the short rate premium for the expired time be less than any minimum retained premium specified in the contract.
- (4) The 15-day period referred to in subparagraph (1)(a) of this condition starts to run on the day the registered letter or notification of it is delivered to the insured's postal address.

REQUIREMENTS AFTER LOSS

- 6 (1) On the happening of any loss or damage to insured property, the insured must, if the loss or damage is covered by the contract, in addition to observing the requirements of Statutory Condition 9,
- (a) immediately give notice in writing to the insurer,

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- (b) deliver as soon as practicable to the insurer a proof of loss in respect of the loss or damage to the insured property verified by statutory declaration
 - (i) giving a complete inventory of that property and showing in detail quantities and costs of that property and particulars of the amount of loss claimed,
 - (ii) stating when and how the loss occurred, and if caused by fire or explosion due to ignition, how the fire or explosion originated, so far as the insured knows or believes,
 - (iii) stating that the loss did not occur through any wilful act or neglect or the procurement, means or connivance of the insured,
 - (iv) stating the amount of other insurances and the names of other insurers,
 - (v) stating the interest of the insured and of all others in that property with particulars of all liens, encumbrances and other charges on that property,
 - (vi) stating any changes in title, use, occupation, location, possession or exposure of the property since the contract was issued, and
 - (vii) stating the place where the insured property was at the time of loss,
 - (c) if required by the insurer, give a complete inventory of undamaged property showing in detail quantities and cost of that property, and
 - (d) if required by the insurer and if practicable,
 - (i) produce books of account and inventory lists,
 - (ii) furnish invoices and other vouchers verified by statutory declaration, and
 - (iii) furnish a copy of the written portion of any other relevant contract.
- (2) The evidence given, produced or furnished under subparagraph (1)(c) and (d) of this condition must not be considered proofs of loss within the meaning of Statutory Conditions 12 and 13.

FRAUD

- 7 Any fraud or wilfully false statement in a statutory declaration in relation to the particulars required under Statutory Condition 6 invalidates the claim of the person who made the declaration.

WHO MAY GIVE NOTICE AND PROOF

- 8 Notice of loss under Statutory Condition 6(1)(a) may be given and the proof of loss under Statutory Condition 6(1)(b) may be made
- (a) by the agent of the insured if
 - (i) the insured is absent or unable to give the notice or make the proof, and
 - (ii) the absence or inability is satisfactorily accounted for, or
 - (b) by a person to whom any part of the insurance money is payable, if the insured refuses to do so, or in the circumstances described in clause (a) of this condition.

SALVAGE

- 9 (1) In the event of loss or damage to insured property, the insured must take all reasonable steps to prevent further loss or damage to that property and to prevent loss or damage to other property insured under the contract, including, if necessary, removing the property to prevent loss or damage or further loss or damage to the property.
- (2) The insurer must contribute on a prorated basis towards any reasonable and proper expenses in connection with steps taken by the insured under subparagraph (1) of this condition.

ENTRY, CONTROL, ABANDONMENT

- 10 After loss or damage to insured property, the insurer has
- (a) an immediate right of access and entry by accredited representatives sufficient to enable them to survey and examine the property, and to make an estimate of the loss or damage, and
 - (b) after the insured has secured the property, a further right of access and entry by accredited representatives sufficient to enable them to appraise or estimate the loss or damage, but
 - (i) without the insured's consent, the insurer is not entitled to the control or possession of the insured property, and
 - (ii) without the insurer's consent, there can be no abandonment to it of the insured property.

IN CASE OF DISAGREEMENT

- 11 (1) In the event of disagreement as to the value of the insured property, the value of the property saved, the nature and extent of the repairs or replacements required or, if made, their adequacy, or the amount of the loss or damage, those questions must be determined using the applicable dispute resolution process set out in the Insurance Act whether or not the insured's right to recover under the contract is disputed, and independently of all other questions.
- (2) There is no right to a dispute resolution process under this condition until
- (a) a specific demand is made for it in writing, and
 - (b) the proof of loss has been delivered to the insurer.

WHEN LOSS PAYABLE

- 12 Unless the contract provides for a shorter period, the loss is payable within 60 days after the proof of loss is completed in accordance with Statutory Condition 6 and delivered to the insurer.

REPAIR OR REPLACEMENT

- 13 (1) Unless a dispute resolution process has been initiated, the insurer, instead of making payment, may repair, rebuild or replace the insured property lost or damaged, on giving written notice of its intention to do so within 30 days after receiving the proof of loss.
- (2) If the insurer gives notice under subparagraph (1) of this condition, the insurer must begin to repair, rebuild or replace the property within 45 days after receiving the proof of loss and must proceed with all due diligence to complete the work within a reasonable time.

NOTICE

- 14 (1) Written notice to the insurer may be delivered at, or sent by registered mail to, the chief agency or head office of the insurer in the province.
- (2) Written notice to the insured may be personally delivered at, or sent by registered mail addressed to, the insured's last known address as provided to the insurer by the insured.

CHUBB

The title and any headings in this endorsement are solely for convenience and form no part of the terms and conditions of coverage.

ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.



Authorized Representative

ENDORSEMENT

Effective date of
this endorsement: October 31, 2018

Company: Chubb Insurance Company of Canada

Endorsement No. 8

To be attached to and
form a part of Policy No. 8207-4107

Issued to: LML & S Limited Partnership., McMillan LLP, McMillan Vantage Policy Group LP

COMPLIANCE WITH APPLICABLE SANCTION LAWS ENDORSEMENT

In consideration of the premium charged it is agreed that this insurance does not apply to the extent that trade or economic sanctions or other similar laws or regulations prohibit the coverage provided by this insurance.

The title and any headings in this endorsement/rider are solely for convenience and form no part of the terms and conditions of coverage, or the interpretation thereof.

ALL OTHER TERMS AND CONDITIONS REMAINED UNCHANGED.



Authorized Representative

**CUSTOMER NOTICE REGARDING HIGH POLITICAL RISK JURISDICTIONS AND ECONOMIC
SANCTIONS LAWS**

This notice is intended to inform you of: the difficulties we may have in servicing claims in jurisdictions with high political risk or instability; and, the consequences of our obligations to comply with Canadian and international economic sanctions laws. Though your coverage may apply on a world-wide basis, claims arising from events in certain jurisdictions may be very difficult to service. Such difficulties could include our inability to: retain appropriate third parties to assist with the adjustment of the claim; investigate or confirm the circumstances of the claim; and, process transactions with respect to claim investigation and payment. Furthermore, many countries are subject to Canadian, United States and international economic sanctions laws. Economic sanctions laws are legal measures imposed by countries to promote their foreign policy by prohibiting or restricting trade, travel or economic activity with particular countries or targeted entities or individuals. Insurance policies and transactions are often the subject of economic sanctions. Whether economic sanctions laws apply to any particular policy or claim may depend on several factors including where the policy was issued, the nationality, place of residence or place of incorporation of you, your parent company, your subsidiaries, other or additional insureds or the insurer as well as the location where events leading to a claim occur. We will strictly comply with Canadian and applicable international economic sanctions laws. Particularly with respect to international economic sanctions laws, as a wholly owned subsidiary of a United States based corporation, we may be subject to United States economic sanctions laws. Furthermore, services provided to us by our United States based parent which are critical to our ability to conduct business may not be available to us if United States economic sanctions laws apply. It is important that our customers are aware that the servicing difficulties and economic sanctions laws described above may have serious consequences on their coverage. Such consequences could include:

- delays and difficulties in the processing and payment of claims;
- delays and difficulties in responding to underwriting requests;
- the "freezing" of policies, resulting in the inability to process any transactions, including claims payments;
- the denial of claims; or,
- the voiding or cancellation of policies (with or without refunded premium).

APPENDIX D

"ASSOCIATED FIRMS" AND "UMBRELLA FIRMS"

Name of Firm: McMillan LLP

- The Named Insureds under the CLAS policies are McMillan LLP; McMillan S.E.N.C.R.L., s.r.l.; McMillan Binch Mendelsohn LLP; McMillan Binch LLP; Mendelsohn s.e.n.c.
- McMillan Vantage Policy Group LP and Prefix Legal LLP are added as Additional Insureds.
- The Firm has offices in Toronto, Ottawa, Vancouver, Calgary, Montreal and Hong Kong.
- On May 1, 2005, McMillan Binch LLP merged with Mendelsohn s.e.n.c. to form McMillan Binch Mendelsohn LLP.
- On May 28, 2008, McMillan Binch Mendelsohn LLP changed its registered business name to McMillan LLP and McMillan S.E.N.C.R.L., s.r.l. in Quebec.
- On May 1, 2009, McMillan LLP completed a transaction with Thackray Burgess Professional Corporation of Calgary where the Firm employed certain former Thackray Burgess lawyers and certain other lawyers from that firm became partners at the Firm. While the two firms were not formally merging, for the purpose of insurance, CLAS considers this as a merger and recognizes Thackray Burgess as a predecessor firm.
- On January 1, 2011, McMillan LLP and Lang Michener LLP merged and operates under the name McMillan LLP.
- McMillan Binch LLP had formed a national association with two other law firms, Bull, Housser & Tupper of Vancouver and Surrey and Byers Casgrain of Montreal. The national association brought the three firms together for the purpose of providing enhanced levels of service to clients in Canada.
- McMillan Binch LLP also formed an international partnership with the same two law firms in 1990. This international partnership was known as McMillan Bull Casgrain and was formed for the purpose of practising Canadian law outside Canada.
- In 1993, McMillan Bull Casgrain entered into an association with the Hong Kong firm of Robert W.H. Wang & Co. The association was later terminated.
- The McMillan Bull Casgrain association ended on January 31, 2000.
- There is an endorsement on the policy with respect to McMillan Bull Casgrain to cover possible claims relating to the period during which the partnership was in operation.
- Coverage is provided to Thackray Burgess Professional Corporation and its predecessor firms, namely Blanie & Company and Evans Higa Burgess.
- Coverage is also provided to Lang Michener LLP and its predecessor firms, namely Lang Michener; Lang Michener Lawrence & Shaw; Lang Michener Honeywell Wotherspoon; Lang Michener Lash Johnston; Smith, Shaver; Honeywell, Wotherspoon; Lawrence & Shaw; Lash, Johnston; Lang Michener Cranston Farquharson & Wright and Robertson Ward Suderman.

APPENDIX E

PROFESSIONAL SERVICES PROVIDED RELATING TO NON-CANADIAN LAW & PROFESSIONAL SERVICES PROVIDED IN THE U.S. & OUTSIDE OF CANADA

Name of Firm: McMillan LLP

1. Professional Services Provided by Canadian Lawyers Relating to Non-Canadian Law

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services relating to non-Canadian law (not including those which are incidental to the practice of Canadian law). Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time Relating to Non-Canadian Law
Michael Taylor	Vancouver	40%
Herbert Ono	Vancouver	90%
Michael Shannon	Vancouver	55%

2. Professional Services Provided by Canadian Lawyers from a U.S. Office

Please provide the following information on lawyers primarily resident in Canada who provide Professional Services part time in an office or branch of the Firm located in the United States. Please only report on lawyers with more than 5% of docketed time in this category.

Name of Lawyer	Location of Office	% of Docketed Time in the U.S. Office

3. Professional Services Provided by Offices Outside of Canada

Please provide the following information on all lawyers reported in Appendix B under the "Outside of Canada" column.

Location of Office	# of Lawyers Practise 100% Canadian Law	# of Lawyers Practise 100% Non-Canadian Law	# of Lawyers Practise both Canadian & Non-Canadian Law
Hong Kong	1		

4. Other Insurance

For the exposures identified in Questions 1, 2 and 3 above, please provide details of specific insurance protection (e.g. coverage provided for a non-Canadian office or by a non-Canadian law society) as well as a copy of the policies.

Type of Exposure: #1 Professional Liability
Insurance Carrier: Lloyds of London (through Cooper Gay)
Policy Number: B1353DR1601113000
Period of Insurance: July 1, 2018 - July 1, 2019
Retroactive Date:
Limits: \$ 450,000 per claim, \$ 450,000 annual aggregate

Type of Exposure: #3 Professional Liability
Insurance Carrier: Lloyds though Beazley Limited
Policy Number: W0550516DNPS
Period of Insurance: February 19, 2019 - February 18, 2020
Retroactive Date:
Limits: \$ 20,000,000 HKD per claim, \$ 20,000,000 HKD annual aggregate

Type of Exposure:
Insurance Carrier:
Policy Number:
Period of Insurance:
Retroactive Date:
Limits: \$ per claim, \$ annual aggregate

Type of Exposure:
Insurance Carrier:
Policy Number:
Period of Insurance:
Retroactive Date:
Limits: \$ per claim, \$ annual aggregate

Policy No B1353DR1802671000	1353 LNP
<h1>Market Reform Contract</h1>	
Insured/Reinsured McMillan LLP	
Period 01 August 2018 to 01 July 2019	
For LPSO use	
For IUA use	
For LIRMA use	

GR9/2 August 2018/DR1802671JAF



Internal use only
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RISK DETAILS**Unique Market
Reference:**

B1353DR1802671000

Type:

Professional Liability Insurance

Insured:

McMillan LLP U.S. Securities Law and International Law Practice Group

Insured's Address:

1500 Royal Centre – 1055 West Georgia Street
P.O. Box 11117
Vancouver
British Columbia V6E 4N7
Canada

Period:

From: 01 August 2018
To: 01 July 2019

both days at 00:01 a.m. Local Standard Time at the mailing address of
the Insured

Interest:

Professional Liability Insurance, as more fully described in the policy
wording attached

Sum Insured:

CAD450,000 each and every claim and in the aggregate, including
costs, charges and expenses

Retention:

CAD 50,000 each and every claim, including costs, charges and
expenses

Conditions:

As per policy wording and endorsements attached, including but not
limited to:

- (1) Predecessors in Business: All predecessor firms
- (2) Excluding claims made by associated or subsidiary companies
unless emanating from an independent third party, as attached
- (3) NMA 2852 – Contracts (Rights of Third Parties) Act 1999, as
attached
- (4) LMA 5028 – Service of Suit Clause (Canada), as attached
- (5) LMA 3100 (amended for use in Canada) – Sanction Limitation
and Exclusion Clause, as attached
- (6) Foreign Law Practices Endorsement, as attached
- (7) Additional Insured Endorsement (Reema Kapoor, J.D./MBA), as
attached

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It is hereby understood and agreed by any and all underwriters subscribing to this insurance that any subjectivity that has been raised within a quote MRC or quote sheet or otherwise shall be treated as having no application if not included within this final placement MRC. It is incumbent on underwriters to ensure inclusion within the provisions of the final placement MRC any subjectivity which they wish to apply to the cover.

Notices:

LSW1542F - Lloyd's Underwriters' Policyholders' Complaint Protocol, as attached.

LSW1543C - Notice Concerning Personal Information, as attached.

LSW1565C - Code of Consumer Rights and Responsibilities, as attached.

**Choice of Law and
Jurisdiction:**

This insurance will be governed by and interpreted pursuant to the laws of the Province of British Columbia and the laws of Canada applicable therein, and any dispute arising hereunder shall be submitted to the exclusive jurisdiction of the Courts of the Province of British Columbia

Premium:

CAD 25,851 for the Policy Period

Payment Terms:

As per Premium Payment Clause (LSW 3001 amended) attached – 60 days

**Taxes Payable by
Insured and
administered by
Insurers:**

None

**Recording, Transmitting
& Storing Information:**

Where Lloyd & Partners maintains risk and claim data / information / documents Lloyd & Partners may hold data / information / documents electronically

**Insurer Contract
Documentation:**

This document details the contractual terms between the contracting parties, and constitutes the contractual document.

LMA5180 – Intention for AIF to Bind Clause, as attached.

This Contract has been arranged by Lloyd & Partners (a trading name of JLT Specialty Limited).

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**Notice of
Cancellation:**

Where (Re)insurers have the right to give notice of cancellation in accordance with the provisions of the Contract, then:

- To the extent provided by the Contract, the Contract Leader is authorised to issue such notice on behalf of all participating Insurers; and (optionally)
- Any (Re)insurer may issue such notice in respect of its own participation

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PREMIUM PAYMENT CLAUSE

Notwithstanding any provision to the contrary within this contract or any endorsement hereto, the following clause will apply.

The (Re)Insured undertakes that premium will be paid in full to (Re)Insurers within 60 days of inception of this contract (or, in respect of instalment premiums, when due).

If the premium due under this contract has not been so paid to (Re)Insurers by the 60th day from the inception of this contract (and, in respect of instalment premiums, by the date they are due) (Re)Insurers shall have the right to cancel this contract by notifying the (Re)Insured via the broker in writing. In the event of cancellation, premium is due to (Re)Insurers on a pro rata basis for the period that (Re)Insurers are on risk but the full contract premium shall be payable to (Re)Insurers in the event of a loss or occurrence prior to the date of termination which gives rise to a valid claim under this contract.

It is agreed that (Re)Insurers shall give not less than 15 days prior notice of cancellation to the (Re)Insured via the broker. If premium due is paid in full to (Re)Insurers before the notice period expires, notice of cancellation shall automatically be revoked. If not, the contract shall automatically terminate at the end of the notice period.

If any provision of this clause is found by any court or administrative body of competent jurisdiction to be invalid or unenforceable, such invalidity or unenforceability will not affect the other provisions of this clause which will remain in full force and effect.

30/09/08
LSW3001 (amended)

INFORMATION

Information made available to and seen by all subscribing Insurers hereon includes the following:

- (1) Lawyers Professional Liability Insurance Application dated 29 June, 2018 and attachments thereto (42 pages in all).
- (2) E-mail from Robert Wilson of Pro-Form Sinclair Professional - A Hub International Ontario Limited Company dated 23 July 2018 (21:45) and attachments thereto (2 pages in all)
- (3) E-mail from Robert Wilson of Pro-Form Sinclair Professional - A Hub International Ontario Limited Company dated 23 July 2018 (21:44) (2 pages in all)

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SECURITY DETAILS**(Re)insurer's Liability:****(Re)insurer's liability several not joint**

The liability of a (re)insurer under this contract is several and not joint with other (re)insurers party to this contract. A (re)insurer is liable only for the proportion of liability it has underwritten. A (re)insurer is not jointly liable for the proportion of liability underwritten by any other (re)insurer. Nor is a (re)insurer otherwise responsible for any liability of any other (re)insurer that may underwrite this contract.

The proportion of liability under this contract underwritten by a (re)insurer (or, in the case of a Lloyd's syndicate, the total of the proportions underwritten by all the members of the syndicate taken together) is shown next to its stamp. This is subject always to the provision concerning "signing" below.

In the case of a Lloyd's syndicate, each member of the syndicate (rather than the syndicate itself) is a (re)insurer. Each member has underwritten a proportion of the total shown for the syndicate (that total itself being the total of the proportions underwritten by all the members of the syndicate taken together). The liability of each member of the syndicate is several and not joint with other members. A member is liable only for that member's proportion. A member is not jointly liable for any other member's proportion. Nor is any member otherwise responsible for any liability of any other (re)insurer that may underwrite this contract. The business address of each member is Lloyd's, One Lime Street, London EC3M 7HA. The identity of each member of a Lloyd's syndicate and their respective proportion may be obtained by writing to Market Services, Lloyd's, at the above address.

Proportion of liability

Unless there is "signing" (see below), the proportion of liability under this contract underwritten by each (re)insurer (or in the case of a Lloyd's syndicate, the total of the proportions underwritten by all the members of the syndicate taken together) is shown next to its stamp and is referred to as its "written line".

Where this contract permits, written lines, or certain written lines, may be adjusted ("signed"). In that case a schedule is to be appended to this contract to show the definitive proportion of liability under this contract underwritten by each (re)insurer (or, in the case of a Lloyd's syndicate, the total of the proportions underwritten by all the members of the syndicate taken together). A definitive proportion (or, in the case of a Lloyd's syndicate, the total of the proportions underwritten by all the members of a Lloyd's syndicate taken together) is referred to as a "signed line". The signed lines shown in the schedule will prevail over the written lines unless a proven error in calculation has occurred.

Although reference is made at various points in this clause to "this contract" in the singular, where the circumstances so require this should read as a reference to contracts in the plural.

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Order Hereon: 100% of 100%

Basis of Written Lines: Percentage of Whole

Signing Provisions: In the event that the written lines hereon exceed 100% of the order, any lines written "to stand" will be allocated in full and all other lines will be signed down in equal proportions so that the aggregate signed lines are equal to 100% of the order without further agreement of any of the Insurers.

However:

- a) in the event that the placement of the order is not completed by the commencement date of the period of insurance then all lines written by that date will be signed in full;
- b) the signed lines resulting from the application of the above provisions can be varied, before or after the commencement date of the period of insurance, by the documented agreement of the Insured and all Insurers whose lines are to be varied. The variation to the contracts will take effect only when all such Insurers have agreed, with the resulting variation in signed lines commencing from the date set out in that agreement.

Written Lines: In a co-insurance placement, following insurers may, but are not obliged to, follow the premium charged by the Contract Leader.

Insurers may not seek to guarantee for themselves terms as favourable as those which others subsequently achieve during the placement.

SECURITY DETAILS

100%

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A.C.G. MACKAY & OTHERS
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FISCAL & REGULATORY

Taxes Payable by Insurer(s): 4% British Columbia Provincial Premium Tax on 100% of Premium

Country of Origin: Canada

Overseas Broker: Pro-Form Sinclair Professional -
A Hub International Ontario Limited Company
675 Cochrane Drive
Suite 200
East Tower
Markham
Ontario L3R 0B8
Canada

Allocation of Premium to Coding: 100% - E3

Regulatory Client Classification: Commercial

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This Insurance contains a clause which may limit the amount payable.

SCHEDULE

Item 1 POLICY NUMBER

DR1802671

Item 2 FIRM

McMillan LLP U.S. Securities Law and International Law Practice Group

Item 3 PREDECESSORS IN BUSINESS

All predecessor firms

Item 4 ADDRESS OF THE FIRM

1500 Royal Centre – 1055 West Georgia Street
P.O. Box 11117
Vancouver
British Columbia V6E 4N7
Canada

Item 5 PERIOD OF INSURANCE

From: 01 August, 2018
To: 01 July, 2019
both days at 00:01 a.m. Local Standard Time at the mailing address of the Insured

Item 6 EXPIRY DATE

01 July, 2019

Item 7 PREMIUM

CAD 25,851 for the Policy Period

Item 8 SUM INSURED

CAD450,000 each and every claim and in the aggregate,
including costs, charges and expenses

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Item 9 RETENTION

CAD 50,000 each and every claim, including costs charges and expenses

Item 10 DATE OF PROPOSAL

29 June, 2018

Item 11 INSURER

Lloyd's Syndicate 4000

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THIS POLICY SUBJECT TO ITS TERMS, CONDITIONS, EXCLUSIONS AND LIMITATIONS IS APPLICABLE ONLY TO CLAIMS MADE AGAINST INSURED AS DESCRIBED HEREIN DURING THE PERIOD OF INSURANCE OF THE SAID POLICY.

PROFESSIONAL LIABILITY POLICY

Whereas the FIRM (as defined in Clause II (1)) hereof has made to Us who have hereunto subscribed our names as Insurer a written proposal bearing the date stated in the Schedule and containing particulars and statements which it is hereby agreed are the basis of this contract and are to be considered as incorporated herein and have paid or have agreed to pay the sum stated in the said Schedule as consideration to Us:

I. COVERAGE:

The Insurer agrees to pay on behalf of the INSURED those sums that the INSURED becomes legally obligated to pay as the result of any claim made against the INSURED during the PERIOD OF INSURANCE by reason of any ACT (as hereinafter defined) whenever or wherever the same was or may have been committed or alleged to have been committed.

- A. By the INSURED or any other person or entity in or about the conduct of any business conducted by or on behalf of the FIRM in the FIRM'S professional capacity as Attorneys, Barristers, Solicitors, Counsellors at Law or Notaries, or however designated.
- B. By any INSURED acting in his/her professional capacity as Attorney, Barrister, Solicitor, Counsellor at Law or Notary or however designated (whether or not in the name of the FIRM) provided always that a portion of the fee for legal services (if a fee is charged) accruing from such work shall inure to the benefit of the FIRM. In extension and not in limitation of the foregoing, such work shall be deemed to include work as administrator, executor, trustee, guardian, arbitrator, committee for incompetent, agent to title insurance company and/or designated issuing attorney to title insurance company or other fiduciary, or similar agent or advisor provided always that in cases where no portion of the fee for legal services associated with such work inures to the benefit of the FIRM, a portion of the fee for non legal services (if a fee is charged) associated with such work shall inure to the benefit of the FIRM.

II. DEFINITIONS:

- 1. The term "the FIRM" shall mean the persons carrying on business under the name as stated in Item 2 of the Schedule herein and shall also include their predecessors in business as stated in Item 3 of the Schedule.
- 2. The term "INSURED" shall mean each of the following:
 - (a) The FIRM
 - (b) The partners of the FIRM and any other person or persons who may at any time and from time to time be a partner in the FIRM;

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- (c) Partners no longer in the FIRM and/or the estates of deceased partners who were partners in the FIRM at the time of the ACT;
 - (d) The FIRM'S EMPLOYEES as hereinafter defined (and/or estates of deceased EMPLOYEES) or former EMPLOYEES (and/or estates of deceased former EMPLOYEES), but only in respect of any ACT committed in the course of their employment by the FIRM in the conduct of the FIRM'S business, or as provided in Insurance Clause I (B);
 - (e) Persons designated "counsel" (and/or estates of deceased counsel) to the FIRM but only in their capacities as such, or as provided in Insuring Clause I (B);
 - (f) Former partners and EMPLOYEES in respect of services performed on behalf of the FIRM subsequent to retirement or other withdrawal from the FIRM.
3. The term "ACT" shall mean any act, error, or omission whether of acts, facts, law or otherwise or breach of contract or duty or libel or slander or any allegation thereof.
 4. The term "EXCLUDED ACT" shall mean any ACT committed by an individual INSURED for the consequences of which coverage does not extend to that INSURED under the terms, conditions, limitations and exclusions of this policy.
 5. The term "EMPLOYEE" shall include any person whom the FIRM wishes to be regarded as an employee for the purpose of this Policy, even if such person is not actually an employee.

III. EXCLUSIONS:

This Policy excludes:

1. Any claim or circumstances in respect of which the INSURED, before the commencement of this Policy, have given written notice to the insurers on any other policy in force previous hereto;
2. Any claim other than those excluded by EXCLUSION 1 above, for which the INSUREDS are entitled to collect hereunder which is insured by any other policy or policies, except in respect of any excess beyond the amount or amounts of payments under such other policy or policies;
3. Any claim arising out of any INSURED acting in his/her capacity as director and/or officer;
4. Any claim alleging the fraud or dishonesty of any INSURED if a final judgment or other final adjudication thereof shall establish that active and deliberate fraud or dishonesty was committed by such INSURED with actual fraudulent or dishonest purpose and intent, and was material to the claim made. However, nothing contained in the foregoing shall exclude coverage to the FIRM, or to any other INSURED who was not so adjudged to have committed such EXCLUDED ACT as described above;



5. Any claim for bodily injury to, or sickness, disease or death of any person, or injury to or destruction of any tangible property, arising out of ACTS of the INSUREDS.
6.
 - i. Any claim for fines, penalties, punitive or exemplary damages, imposed by a judgment or any other final adjudication. However, this exclusion shall not apply to costs, charges and expenses incurred in the defence of any claim otherwise covered by this Policy which also demands such fines, penalties, punitive or exemplary damages;
 - ii. Any award of treble or other multiple damages pursuant to any statute or law, except that the compensatory amount of such award, prior to being multiplied, shall be deemed covered if the ACTS giving rise to claim upon which such compensatory award is based are otherwise covered by this Policy. However, this exclusion shall not apply to costs, charges and expenses incurred in the defence of any claim otherwise covered by this policy solely by reason of the fact such claim demands treble or other multiple damages.
7. Any liability arising out of professional services rendered or which should have been rendered:
 - (a) in whole or in part by, in the name of or on behalf of an Association or
 - (b) in whole or in part by one or more of the member law firms of an Association other than the Insured

For the purposes of this Exclusion, an Association shall mean:

- (i) any association whose name or business style is held out to the public
- (ii) any international partnership, and
- (iii) any joint partnership

constituted by the Insured with one or more other law firms which are not insured under the policy for the purposes of rendering professional services, marketing professional services, client referrals and/or staff development and education.

8. NUCLEAR INCIDENT EXCLUSION CLAUSE-LIABILITY-DIRECT (BROAD)-CANADA

(For use with all Public Liability Policies except Personal, Farmers' and Storekeepers')

It is agreed that this Policy does not apply:

- (a) to liability imposed by or arising from any nuclear liability act, law or statute, or any law amendatory thereof; nor

- (b) to bodily injury or property damage with respect to which an Insured under this policy is also insured under a contract of nuclear energy liability insurance (whether the Insured is unnamed in such contract and whether or not it is legally enforceable by the Insured) issued by the Nuclear Insurance Association of Canada or any other insurer or group or pool of insurers or would be an Insured under any such policy but for its termination upon exhaustion of its limit of liability; nor
- (c) to bodily injury or property damage resulting directly or indirectly from the nuclear energy hazard arising from:
 - (i) the ownership, maintenance, operation or use of a nuclear facility by or on behalf of an Insured;
 - (ii) the furnishing by an Insured of services, materials, parts or equipment in connection with the planning, construction, maintenance, operation or use of any nuclear facility; and
 - (iii) the possession, consumption, use, handling, disposal or transportation of fissionable substances, or of other radioactive material (except radioactive isotopes, away from a nuclear facility, which have reached the final stage of fabrication so as to be usable for any scientific, medical, agricultural, commercial or industrial purpose) used, distributed, handled or sold by an Insured.

As used in this policy:

1. The term "nuclear energy hazard" means the radioactive, toxic, explosive, or other hazardous properties of radioactive material;
2. The term "radioactive material" means uranium, thorium, plutonium, neptunium, their respective derivatives and compounds, radioactive isotopes of other elements and any other substances which may be designated by or pursuant to any law, act or statute, or law amendatory thereof as being prescribed substances capable of releasing atomic energy, or as being requisite for the production, use or application of atomic energy;
3. The term "nuclear facility" means:
 - (a) any apparatus designed or used to sustain nuclear fission in a self-supporting chain reaction or to contain a critical mass of plutonium, thorium and uranium or any one or more of them;
 - (b) any equipment or device designed or used for (i) separating the isotopes of plutonium, thorium and uranium or any one or more of them, (ii) processing or utilising spent fuel, or (iii) handling, processing or packaging waste;

- (c) any equipment or device used for the processing, fabricating or alloying of plutonium, thorium or uranium enriched in the isotope uranium 233 or in the isotope uranium 235, or any one or more of them if at any time the total amount of such material in the custody of the Insured at the premises where such equipment or device is located consists of or contains more than 25 grams of plutonium or uranium 233 or any combination thereof, or more than 250 grams of uranium 235;
- (d) any structure, basin, excavation, premises or place prepared or used for the storage or disposal of waste radioactive material;

and includes the site on which any of the foregoing is located, together with all operations conducted thereon and all premises used for such operations.

- 4. The term "fissionable substance" means any prescribed substance that is, or from which can be obtained, a substance capable of releasing atomic energy by nuclear fission.
- 5. With respect to property, loss of use of such property shall be deemed to be property damage.

It is understood and agreed that, except as specifically provided in the foregoing to the contrary, this Clause is subject to the terms, exclusions, conditions and limitations of the Policy to which it is attached.

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9 WAR AND TERRORISM EXCLUSION ENDORSEMENT

Notwithstanding any provision to the contrary within this insurance or any endorsement thereto it is agreed that this insurance excludes loss, damage, cost or expense of whatsoever nature directly or indirectly caused by, resulting from or in connection with any of the following regardless of any other cause or event contributing concurrently or in any other sequence to the loss;

- 1. war, invasion, acts of foreign enemies, hostilities or warlike operations (whether war be declared or not), civil war, rebellion, revolution, insurrection, civil commotion assuming the proportions of or amounting to an uprising, military or usurped power; or
- 2. any act of terrorism.

For the purpose of this endorsement an act of terrorism means an act, including but not limited to the use of force or violence and/or the threat thereof, of any person or group(s) of persons, whether acting alone or on behalf of or in connection with any organisation(s) or government(s), committed for political, religious, ideological or similar purposes including the intention to influence any government and/or to put the public, or any section of the public, in fear.

This endorsement also excludes loss, damage, cost or expense of whatsoever nature directly or indirectly caused by, resulting from or in connection with any action taken in controlling, preventing, suppressing or in any way relating to 1 and/or 2 above.

If the Insurers allege that by reason of this exclusion, any loss, damage, cost or expense is not covered by this insurance the burden of proving the contrary shall be upon the Insured.

In the event any portion of this endorsement is found to be invalid or unenforceable, the remainder shall remain in full force and effect.

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IV. CONDITIONS:

1. Limits:

The limit of liability of the Insurer shall not exceed the sum stated in the Schedule (herein referred to as the SUM INSURED) for all claims made against all INSUREDS during each period of insurance, including costs, charges and expenses incurred in connection with any claim, subject to the terms, conditions, exclusions and limitations of this Policy.

All claims arising out of the same ACT or related ACTS covered hereunder shall be considered a single claim.

2. Retention:

In respect of any claims covered hereunder, this Policy is only to pay the excess of the RETENTION stated in Item 9 of the Schedule, in respect of each and every claim including costs, charges and expenses. It is understood and agreed that if the INSUREDS are required by law or regulation to purchase separate insurance under a Compulsory Bar Program, this Policy, subject to its terms, conditions and limitations, shall pay excess of either:

1. The RETENTION stated in the Schedule

Or

2. The amounts of any recoveries under such separate insurance and/or any other applicable Law Society Program purchased either on a mandatory basis or at the Insured's discretion.

WHICHEVER IS GREATER

The amount of any recoveries under such separate insurance shall apply as though borne by the INSUREDS.

3. Cancellation Clause and Extended Reporting Period:

This policy is non-cancelable during the Period of Insurance as stated in the Schedule except:

1. By mutual consent.
2. By the Insurer if:
 - (a) The Canadian Lawyers Liability Assurance Society (CLLAS) is dissolved; or
 - (b) The Insured Firm is dissolved or merges with a firm outside of the CLLAS program and discontinues the CLLAS underlying protection; or
 - (c) The INSURED has failed to pay a premium when due or has failed, after demand, to reimburse the Insurer such amounts as the Insurer had paid in settlement or satisfaction of claims or judgment in excess of the applicable limit of the Insurers' liability.

In the event of the above, this Policy may be cancelled by the Insurer by mailing a written notice of cancellation to the FIRM at the address shown in this Policy stating when not less than 30 (thirty) days thereafter such cancellation shall be effective. The mailing of notices as aforesaid shall be sufficient proof of notice. The effective date and hour of cancellation stated in the notice shall become the end of the Period of Insurance. Delivery of such written notice by the Insurer shall be equivalent to mailing.

3. If the Insurer cancels, the computed pro rata cancellation of the annual premium will be charged to the INSURED. If the Insurer shall refuse to renew this Policy, the INSURED shall have the right, in consideration of an additional premium equal to 150% of the annual premium for this policy to any extension of the cover granted by this Policy to apply, subject to its terms, conditions, exclusions and limitations, to Claims first made against the INSURED during the period of 12 calendar months after the expiry date but only when such Claim arises out of Professional Services rendered prior to the expiry date. To exercise this right the INSURED must give notice in writing (together with payment of the additional premium) not later than 30 days after the expiry date. In the event of failure by the INSURED to give such notice, the INSURED shall not at a later date be entitled to give such notice. The mailing of notice by the INSURED by registered mail to the Insurer shall be sufficient proof of notice. For the purpose of establishing the Limit of Liability under this extended reporting period, the period of 12 months referred to herein shall not in any way increase the Sum Insured of this Policy as stated in Item 8 of the Declarations, which limit shall apply to the Last Period of Insurance and the extended reporting period taken together.

4. Partnership Dissolution Extension:

In the event of the dissolution of the FIRM hereunder during the Policy Period, the Insurer hereby agrees in consideration of the payment of an additional premium of 150% of the last annual premium paid for this Policy, to extend coverage granted by this Policy, subject to its terms, conditions, exclusions and limitations to any Claim first made against the FIRM during the period of 12 calendar months after the date of dissolution but only when such Claim arises out of Professional Services rendered prior to the date of dissolution. This right is conditional upon the FIRM giving notice in writing not later than 30 days after such date of dissolution (together with payment of the additional premium).

In the event of failure by the FIRM to give such notice prior to such date, the FIRM shall not at a later date be entitled to invoke this extension. The mailing by the FIRM by registered mail of notice to the Insurer shall be sufficient proof of notice. For the purposes of establishing the Insurer's Limit of Liability under this extended reporting period, the period of 12 months referred to herein shall not in any way increase the Limit of Liability of this Policy, which limit shall apply to the last applicable Period of Insurance and the extended reporting period taken together.

5. Arbitration:

In the event of any dispute between the INSURED and the Insurer respecting any matter arising from or in relation to this Policy, such dispute shall be referred to arbitration before a single arbitrator as mutually agreed upon by the INSURED and the Insurer. The INSURED and the Insurer further agree that the procedure to be followed in every arbitration under this condition shall be set and determined with the arbitrator appointed by the INSURED and the Insurer in accordance with the *Arbitration Act, 1991* (Ontario).

6. Claims Procedures:

- A. The INSURED, as a condition precedent to their right to indemnity under this Policy, shall give the Insurer written notice of any claim made against any INSURED as soon as practicable, but in no event later than the end of the Period of Insurance.
- B. If during the Period of Insurance, the INSURED becomes aware of a specific act, error or omission which is reasonably expected to be likely to give rise to a claim and the INSURED seek indemnity for such claim, then, as a condition precedent to their right to indemnity under this Policy, the INSURED must during the Period of Insurance give written notice to the Insurer of:
 - 1. the specific act, error or omission of the relevant INSURED;
 - 2. the reasons for anticipating the likelihood of a claim;
 - 3. the identity of the potential claimant;
 - 4. the amount of actual or potential damages; and

5. how and when the INSURED first became aware of such specific act, error or omission.

If such details are provided in full then any claim subsequently made against the INSURED arising out of such specific act, error or omission, shall be deemed to have been made at the time such notice was received by the Insurer.

- C. The INSURED shall give notice under this clause to the Insurer (via the INSURED'S broker or other agent only) at the address specified in the Schedule. Notice shall be deemed reported on the date and at the time of receipt by the Insurer.
- D. The INSURED, as a condition precedent to their right to indemnity under this Policy, shall, at their own cost, co-operate with the Insurer and provide such assistance and information as the Insurer may reasonably request.
- E. The INSURED shall assist in making settlements, in the conduct of suits and in enforcing any right of contribution or indemnity against any person or organization who may be liable to the INSURED because of acts, errors or omissions with respect to which insurance is afforded under this Policy; and the INSURED shall attend hearings and trials and assist in securing and giving evidence and obtaining the attendance of witnesses.

7. Costs:

Insurers agree that they will pay costs, charges and expenses incurred in connection with the defense of any claims covered hereunder, subject to the following conditions:

- i. If the claim made against the INSUREDS is disposed of without payments, Insurers will pay all costs, charges and expenses in excess of the RETENTION but not exceeding the SUM INSURED;
- ii. The cost of any appeal, attachment or similar bonds required to be furnished in connection with the contest of any claims covered hereunder is included in the term "costs, charges and expenses", and the INSUREDS shall not be required to give security for such bonds.

8. Other Conditions:

- a. If the INSUREDS shall make any claim knowing the same to be false or fraudulent, as regards amount or otherwise, this Policy shall become void and all claims hereunder shall be forfeited.
- b. Payments by the Insurer, if any, shall be made in Canadian Dollars.

9. Subrogation:

Any individual INSURED who commits an EXCLUDED ACT shall cease to be an INSURED under this Policy for all purposes relating to the loss caused or alleged to have been caused thereby, and Insurers shall be entitled to have and to exercise all rights of subrogation against such individual as a third party. In the event that such individual is nonetheless deemed by law to remain an INSURED for these purposes, then coverage shall only extend under this policy in respect of the loss caused by the EXCLUDED ACT to the extent that such loss exceeds the value of the individual INSURED'S assets in the FIRM.

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ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1701795

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

ENDORSEMENT NUMBER: ONE

ADDITIONAL EXCLUSION

Clause III. EXCLUSIONS is hereby amended by the addition of the following:

10. Any claim made by an associated or subsidiary company of the FIRM, unless such claim emanates from an independent third party.

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ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1701795

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

ENDORSEMENT NUMBER: TWO

**CONTRACTS (RIGHTS OF THIRD PARTIES) ACT 1999 CLARIFICATION
CLAUSE**

A person who is not a party to this contract has no right under the Contracts (Rights of Third Parties) Act 1999 to enforce any term of this contract but this does not affect any right or remedy of a third party which exists or is available apart from that Act

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ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1701795

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

ENDORSEMENT NUMBER: THREE

**SERVICE OF SUIT CLAUSE (CANADA)
(Action against Insurer)**

In any action to enforce the obligations of the Underwriters they can be designated or named as "Lloyd's Underwriters" and such designation shall be binding on the Underwriters as if they had each been individually named as defendant. Service of such proceedings may validly be made upon the Attorney In Fact in Canada for Lloyd's Underwriters, whose address for such service is 1155, rue Metcalfe, Suite 1540, Montreal, Quebec, H3B 2V6.

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Form approved by Lloyd's Market Association

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ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1701795

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

ENDORSEMENT NUMBER: FOUR

SANCTION LIMITATION AND EXCLUSION CLAUSE

No (re)insurer shall be deemed to provide cover and no (re)insurer shall be liable to pay any claim or provide any benefit hereunder to the extent that the provision of such cover, payment of such claim or provision of such benefit would expose that (re)insurer to any sanction, prohibition or restriction under United Nations resolutions or the trade or economic sanctions, laws or regulations of the European Union, United Kingdom or United States of America. This clause will be effective except where it contravenes Canadian laws.

I.MA3100 (amended)

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ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1701795

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

ENDORSEMENT NUMBER: FIVE

FOREIGN LAW PRACTICES ENDORSEMENT

In accordance with II. DEFINITIONS 2. this insurance is extended to cover the following individuals for their practice of foreign law, whilst performing these services solely for the Insured, as shown below:

Former Foreign Law Practitioners

Yongliang Zhang for the practice of Chinese Law
Retroactive Date: 01 July, 2009

Claudia Quinzio for the practice of Chilean Law
Retroactive Date: 14 February, 2013

Katherine Xiulan (Katherine Hua) for the practice of Chinese law
Retroactive Date: 25 February, 2013

Raquel Quaresma as a Foreign Advisor
Retroactive Date: 01 July, 2013

Juan Pablo for the practice of Chilean Law
Retroactive Date: 14 April, 2011

Anastasia Mamay for the practice of Russian Law
Retroactive Date: 07 February, 2012



ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1701795

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

ENDORSEMENT NUMBER: SIX

**ADDITIONAL INSURED ENDORSEMENT
(Reema Kapoor, J.D./MBA)**

It is hereby noted and agreed that Reema Kapoor, J.D./MBA ("Reema Kapoor") is added to this insurance Policy as an additional INSURED and:

(i) 'I. COVERAGE:' of the wording is amended by the addition of:

"C By Reema Kapoor solely in respect of claims arising from ACTS committed or alleged to have been committed by her in the course of providing legal services for or on behalf of McMillan LLP."

(ii) 'III. EXCLUSIONS:' of the wording is amended by the addition of:

"10. Any claim arising out of the ACTS of McMillan LLP or any derivative or vicarious liability incurred by Reema Kapoor by reason of the ACTS of any other attorney associated with McMillan LLP. For clarity this exclusion does not apply to the coverage given under I.C."

Notwithstanding the foregoing, the limits of liability of the Insurer shall not be increased and shall not exceed the limit for each and every claim nor for all claims in the aggregate, as set forth in **Item 8 SUM INSURED** of the Schedule, or any additions or endorsements thereto.

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ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1701795

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

ENDORSEMENT NUMBER: SEVEN

CHOICE OF LAW AND JURISDICTION

This insurance will be governed by and interpreted pursuant to the laws of the Province of British Columbia and the laws of Canada applicable therein, and any dispute arising hereunder shall be submitted to the exclusive jurisdiction of the Courts of the Province of British Columbia.

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ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1701795

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

ENDORSEMENT NUMBER: EIGHT

PREMIUM PAYMENT CLAUSE

Notwithstanding any provision to the contrary within this contract or any endorsement hereto, the following clause will apply.

The (Re)Insured undertakes that premium will be paid in full to (Re)Insurers within 60 days of inception of this contract (or, in respect of instalment premiums, when due).

If the premium due under this contract has not been so paid to (Re)Insurers by the 60th day from the inception of this contract (and, in respect of instalment premiums, by the date they are due) (Re)Insurers shall have the right to cancel this contract by notifying the (Re)Insured via the broker in writing. In the event of cancellation, premium is due to (Re)Insurers on a pro rata basis for the period that (Re)Insurers are on risk but the full contract premium shall be payable to (Re)Insurers in the event of a loss or occurrence prior to the date of termination which gives rise to a valid claim under this contract.

It is agreed that (Re)Insurers shall give not less than 15 days prior notice of cancellation to the (Re)Insured via the broker. If premium due is paid in full to (Re)Insurers before the notice period expires, notice of cancellation shall automatically be revoked. If not, the contract shall automatically terminate at the end of the notice period.

If any provision of this clause is found by any court or administrative body of competent jurisdiction to be invalid or unenforceable, such invalidity or unenforceability will not affect the other provisions of this clause which will remain in full force and effect.

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ATTACHING TO AND FORMING PART OF POLICY NUMBER: DR1701795

ISSUED TO: McMillan LLP U.S. Securities Law and International Law Practice Group

ENDORSEMENT NUMBER: NINE

(RE)INSURERS LIABILITY CLAUSE

(Re)insurer's liability several not joint

The liability of a (re)insurer under this contract is several and not joint with other (re)insurers party to this contract. A (re)insurer is liable only for the proportion of liability it has underwritten. A (re)insurer is not jointly liable for the proportion of liability underwritten by any other (re)insurer. Nor is a (re)insurer otherwise responsible for any liability of any other (re)insurer that may underwrite this contract.

The proportion of liability under this contract underwritten by a (re)insurer (or, in the case of a Lloyd's syndicate, the total of the proportions underwritten by all the members of the syndicate taken together) is shown next to its stamp. This is subject always to the provision concerning "signing" below.

In the case of a Lloyd's syndicate, each member of the syndicate (rather than the syndicate itself) is a (re)insurer. Each member has underwritten a proportion of the total shown for the syndicate (that total itself being the total of the proportions underwritten by all the members of the syndicate taken together). The liability of each member of the syndicate is several and not joint with other members. A member is liable only for that member's proportion. A member is not jointly liable for any other member's proportion. Nor is any member otherwise responsible for any liability of any other (re)insurer that may underwrite this contract. The business address of each member is Lloyd's, One Lime Street, London EC3M 7HA. The identity of each member of a Lloyd's syndicate and their respective proportion may be obtained by writing to Market Services, Lloyd's, at the above address.

Proportion of liability

Unless there is "signing" (see below), the proportion of liability under this contract underwritten by each (re)insurer (or, in the case of a Lloyd's syndicate, the total of the proportions underwritten by all the members of the syndicate taken together) is shown next to its stamp and is referred to as its "written line".

Where this contract permits, written lines, or certain written lines, may be adjusted ("signed"). In that case a schedule is to be appended to this contract to show the definitive proportion of liability under this contract underwritten by each (re)insurer (or, in the case of a Lloyd's syndicate, the total of the proportions underwritten by all the members of the syndicate taken together). A definitive proportion (or, in the case of a Lloyd's syndicate, the total of the proportions underwritten by all the members of a Lloyd's syndicate taken together) is referred to as a "signed line". The signed lines shown in the schedule will prevail over the written lines unless a proven error in calculation has occurred.

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Although reference is made at various points in this clause to "this contract" in the singular, where the circumstances so require this should be read as a reference to contracts in the plural.

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LLOYD'S UNDERWRITERS' POLICYHOLDERS' COMPLAINT PROTOCOL

Lloyd's strives to enhance your customer experience with us through superior service and innovative insurance products.

We have developed a formal complaint handling protocol in accordance with the Insurance Companies Act of Canada to ensure your concerns as our valued customer are addressed expeditiously by our representatives. This protocol will assist you in understanding the steps we will undertake to help resolve any dispute which may arise with our product or service. All complaints will be handled in a professional manner. All complaints will be investigated, acted upon, and responded to in writing or by telephone by a Lloyd's representative promptly after the receipt of the complaint. If you are not satisfied with our products or services, you can take the following steps to address the issue:

- Firstly, please contact the broker who arranged the insurance on your behalf about your concerns so that he or she may have the opportunity to help resolve the situation.
- If your broker is unable to help resolve your concerns, we ask that you provide us in writing an outline of your complaint along with the name of your broker and your policy number.

Please forward your complaint to:

Lloyd's Underwriters

Attention: Complaints Officer:

1155 rue Metcalfe, Suite 2220, Montréal (Québec) H3B 2V6

Tel: 1-877-455-6937 - Fax: (514) 861-0470

E-mail: info@lloyds.ca

Your complaint will be directed to the appropriate business contact for handling. They will write to you within two business days to acknowledge receipt of your complaint and to let you know when you can expect a full response. If need be, we will also engage internal staff in Lloyd's Policyholder and Market Assistance Department in London, England, who will respond directly to you, and in the last stages, they will issue a final letter of position on your complaint.

In the event that your concerns are still not addressed to your satisfaction, you have the right to continue your pursuit to have your complaint reviewed by the following organizations:

General Insurance OmbudService (GIO): assists in the resolution of conflicts between insurance customers and their insurance companies. The GIO can be reached at:

Toll free number: 1-877-225-0446

www.giocanada.org

For Quebec clients:

Autorité des marchés financiers (AMF): The regulation of insurance companies in Quebec is administered by the AMF. If you remain dissatisfied with the manner in which your complaint has been handled, or with the results of the complaint protocol, you may send your complaint to the AMF who will study your file and who may recommend mediation, if it deems this action appropriate and if both parties agree to it. The AMF can be reached at

Toll Free: 1-877-525-0337

Québec: (418) 525-0337

Montréal: (514) 395-0311

www.lautorite.qc.ca

If you have a complaint specifically about Lloyd's Underwriters' complaints handling procedures you may contact the FCAC.

Financial Consumer Agency of Canada (FCAC) provides consumers with accurate and objective information about financial products and services, and informs Canadians of their rights and responsibilities when dealing with financial institutions. FCAC also ensures compliance with the federal consumer protection laws that apply to banks and federally incorporated trust, loan and insurance companies. The FCAC does not get involved in individual disputes. The FCAC can be reached at:

427 Laurier Avenue West, 6th Floor, Ottawa ON K1R 1B9

Services in English: 1-866-461-FCAC (3222)

Services in French: 1-866-461-ACFC (2232)

www.fcac-acfc.gc.ca



NOTICE CONCERNING PERSONAL INFORMATION

How we use your information

By purchasing insurance from certain Underwriters at Lloyd's, London ("Lloyd's"), a customer provides Lloyd's with his or her consent to the collection, use and disclosure of personal information. Consent is subject to the customer's understanding of the nature, purpose and consequences of the collection, use or disclosure of their personal information.

Information is collected and stored for the following purposes:

- the communication with Lloyd's policyholders
- the underwriting of policies
- the evaluation of claims
- the analysis of business results
- purposes required or authorized by law

What personal information we collect about you

We collect, process and store the following personal information about you:

- Name
- Address including postal code and country
- Policy number
- Claim number
- Credit card details
- Bank account details

We also collect information about you when you visit www.lloyds.com. Further details can be found on our online Privacy & Cookies policy at <http://www.lloyds.com/common/privacy-and-cookies-statement>.

We will not use your personal information for marketing purposes and we will not sell your personal information to other parties.

Who we disclose your information to

For the purposes identified, personal information may be disclosed to Lloyd's related or affiliated organisations or companies, their agents/mandataires, and to certain non-related or unaffiliated organisations or companies, including service providers. These entities may be located outside Canada therefore a customer's information may be processed in a foreign jurisdiction (the United Kingdom and the European Union) and their information may be accessible to law enforcement and national security authorities of that jurisdiction.

Disclosure without consent

The following are reasonable grounds to permit the disclosure of personal information without the knowledge or consent of a customer:



- Detecting or suppressing fraud
- Investigating or preventing financial abuse
- For communication with the next of kin or authorized representative of an injured, ill or deceased individual
- Investigating a breach of an agreement or a contravention of the laws of Canada or a foreign jurisdiction
- Witness statement necessary to assess, process or settle insurance claims
- Information produced in the course of employment and the disclosure is consistent with the purpose it was produced for

How to access your information and/or contact us

To access and request correction or deletion of your information, or to obtain written information about Lloyd's policies and practices in respect of service providers located outside Canada, please contact the Ombudsman at info@lloyds.ca. The Ombudsman will also answer customer's questions about the collection, use, disclosure or storage of their personal information by such Lloyd's service providers.

Further information about Lloyd's personal information protection policy may be obtained from the customer's broker or by contacting Lloyd's on: 514 861 8361, 1 877 455 6937, or through info@lloyds.ca.

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CODE OF CONSUMER RIGHTS AND RESPONSIBILITIES

Insurers (including Lloyd's Underwriters), along with the brokers and agents who sell home, auto and business insurance are committed to safeguarding your rights both when you shop for insurance and when you submit a claim following a loss. Your rights include the right to be informed fully, to be treated fairly, to timely complaint resolution, and to privacy. These rights are grounded in the contract between you and your insurer and the insurance laws of your province. With rights, however, come responsibilities including, for example, the expectation that you will provide complete and accurate information to your insurer. Your policy outlines other important responsibilities. Insurers and their distribution networks, and governments also have important roles to play in ensuring that your rights are protected.

Right to Be Informed

You can expect to access clear information about your policy, your coverage, and the claims settlement process. You have the right to an easy-to-understand explanation of how insurance works and how it will meet your needs. You also have a right to know how insurers calculate price based on relevant facts. Under normal circumstances, insurers will advise an insurance customer or the customer's intermediary of changes to, or the cancellation of a policy within a reasonable prescribed period prior to the expiration of the policy, if the customer provides information required for determining renewal terms of the policy within the time prescribed, which could vary by province, but is usually 45 days prior to expiry of the policy.

You have the right to ask who is providing compensation to your broker or agent for the sale of your insurance. Your broker or agent will provide information detailing for you how he or she is paid, by whom, and in what ways.

You have a right to be told about insurers' compensation arrangements with their distribution networks. You have a right to ask the broker or agent with whom you deal for details of how and by whom it is being paid. Brokers and agents are committed to providing information relating to ownership, financing, and other relevant facts.

Responsibility to Ask Questions and Share Information

To safeguard your right to purchase appropriate coverage at a competitive price, you should ask questions about your policy so that you understand what it covers and what your obligations are under it. You can access information through one-on-one meetings with your broker or agent. You have the option to shop the marketplace for the combination of coverages and service levels that best suits your insurance needs. To maintain your protection against loss, you must promptly inform your broker or agent of any change in your circumstances.

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Insurers, their brokers and agents are committed to high standards of customer service. If you have a complaint about the service you have received, you have a right to access Lloyd's Underwriters' complaint resolution process for Canada. Your agent or broker can provide you with information about how you can ensure that your complaint is heard and promptly handled. Consumers may also contact their respective provincial insurance regulator for information. Lloyd's is a member of an independent complaint resolution office, the General Insurance OmbudService.

You should always enter into the dispute resolution process in good faith, provide required information in a timely manner, and remain open to recommendations made by independent observers as part of that process.

You have the right to deal with insurance professionals who exhibit a high ethical standard, which includes acting with honesty, integrity, fairness and skill. Brokers and agents must exhibit extensive knowledge of the product, its coverages and its limitations in order to best serve you.

Because it is important for you to disclose any and all information required by an insurer to provide the insurance coverage that best suits you, you have the right to know that your information will be used for the purpose set out in the privacy statement made available to you by your broker, agent or insurance representative. This information will not be disclosed to anyone except as permitted by law. You should know that Lloyd's Underwriters are subject to Canada's privacy laws - with respect to their business in Canada.

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INTENTION FOR AIF TO BIND CLAUSE

Whereas Lloyd's Underwriters have been granted an order to insure in Canada risks under the Insurance companies Act (Canada) and are registered in all provinces and territories in Canada to carry on insurance business under the laws of these jurisdictions or to transact insurance in these jurisdictions.

And whereas applicants for insurance coverage in respect of risks located in Canada and Canadian Cedants wish that Lloyd's insurance and reinsurance coverage be provided in a manner that requires Lloyd's Underwriters to vest assets in trust in respect of their risks pursuant to the Insurance Companies Act (Canada);

- a) This contract shall be in force and shall be the governing contract pending the decision by Lloyd's Underwriters' attorney and chief agent in Canada (the "AIF") to confirm coverage in accordance with both the terms and conditions set out in this contract and applicable Canadian law;
- b) The AIF shall confirm Lloyd's Underwriters' coverage by signing in Canada a policy that will contain the terms and conditions set out in this contract (the "Canadian Policy"), and by communicating from Canada the issuance of that policy to the policyholder or his broker;
- c) This contract shall cease to have effect upon the communication by the AIF from Canada of the Canadian Policy to the policyholder or his broker, and the Canadian Policy will replace and supersede this contract.

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Lloyd's Insurance

Effected through

Beazley Pte Limited

138 Market Street, #03-04

Capita Green

Singapore 048946

T +(65) 6576 6288

F +(65) 6636 1216

www.beazley.com

This is to Certify that in accordance with the authorisation granted under the Contract (the number of which is specified in the Schedule) to the undersigned by certain Underwriters at Lloyd's, whose definitive numbers and the proportions underwritten by them, which will be supplied on application, can be ascertained by reference to the said Contract which bears the Seal of Lloyd's Policy Signing Office and in consideration of the payment of the premium specified herein, the said Underwriters are hereby bound, severally and not jointly, their Executors and Administrators, to insure in accordance with the terms and conditions contained herein or endorsed hereon.

If the Assured shall make any claim knowing the same to be false or fraudulent, as regards amount or otherwise, this Certificate shall become void and all claim hereunder shall be forfeited.

In Witness whereof this Certificate has been signed at the place stated and on the date specified in the Schedule by



Policy for

McMillan LLP

Issued by Beazley Pte Limited acting on behalf of:

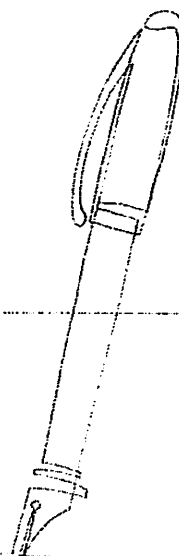
Beazley Syndicate 2623/623 at Lloyd's

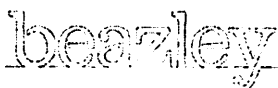
138 Market Street
#03-04, CapitaGreen
Singapore 048946

Phone: +(65) 6576 6288
Fax: +(65) 6636 1216

www.beazley.com

beazley





Policy schedule

BINDER AGREEMENT REFERENCE: B6012SINGEO19

POLICY NUMBER: W0550419PNPS

TYPE: Professional Indemnity

ASSURED: McMillan LLP

ADDRESS: 3502, Tower 2, Lippo Centre, 89 Queensway, Hong Kong

PERIOD OF INSURANCE: Effective from: 19 February 2019
To: 18 February 2020
Both days inclusive local standard time

TERMS AND CONDITIONS: Beazley Standard APUA PI Form and corresponding endorsement attached
Date of Proposal: 05.02.2019

PROFESSION: Registered Foreign Law Firm/Lawyers in Hong Kong

TERRITORIES: Worldwide excluding USA and Canada

LIMIT OF INDEMNITY: HKD 20,000,000.00 any one Claim

EXCESS: HKD 250,000.00 each and every Claim (costs inclusive)

RETROACTIVE DATE: 19 February 2009 excluding any known Claims and Circumstances

PREMIUM: HKD 210,000.00

ALL CLAIMS AND CIRCUMSTANCES TO BE NOTIFIED TO: Beazley Pte Limited
138 Market Street, #03-04 CapitaGreen, Singapore 048946

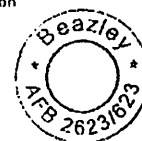
Signature:

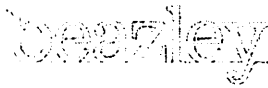
Dated in Singapore:

20/02/2019

Beazley Pte Limited is a service company that is part of the Beazley group of companies. Beazley Pte Limited is regulated by the Monetary Authority of Singapore in its capacity as a Lloyd's coverholder under the Lloyd's Asia regulations. Beazley Pte Limited has author to enter into contracts of Insurance on behalf of the Lloyd's underwriting members of Lloyd's syndicate 623 and 2623 which are managed by Beazley Furlong Limited which is authorized by the Prudential Regulation Authority and regulate by the Financial Conduct Authority and the Prudential Regulation Authority in the UK (ref 204896) in its capacity as an insurer.

Beazley Pte Limited is a member of Beazley Group
Registered in Singapore No: 200611993M





Underwritten by Beazley syndicates at Lloyd's*

PROFESSIONAL INDEMNITY POLICY

PREAMBLE

In consideration of the Assured having made a Proposal to Beazley Pte Limited (hereinafter referred to as the Underwriters) containing particulars and statements which are to be considered as incorporated in this Policy, and having paid the premium stated in the Schedule, Underwriters will indemnify the Assured in accordance with and subject to the limitations, terms and conditions and endorsements of this Policy.

INDEMNITY CLAUSE

Underwriters will, subject to the following limitations, terms and conditions and endorsements:

indemnify the Assured against any Claim which may be made against the Assured and notified to Underwriters during the Period of Insurance for actual or alleged breach of professional duty in the profession stated in the Schedule by reason of any negligent act, error or omission committed or allegedly committed by or on behalf of the Assured after the retroactive date stated in the Schedule.

COSTS AND EXPENSES

Underwriters also agree to pay all costs and expenses incurred in the investigation, defence or settlement of any Claim which falls to be dealt with under this Policy.

LIMIT OF UNDERWRITERS' LIABILITY

Provided always that Underwriters' total aggregate liability under this Policy shall not exceed the Limit of Indemnity specified in the Schedule in respect of all Claims (which for the purpose of this clause shall be deemed to include all costs and expenses incurred in the investigation, defence or settlement of all Claims) made against the Assured during any one Period of Insurance.

EXCESS CLAUSE

Provided further that Underwriters shall only be liable for that part of each and every Claim (which for the purpose of this clause shall be deemed to include all costs and expenses incurred in the investigation, defence or settlement of any Claim) which exceeds the amount of the Excess stated in the Schedule.

For the purpose of this clause "Claim" shall also mean all Claims attributable to or arising out of the same cause or event.

If any expenditure is incurred by Underwriters which by virtue of this clause is the responsibility of the Assured then such amount shall be reimbursed to Underwriters by the Assured forthwith.

* Beazley Pte Limited is a service company that is part of the Beazley group of companies. Beazley Pte Limited is regulated by the Monetary Authority of Singapore in its capacity as a Lloyd's coverholder under the Lloyd's Asia regulations. Beazley Pte Limited has authority to enter into contracts of insurance on behalf of the Lloyd's underwriting members of Lloyd's syndicate 623 and 2623 which are managed by Beazley Furlonge Limited which is authorized by the Prudential Regulation Authority and regulated by the Financial Conduct Authority and the Prudential Regulation Authority in the UK (ref 204896) in its capacity as an insurer.

Beazley Pte Limited is a member of Beazley Group.
Registered in Singapore No: 200611993M



CLAIMS

All Claims made against the Assured which are attributable to or arise out of the same cause or event shall be regarded as one aggregated Claim and the Underwriters' total liability under this Policy for the aggregated Claim shall not exceed the Limit of Indemnity.

LEGAL PERSONAL REPRESENTATIVES

In the event of the death of any Assured Underwriters will, in respect of the liability incurred by the Assured, indemnify the Assured's legal personal representatives in the terms of this Policy provided that such legal personal representatives shall, as though they were the Assured, observe, fulfil and be subject to the terms, conditions and exclusions of this Policy insofar as they can apply.

EXCLUSIONS

This Policy will not indemnify the Assured against any Claim:

1. CONTRACTUAL LIABILITY

in respect of liability imposed upon the Assured pursuant to any contract if such liability would not have been imposed upon the Assured in the absence of any such contract; or for fines, penalties or exemplary damages of any description, or

2. LEGAL JURISDICTION

where action for damages is brought in a court of law outside the territories specified in the Schedule, or where action is brought in a court of law within those territories to enforce a foreign judgement whether by way of Reciprocal Agreement or otherwise, or

3. EMPLOYERS LIABILITY

arising directly or indirectly from bodily injury, sickness, disease or death sustained by any person arising out of and in the course of his/her employment by the Assured under any contract of service or apprenticeship, or for any breach of any obligation owed by the Assured as an employer to any employee, or

4. PRIOR CIRCUMSTANCES

made against the Assured prior to the commencement of the Period of Insurance nor in respect of any claim(s) or circumstance(s) notified under any previous policy nor in respect of any claim(s) or circumstance(s) which might give rise to a Claim which was known to the Assured prior to the commencement of the Period of Insurance or which is stated on the proposal form, declaration or underwriting information being the basis of this insurance.

(This exclusion relates to the negligent act, error or omission from which the claim(s) or circumstance(s) known to the Assured arises, irrespective of how, or whether, that claim(s) or circumstance(s) is declared to Underwriters.), or

5. WAR AND TERRORISM

for loss, damage or liability directly or indirectly occasioned by or, in connection with any of the following regardless of any other cause or event contributing concurrently or in any other sequence to the loss;

- (i) war, invasion, acts of foreign enemies, hostilities or warlike operations (whether war be declared or not), civil war, rebellion, revolution, insurrection, civil commotion assuming the proportions of or amounting to an uprising, military or usurped power; or
- (ii) any act of terrorism.

For the purpose of this exclusion an act of terrorism means an act, including but not limited to the use of force or violence and/or the threat thereof, of any person or group(s) of persons, whether acting alone or on behalf of or in connection with any organisation(s) or government(s), committed for political, religious, ideological or similar purposes including the intention to influence any government and/or to put the public, or any section of the public, in fear.

This exclusion includes loss, damage, cost or expense of whatsoever nature directly or indirectly caused by, resulting from or in connection with any action taken in controlling, preventing, suppressing or in any way relating to (i) and/or (ii) above.

If the Underwriters allege that by reason of this exclusion, any loss, damage, cost or expense is not covered by this insurance the burden of proving the contrary shall be upon the Assured.

In the event any portion of this exclusion is found to be invalid or unenforceable, the remainder shall remain in full force and effect, or

6. NUCLEAR ASSEMBLIES

for loss, damage or liability directly or indirectly occasioned by or caused by or arising from or in consequence of or contributed to by nuclear weapons materials, or arising from or in consequence of or contributed to by ionising radiation or contamination by radioactivity from any nuclear fuel or from any nuclear waste from the combustion of nuclear fuel. Combustion shall include any self sustaining process of nuclear fission, or

7. ASSURED DUTIES

made against them which relates to any duty or obligation assumed by the Assured which is not assumed in the normal conduct of the Assured's profession as stated in the Schedule, or

8. DISHONESTY

arising directly or indirectly from any dishonest, fraudulent, malicious or illegal act or omission of the Assured, or

9. DEFAMATION

alleging libel or slander, or

10. INFRINGEMENT

alleging infringement of copyright, patents, registered designs, trade marks or passing-off, or

11. PRODUCTS

arising out of or relating to goods or products, sold, supplied, repaired, altered, manufactured, installed or maintained by the Assured or any related company or by sub-contractors of the Assured, or



12. INSOLVENCY/BANKRUPTCY OF ASSURED

arising out of or relating directly or indirectly from the insolvency or bankruptcy of the Assured, or

13. SEEPAGE AND POLLUTION

based upon, arising out of or relating directly or indirectly from or in consequence of or in any way involving, seepage, pollution or contamination of any kind, or

14. MOULD AND ASBESTOS

arising directly or indirectly out of or resulting from or in consequence of, or in any way involving:

- (i) asbestos, or any materials containing asbestos in whatever form or quantity, or
- (ii) the actual, potential, alleged or threatened formation, growth, presence, release or dispersal of any fungi, molds, spores or mycotoxins of any kind, or
- (iii) any action taken by any party in response to the actual, potential, alleged or threatened formation, growth, presence, release or dispersal of fungi, molds, spores or mycotoxins of any kind, such action to include investigating, testing for, detection of, monitoring of, treating, remediating or removing such fungi, molds, spores or mycotoxins, or
- (iv) any governmental or regulatory order, requirement, directive, mandate or decree that any party take action in response to the actual, potential, alleged or threatened formation, growth, presence, release or dispersal of fungi, molds, spores or mycotoxins of any kind, such action to include investigating, testing for, detection of, monitoring of, treating, remediating or removing such fungi, molds, spores or mycotoxins, or

15. FINES/PENALTIES

for fines, penalties, punitive, multiple or exemplary damages, or

16. RETROACTIVE DATE

first made against the Assured by reason of any negligent act, error or omission committed or alleged to have been committed prior to the retroactive date stated in the Schedule.

DEFENCE AND SETTLEMENT

- 1. Underwriters will be entitled to take over and conduct, in the name of the Assured, the defence or settlement of any Claim.
- 2. The Assured will, when instructed by Underwriters pay promptly (or within the terms of any proposed settlement) any Excess amount payable by them under this Policy. Any failure or refusal by the Assured to make such payment will entitle Underwriters to deduct such amount from any amount(s) required to settle any Claim, judgement, order or any other payment to be made by Underwriters under this Policy.
- 3. The Assured will not be required to contest any legal proceedings unless a Queen's Counsel or Senior Counsel (to be mutually agreed upon by the Assured and Underwriters) advises that such proceedings should be contested.
- 4. In the event that Underwriters elect to settle any Claim, Underwriters may discharge its total liability under this Policy by paying the then available Limit of Indemnity to the Assured.

Beazley

5. In the event that the Assured wishes to continue to contest any Claim which, in the opinion of Underwriters should be settled, then, with the consent of Underwriters the Assured may so elect, provided that Underwriter's liability in respect of any such Claim shall not exceed the amount for which, but for such election, the Claim could have been settled, together with costs and expenses payable in accordance with this Policy and incurred up to the date of such election.
6. Underwriters may, if it believes that any Claim will not exceed the Excess, instruct the Assured to conduct the defence of the Claim, keeping Underwriters advised of developments as they occur. In these circumstances Underwriters will reimburse the Assured for all reasonable defence costs in the event that any payment made to dispose of the Claim by way of damages exceeds the Excess.

CONDITIONS

1. The Assured will not admit liability for or settle any Claim or incur any costs or expenses in connection with any Claim without the written consent of Underwriters.
2. The Assured will, as a condition precedent to their right to be indemnified under this Policy, give to Underwriters immediate notice in writing of any Claim whether oral or in writing and will, on request, give to Underwriters any information they may reasonably require to investigate the matter notified.

Such notice having been given as required above, any subsequent Claim against the Assured shall be deemed to have been made during the Period of Insurance.

3. Underwriters will be entitled to claim indemnity or contribution at any time in the name of the Assured from any party against whom the Assured may have such rights.
4. The construction, interpretation and meaning of this Policy shall be determined according to the law(s) of Hong Kong and in accordance with the English text as it appears in this Policy.
5. If there are any material changes to the Proposal during the Period of Insurance then the Assured shall promptly inform the Underwriters.
6. This Policy shall terminate thirty days after receipt by the Assured of notice in writing from the Underwriters of their decision to terminate this Policy. Such notice shall be deemed to be duly received in the course of post if sent by prepaid registered post properly addressed to the Head Office of the Assured.
7. The subscribing underwriters' obligations under contracts of insurance to which they subscribe are several and not joint and are limited solely to the extent of their individual subscriptions. The subscribing underwriters are not responsible for the subscription of any co-subscribing underwriter who for any reason does not satisfy all or part of its obligations.

DEFINITIONS

1. "Claim" or "Claims" means:
 - (a) any writ, statement of claim, summons, application or other originating legal or arbitral process, cross claim, counter-claim or third or similar party notice issued against or served on the Assured; or
 - (b) the receipt by the Assured of any written or verbal notice of demand for compensation made against the Assured; or
 - (c) circumstances which are or should, after enquiry, be known to the Assured, and which might give rise to a Claim.



2. **"Limit of Indemnity"** means the sum stated as the limit of indemnity in the Schedule.
3. **"Assured"** means:
 - (a) the company, corporation, statutory authority, association, legal entity, members of the partnership, or person(s) specified in the proposal form, declaration or underwriting information being the basis of this contract; and
 - (b) any person who is, becomes, or ceases to be a principal, partner, director, controlling officer or employee of any entity specified above, but in each case solely in respect of work carried out for and on behalf of that entity; and
 - (c) any predecessor of any entity specified above, but in each case solely in respect of work carried out for and on behalf of that entity.
4. **"Period of Insurance"** means the period stated in the Schedule.
5. **"Excess"** means the excess applicable stated in the Schedule.
6. **"Proposal"** means all information, whether oral or in writing, supplied by the Assured or on the Assured's behalf.

SERVICE OF SUIT CLAUSE (HONG KONG)

This Insurance shall be governed by Hong Kong law and the Hong Kong Courts shall have jurisdiction in any dispute arising hereunder.

In this event Mr. Thomas Haddrill of Lloyd's, Suite 1220, Two Pacific Place, 88 Queensway, Hong Kong, Lloyd's Representative in Hong Kong, is authorised to accept service of suit on behalf of Underwriters.

NMA2349A (Hong Kong Amended Version)
20 June 2017

COMPLAINTS PROCEDURE

Notice to the Proposer/Assured. Any enquiry or complaint should be addressed in the first instance to your Broker/Beazley Pte Limited. If you are not satisfied with the way a complaint has been dealt with you may ask the Policyholder & Market Assistance at Lloyd's to review your case without prejudice to your rights in law. The address is:

Policyholder & Market Assistance
Lloyd's Market Services
One Lime Street
London EC3M 7HA
Telephone: +44 (0)207 327 5693
Facsimile: +44 (0)207 327 5225
E-mail: complaints@lloyds.com



ENDORSEMENTS ATTACHING TO AND FORMING PART OF POLICY NO. W0550419PNPS

1. It is hereby noted that this Policy will not indemnify the Assured against any Claim arising out of any services performed &/or advice given pertaining to Hong Kong law.
2. It is hereby noted that this Policy will not indemnify the Assured against any Claim arising out of any work undertaken outside Hong Kong.

3. KNOWN CLAIMS / CIRCUMSTANCES EXCLUSION

It is understood and agreed that this Policy excludes coverage in respect of Claims and/or circumstances mentioned below:

Claimants:

- 1) Robert Standerwick
- 2) Canadian Renewable Bioenergy Corp

manuend/knownclaims

4. Policy Exclusion 8 is deleted and this Policy is extended to include Dishonesty of Employees as defined herein.

DISHONESTY OF EMPLOYEES EXTENSION

Underwriters agree to indemnify the Assured against all sums which the Assured shall become legally liable to pay as a result of any claims/circumstances made against the Assured during the Period of Insurance brought about or contributed to by any dishonest, fraudulent, criminal or malicious act or omission of any employees of the Assured.

(The term Employee shall not be deemed to include any Director of the Assured)

(03/94)
LSW432

5. Policy Exclusion 9 is deleted and this Policy is extended to include Libel and Slander as defined herein.

LIBEL AND SLANDER EXTENSION

Underwriters agree to indemnify the Assured against all sums which the Assured shall become legally liable to pay as damages and claimants' costs and expenses as a result of any Claim or Claims made against the Assured during the Period of Insurance for Libel or Slander by reason of words written or spoken by:-

- (a) the Assured, or
- (b) any employee of the Assured, or
- (c) any director of the Assured

in or about the conduct of the Assured's business as specified in the Schedule.

(03/94)
LSW433

6. Policy Exclusion 10 is deleted and this Policy is extended to include Infringement of Copyright, Patent or Registered Design as defined herein.

INFRINGEMENT OF COPYRIGHT, PATENT OR REGISTERED DESIGN EXTENSION (REB)

Underwriters agree subject otherwise to the terms, conditions and exclusions of this insurance to indemnify the Assured against all sums which the Assured shall become legally liable to pay as damages and claimants' costs and expenses as a result of any claim or claims made against the Assured during the Period of Insurance alleging infringement of copyright, patent or registered design committed in good faith by:-

- (a) the Assured, or
- (b) any employee of the Assured, or
- (c) any director of the Assured

in or about the conduct of the Assured's business as specified in the Schedule.

(03/94)
LSW427

7. It is hereby noted and agreed that Exclusions 17 and 18 are added to this Policy.

This Policy will not indemnify the Assured against any Claim:

17. APPOINTMENTS

arising from the Assured or any partner or former partner of the Assured or any Employee holding individual appointments as director company secretary executor administrator liquidator receiver or trustee unless such appointments are held in the course of the Business at the Assured's request and provided that all fees or other income derived from such appointments have been included in the fee income declared by the Assured to the Underwriters, or

18. PERFORMANCE EXCLUSION

arising out of the failure of an investment to perform:

- (i) to the advantage of the client, or
- (ii) to the advantage of the client to the degree suggested or assumed in any advice or forecast given by the Assured or in the making of any discretionary fund decision by the Assured.

8. LOSS OF DOCUMENTS EXTENSION

It is hereby understood and agreed that if during the Period of Insurance the Assured shall discover that any Documents (as hereinafter defined), the property of or entrusted to the Assured, which may now or hereafter be, or be supposed or believed to be, in the custody of the Assured or in the custody of any other person to or with whom such Documents have been entrusted, lodged or deposited by the Assured in the ordinary course of business, have been destroyed or damaged or lost or mislaid and after diligent search cannot be found, the Underwriters will indemnify the Assured against

- (a) legal liability which the Assured may incur to any other person in consequence of such Documents being destroyed, damaged, lost or mislaid,
- (b) costs and expenses incurred with the written consent of the Underwriters in the defence or settlement of any Claim to establish liability as described in (a) above.

Definition

In this Extension "Documents" means deeds, wills, agreements, maps, plans, records, books, letters, certificates, forms, computer programmes or information stored, written or punched into card or tape or magnetic discs or taped or any other data media, and documents of any nature whatsoever, whether written, printed or reproduced by any other method (other than bearer bonds, coupons, bank notes, currency notes and negotiable instruments).

Exclusions

This Extension shall not indemnify the Assured against any liability, costs or expenses

- (a) for which the Assured are entitled to an indemnity under this Policy apart from this Extension,
- (b) for which the Assured are entitled to an indemnity under any other policy or certificate of insurance,

- (c) directly or indirectly occasioned by, happening through or in consequence of war, invasions, acts of foreign enemies, hostilities (whether war be declared or not), civil war, rebellion, revolution, insurrection, military or usurped power or confiscation or nationalisation or destruction of or damage to property by or under the order of any government or public or local authority,
- (d) directly or indirectly caused by or contributed to by or arising from
 - (i) ionising radiations or contamination by radioactivity from any nuclear fuel or from any nuclear waste from the combustion of nuclear fuel,
 - (ii) the radioactive, toxic, explosive or other hazardous properties of any explosive nuclear assembly or nuclear component thereof,
- (e) (i) which arises directly or indirectly by reason of or in connection with fire or explosion occasioned by or happening through or in consequence directly or indirectly of terrorism,
- (ii) loss or destruction of or damage to any property in Northern Ireland or loss resulting therefrom caused by or happening through or in consequence of:
 - (a) civil commotion
 - (b) any unlawful, wanton or malicious act committed maliciously by a person or persons acting on behalf of or in connection with any unlawful association.

Conditions

1. The Assured shall not admit liability for or settle any Claim or incur any costs or expenses in connection therewith without the written consent of the Underwriters, who shall be entitled at any time to take over and conduct in the name of the Assured the defence or settlement of any Claim.
2. The Assured shall, as a condition precedent to their right to be indemnified under this Extension, give to the Underwriters immediate notice in writing of any circumstance which is likely to give rise to a Claim hereunder.
3. Any Claim for costs and expenses incurred by the Assured in replacing or restoring Documents shall be supported by bills or accounts which shall be subject to approval by a competent person to be nominated by the Underwriters with the approval of the Assured.
4. The Underwriters' total liability under this Policy shall not be increased by reason of this Extension.
5. The Assured shall, as a condition precedent to their right to be indemnified under this Extension, keep all Documents in a suitable secure fire proof safe or cabinet outside of normal business hours and shall maintain duplicates of all computer related records off site.

Subject otherwise to the Policy terms and conditions.

c: Loss of Documents (foreign lawyer version)

9. REINSTATEMENT CLAUSE

It is agreed that the amount of the reduction in the Limit of Indemnity on account of any Claim or Claims paid or payable hereunder shall be automatically reinstated but such reinstatement sums shall only apply:

- (a) in excess of the total Limit of Indemnity available under this and any insurance coverage in excess of this Policy;
- (b) in respect of any subsequent Claim or Claims which are totally unrelated to the Claim or Claims by which the original Limit of Indemnity was reduced.

The amount available in respect of any one Claim or series of Claims arising from one cause shall not exceed the Limit of Indemnity.

For the avoidance of doubt, the expressions Limit of Indemnity, Period of Insurance and Claim shall bear the meanings assigned to them in this Policy.

Subject otherwise to the Policy terms and conditions.

reinstat (foreign lawyer version)

10. SANCTION LIMITATION AND EXCLUSION CLAUSE

No (re)insurer shall be deemed to provide cover and no (re)insurer shall be liable to pay any Claim or provide any benefit hereunder to the extent that the provision of such cover, payment of such Claim or provision of such benefit would expose that (re)insurer to any sanction, prohibition or restriction under United Nations resolutions or the trade or economic sanctions, laws or regulations of the European Union, United Kingdom or United States of America.

LMA 3100

END

APPENDIX F

SCHEDULE OF CLAIMS AND NOTICES AS OF DECEMBER 31, 2019

Name of Firm: McMillan LLP

See additions attached.

Appendix F

Schedule of Claims and Notices as of December 31, 2018

CLLAS Claim No.	Lawyer Name	Claimant	Report Date	Error Date
	Jason Chertin	Periscope Capital Inc.	January 25, 2019	December 11, 2018
	David Bishop Debenham	1550988 Ontario Limited o/a Premier Drycleaners	February 7, 2019	November 20, 2018
CLLAS 2019-087	Catherine Jallette, Charles Chevette, Beatrice Methe, Keith Bird	Limestone Climbing Inc. and/or Gauillaume Robitaille-Baumier	March 26, 2019	February 2019
CLLAS 2019-097	Janet Derbawka	Templeton DOC Limited Partnership	April 8, 2019	October 14, 2014
2019-070	David Cowan	van den Boogaard (re Esrey Resources)		

CANADIAN LAWYERS LIABILITY ASSURANCE SOCIETY (CLLAS)

Open and Closed Claims Report

McMillan LLP

As at:

December 31, 2018

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society					CLLAS				
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLLAS Indemnity Paid	CLLAS Legal Paid	CLLAS Indemnity Reserve	CLLAS Legal Reserve	CLLAS Incurred Liability
CLLAS1988-005	STEPHEN W. BOWMAN	TANENBAUM	01-Jul-1987	01-Nov-1985	01-Mar-1989	NCP	\$ -	\$ 1,192	\$ -	\$ -	\$ 1,192	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1988-006	John S. Farquharson	CRESON INVESTMENT	01-Jul-1987	01-Oct-1986	02-Nov-1987	CPF	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1988-010	ROBERT K. McDERMOTT	LLOYDS BANK CANADA	01-Sep-1987	01-Sep-1987	01-Nov-1987	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1988-016	DAVID A. ALLPORT	ROY PAPE ET AL	01-Dec-1987	01-Sep-1978	05-Apr-1990	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1988-021	ANTHONY D. GRIFFIN	GORDON HILL	01-Jan-1988	01-Jul-1986	01-Jun-1989		\$ -	\$ 2,505	\$ -	\$ -	\$ 2,505	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1988-022	DAVID G. BUTLER	TIMOTHY & PETERSON	01-Oct-1987	01-May-1987	01-Jul-1988	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1988-024	DAVID N. ROSS	TERRY PHOENIX	01-Mar-1988	01-Jan-1988	06-Apr-1990	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1988-026	COREY I SIMPSON	CHEMICAL BANK	01-Mar-1988	01-Apr-1987	01-May-1988	NCP	\$ -	\$ 300	\$ -	\$ -	\$ 300	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1988-046	JOHN C. OSBORNE	SHELL TANKERS (U K)	01-Jun-1988	01-Nov-1987	01-May-1989	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1988-047	BRIAN W.T. BURKETT	FREDERICK A. GARDNER	01-Jun-1988	01-Apr-1986	01-Feb-1993	NCP	\$ -	\$ 7,299	\$ -	\$ -	\$ 7,299	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-010	DANIEL V. MacDONALD	ONTARIO LTD (797698 & 796890)	31-Dec-1988	31-Oct-1988	05-May-1995	NCP	\$ -	\$ 38,757	\$ -	\$ -	\$ 38,757	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-011	WILLIAM V. SASSO	DONALD McKINNON	01-Jan-1989	01-Oct-1988	06-Apr-1990	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-021	David Wentzell	PENGUIN BOOKS CANADA LIMITED	05-Mar-1989	01-Jul-1988	01-Mar-1991	NCP	\$ -	\$ 7,086	\$ -	\$ -	\$ 7,086	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-030	Joel Skapinker	KLAUS ROHRICH	01-May-1989	01-Feb-1987	01-Feb-1992	SIR	\$ -	\$ 878	\$ -	\$ -	\$ 878	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-049	CATHERINE NIXON	THE SOVEREIGN LIFE INSURANCE COMPANY	16-Jun-1989	07-May-1986	01-Feb-1991	NCP	\$ -	\$ 874	\$ -	\$ -	\$ 874	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-069	Hilary E. Clarke	EXTENDER PRO./GRAHAM	01-Jun-1989	01-Nov-1988	20-Jul-1992	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-078	G./V. PAYNE/MURPHY	783420 ONTARIO LTD./THE ELANE PLAZA	01-Jun-1989	01-Feb-1984	15-Feb-1990	NCP	\$ -	\$ 2,015	\$ -	\$ -	\$ 2,015	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-079	MILDRED C. POON	SARAH YAU/ M. O'CONNOR	30-Jun-1989		05-Apr-1990	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-080	NANCY L. CARNWATH	ELLIOT ROSENBERG	28-Jun-1989	07-Apr-1989	06-Apr-1990	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-092	Frank Archibald	PARKVIEW PROPERTIES	29-Jun-1989	01-Dec-1985	15-May-1990	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1989-096	DAVID N. ROSS	BORG-WARNER ACCEPTANC	29-Jun-1989	01-Apr-1987	06-Apr-1990	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1990-002	John S. Farquharson	ULTRAMAR CANADA INC.	22-Aug-1989	01-Aug-1981	06-Apr-1990	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1990-018	G. PAYNE	ANNE W. CHURCH	05-Dec-1989	28-Mar-1989	01-Dec-1992	NCP	\$ -	\$ 21,295	\$ -	\$ -	\$ 21,295	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1990-030	LIANE ROSENBAUM	EMIX HOLDINGS LTD, EMIX LTD & CREDIT SUISSE CANADA	01-Jan-1990	24-Nov-1988	01-Feb-1991	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1990-031	BARBARA SUTHERLAND	ALLEN DUKE	16-Jan-1990	01-Dec-1989	31-Dec-1993	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1990-032	John S. Farquharson	CRESON INVESTMENTS	26-Jan-1990	15-Jan-1984	01-Oct-1991	NCP	\$ -	\$ 7,727	\$ -	\$ -	\$ 7,727	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1990-061	SHEILA K. BUDD	DON YOUNG	15-May-1990	10-Nov-1988	12-Nov-1992	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1990-063	I. MICHAEL B JAMESON	ESTATE OF ANGUS MCINTOSH	27-Apr-1990	15-Jun-1986	01-Jun-1990	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1990-064	DAVID G. WENTZELL	TRAFALGAR CAPITAL INFORMATION/ARMICORP	27-Apr-1990	07-May-1987	01-Aug-1992	NCP	\$ -	\$ 14,505	\$ -	\$ -	\$ 14,505	\$ -	\$ -	\$ -	\$ -	\$ -

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society					CLAS				
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLAS Indemnity Paid	CLAS Legal Paid	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Incurred Liability
CLAS1990-065	ANDREW KENT	Royal Bank of Canada	25-May-1990	01-Jan-1989	01-Jun-1991	NCP	\$ -	\$ 1,362	\$ -	\$ -	\$ 1,362	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1990-066	Malcolm Kronby	PATRICIA C. HOUSTON	15-Apr-1990	01-Jan-1989	01-Jan-1991	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1990-067	VINCENT J. MURPHY	Royal Bank of Canada	01-May-1990	01-Dec-1988	01-Jun-1992	NCP	\$ -	\$ 2,958	\$ -	\$ -	\$ 2,958	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1990-068	John S. Farquharson	CRESON/ SHEROBE WEST	30-Apr-1990	31-Mar-1983	27-May-1999		\$ 460,270	\$ 44,200	\$ -	\$ -	\$ 521,372	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1990-084	ANDREW J. F. KENT	ROYAL BANK OF CANADA/ULTIMATE JEWELLRY LTD	15-May-1990	15-Mar-1989	01-Oct-1990	NCP	\$ -	\$ 320	\$ -	\$ -	\$ 320	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1990-093	M. PHILIP TUNLEY	ARMCORP 4-7 LTD	31-May-1990	31-Oct-1988	30-Jun-1994	NCP	\$ -	\$ 1,220	\$ -	\$ -	\$ 2,309	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1990-094	JOHN KAZANJIAN	ARGRISTOR CREDIT CORPORATION	02-Jun-1990	15-Jan-1986	01-Nov-1991	NCP	\$ -	\$ 1,284	\$ -	\$ -	\$ 1,284	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1990-095	JEAN ANDERSON	ROYAL BANK OF CANADA/CHARAN INDUSTRIES INC.	28-Jun-1990	01-May-1987	01-Nov-1991	NCP	\$ -	\$ 1,262	\$ -	\$ -	\$ 1,262	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1991-001	Malcolm Kronby	ANNE CLAIRE BREWER	04-Jul-1990	01-Feb-1990	01-Mar-1991	NCP	\$ -	\$ 518	\$ -	\$ -	\$ 518	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1991-021	DENNIS A. TRINAISTICH	MERCEDES-BENZ CANADA INC.	01-Sep-1990	01-Aug-1990	01-Apr-1992	NCP	\$ -	\$ 650	\$ -	\$ -	\$ 650	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1991-037	DAVID G. WENTZELL	MARGARET SINCLAIR	01-Nov-1990	01-Jun-1990	01-Sep-1991	NCP	\$ -	\$ 519	\$ -	\$ -	\$ 519	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1991-043	CARMEN S. THERIAULT	Sunquest Vacations Limited	01-Nov-1990	01-Mar-1990	01-Jun-1991		\$ -	\$ 3,326	\$ -	\$ -	\$ 3,326	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1991-071	DAVID R. DUNLOP	ZENITH RADIO CANADA	01-Feb-1991	18-Dec-1990	01-Aug-1991	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1991-072	LIANE B. ROSENBAUM	CENTARA CORPORATION (subnom EMPIRE PLAZA ENTERP)	26-Feb-1991	01-Dec-1990	01-Oct-1991	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1991-132	DAVID N. ROSS	MAPROP INVESTMENTS	28-Jun-1991	29-Sep-1988	07-Mar-1994	NCP	\$ -	\$ 1,651	\$ -	\$ -	\$ 1,651	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1991-133	THOMAS E. J. McDONNELL	CRESON INVESTMENTS	30-Jun-1991	30-Jun-1985	30-Sep-1996	NCP	\$ -	\$ 9,166	\$ -	\$ -	\$ 9,166	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1991-134	K. MICHAEL MCGLOUGHLIN	CANADA TRUST CO./CANADA TRUST CO. MORTGAGE CO.	18-May-1991	30-Apr-1988	01-Dec-1991		\$ 7,500	\$ 1,025	\$ -	\$ -	\$ 8,525	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1991-148	BARBARA J. SUTHERLAND	JOHN AND DIANE BROOKS	31-May-1991	30-Nov-1989	30-May-1995	NCP	\$ 31,000	\$ 22,036	\$ -	\$ -	\$ 54,872	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1992-022	MARKUS KOEHNEN	CROTHERS LIMITED	24-Sep-1991	07-Aug-1990	01-Dec-1992	NCP	\$ -	\$ 1,827	\$ -	\$ -	\$ 1,827	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1992-023	CHRIS N. GERMANAKOS	DAVID RALPH/ROBERT SANDERCOTT (PAPERLESS LINKS IN)	01-Oct-1991	17-May-1991	01-Dec-1992	NCP	\$ -	\$ 2,446	\$ -	\$ -	\$ 2,446	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1992-029	STUART T. GRAHAM	DENON CANADA INC.	25-Nov-1991	03-Oct-1991	01-May-1992	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1992-030	WILLIAM G. HORTON	CROWN TRUST ET AL	21-Nov-1991	01-Sep-1986	21-Jan-1992	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1992-045	VINCENT MURPHY	Royal Bank	30-Nov-1991	31-Aug-1988	01-Oct-1994	NCP	\$ -	\$ 9,662	\$ -	\$ -	\$ 9,662	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1992-055	T.B.A. T.B.A.	TRITEN CORPORATION	01-Dec-1991	01-Sep-1990	01-Jun-1992	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1992-059	GORDON L. JACOBS	PIERREPONT BANCORP	01-Aug-1991	01-Jul-1990	01-Jul-1992	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1992-077	MICKEY M. YAKSICH	CIBC- 847668 ONTARIO LIMITED	01-Oct-1991	09-Sep-1991	01-Dec-1992	NCP	\$ -	\$ 2,912	\$ -	\$ -	\$ 2,912	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1992-126	DANIEL F. HIRSH	GRAFTON GROUP LIMITED/J. GELLER	10-Apr-1992	20-Mar-1991	01-Jan-1993	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1992-138	LUIGI MACCHIONE	NANCY ANN SCOTT-ANSLEY	13-May-1992	17-Jun-1991	11-Feb-1992	NCP	\$ -	\$ 1,293	\$ -	\$ -	\$ 1,293	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1992-144	CATHERINE NIXON	CRESON DEVELOPMENTS LIMITED	14-May-1992	30-May-1989	01-Aug-1992		\$ -	\$ 2,048	\$ -	\$ -	\$ 2,048	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1992-155	DAVID W. KENT	BRUCE ROBERTSON & ASSOC(Liquidator)/Greymac	05-May-1992	01-Oct-1989	01-Dec-1992	NCP	\$ -	\$ 336	\$ -	\$ -	\$ 336	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS1992-167	CHRISTOPHER LOUGHRIN	BAYVIEW MIC LIMITED DIRECTORS/SHAREHOLDERS	30-Jun-1992	31-Oct-1991	29-Jul-1994	NCP	\$ -	\$ -	\$ -	\$ -	\$ 1,743	\$ -	\$ -	\$ -	\$ -	\$ -

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society					CLLAS				
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLLAS Indemnity Paid	CLLAS Legal Paid	CLLAS Indemnity Reserve	CLLAS Legal Reserve	CLLAS Incurred Liability
CLLAS1996-037	DIANE L. EVANS	Standard Life Assurance Company	22-Nov-1995	01-Nov-1995	09-Feb-1999		\$ 75,000	\$ -	\$ -	\$ -	\$ 75,000	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1996-048	Malcolm Kronby	Patricia Butler/Chris Baker	27-Jan-1995	01-Nov-1989	30-Sep-2007		\$ -	\$ 332,033	\$ -	\$ -	\$ 332,033	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1996-049	Peter A. Willis	General Electric Capital Canada	02-Oct-1995	29-Sep-1994	02-Apr-1996	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1996-053	Frank A. Archibald	Kohler Co. and Kohler Ltd.	12-Jan-1996	01-Jan-1996	04-Oct-1999	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1996-080	J. William Rowley, Q.C.	Andrew Janover, Nancy Victor, Jane and James Rhode	04-Mar-1996	11-Aug-1995	20-Aug-2001	NCP	\$ -	\$ 5,246	\$ -	\$ -	\$ 5,246	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1996-084	Frank A. Archibald	Kohler Co.	12-Jan-1996	21-Dec-1995	04-Oct-1999	NCP	\$ -	\$ 1,617	\$ -	\$ -	\$ 1,617	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1996-109	Stuart A. Graham	Honda Canada Finance Inc.	12-Jun-1996	01-Apr-1990	12-Mar-1998	NCP	\$ -	\$ 31,142	\$ -	\$ -	\$ 31,142	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1998-005	Douglas H. Moore	Kit Lin Rebecca Wong	30-Jun-1997	18-Jan-1994	04-Sep-1998	NCP	\$ -	\$ -	\$ -	\$ -	\$ 797	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1998-006	Andrew D. Green	Dana Corporation	13-Aug-1997	09-Oct-1996	26-Jan-1998		\$ 52,500	\$ 9,358	\$ -	\$ -	\$ 61,858	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1998-007	Jennifer A. Scott	Rosalind Douthwaite	13-Aug-1997	27-Feb-1993	29-Oct-1997	NCP	\$ -	\$ 4,440	\$ -	\$ -	\$ 4,440	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1998-023	Malcolm Kronby	Barbara Gaic	28-Aug-1997	03-Mar-1997	01-Mar-1999		\$ 26,500	\$ -	\$ -	\$ -	\$ 26,500	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1998-024	Earl Stuart	Gary Kiziak	25-Sep-1997	08-Dec-1993	26-Feb-1998	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1998-025	Francis Archibald	Hong Kong Bank of Canada	27-Jun-1997	14-Mar-1989	20-Apr-2000	NCP	\$ -	\$ -	\$ -	\$ -	\$ 2,234	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1998-026	George Payne	Juanita Elliott (C & K Mortgage)	25-Aug-1997	01-Mar-1991	30-Oct-2001	NCP	\$ -	\$ 24,869	\$ -	\$ -	\$ 24,869	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1998-031	Michael Jameson	Estate of Maryan Maxwell	24-Sep-1997	01-Aug-1989	27-Mar-2000	NCP	\$ -	\$ 3,289	\$ -	\$ -	\$ 3,289	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1998-034	Douglas H. Moore	Mr. & Mrs. Charles T.W. Chen	20-Oct-1997	31-Dec-1992	17-Aug-1998	SIR	\$ 54,604	\$ -	\$ -	\$ -	\$ 56,049	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1998-044	Douglas H. Moore	Mr. Lee (Robert Yu Chung) and Mrs. Lee (Mei)	02-Dec-1997	01-Dec-1993	11-Dec-1998	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1998-055	Mary-Ann Haney	Joseph Tumino & Tinder Holdings Ltd.	24-Dec-1997		06-Feb-1998	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1998-076	Andrew D. Green	Parisco Cafes & Restaurants Ltd.	20-Feb-1998	10-Oct-1997	10-Aug-1998	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1998-082	Malcolm Kronby	Sandra Zinta Nicholl	27-Mar-1998	01-Jan-1998	15-Jul-1998	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1998-129	J. Scott Maidment	Pacific Air Freighters Qld. Pty. Ltd.	22-Jun-1998	01-Feb-1998	28-Sep-1999	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1998-130	Richard T. Higa	GE Capital Equipment Finance Corp. of Canada	30-Jun-1998	31-Dec-1996	21-Apr-1999	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1998-131	Malcolm Kronby	Shirley Schwartz	22-May-1998	05-Sep-1989	05-Oct-1998	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1998-132	William B. Hanna	Walter Schepanow	30-Jun-1998	01-Jan-1997	09-Sep-1999	NCP	\$ -	\$ 2,880	\$ -	\$ -	\$ 2,880	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1998-133	J. Scott Maidment	Anthony Foley	21-May-1998	01-Apr-1998	16-Nov-1998	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1998-134	MARGARET C. MCNEE	PT Indonesian Satellite Corp. (Persero) Tbk	30-Jun-1998	12-Sep-1997	19-Jan-1999	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1999-009	W.R. Binch	Estate of Isabela Beare et al	05-Aug-1998	08-Nov-1995	26-Nov-1999	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1999-039	Michelle Vaillancourt	Macros Engineering & Technology Inc.	17-Nov-1998	04-Sep-1998	18-Jun-1999	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1999-044	Catherine F. Nixon	Daniel Casey/570443 Ontario Limited	01-Oct-1998	27-Jun-1990	07-Jul-1999	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1999-045	Douglas Moore	Chan, lat Wai and (THE HIS TRUST)	30-Oct-1998		17-Dec-2001	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS1999-046	Douglas Moore	Tong, Kui Kwong Tom/Paulin Trust/Tom Trust	02-Nov-1998	13-May-1994	05-Oct-1999	SIR	\$ 26,671	\$ -	\$ -	\$ -	\$ 26,671	\$ -	\$ -	\$ -	\$ -	\$ -

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition Abbr	Law Society					CLAS					
							LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLLAS Indemnity Paid	CLLAS Legal Paid	CLLAS Indemnity Reserve	CLLAS Legal Reserve	CLLAS Incurred Liability	
CLLAS2012-099	Jamie M. Wilks	Town Centre Group Inc.	27-Jan-2012	01-Sep-2011	16-Apr-2014	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2012-100	H. Stewart Ash	MMCAP Management Inc. et al	10-Feb-2012	01-Apr-2008	27-Aug-2012	NCP	\$ -	\$ 1,333	\$ -	\$ -	\$ 1,333	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2012-116	Ted B. Urbanek	VISA International Service Association	05-Mar-2012	23-Feb-2013	20-Jun-2016	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2012-130	Gemma Whitehead	Andre Lavoie	13-Mar-2012		30-Oct-2013	SIR	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2012-138	Geoff Moysa	A.O. Smith Enterprise Ltd.	20-Mar-2012	31-Jan-2012	17-Apr-2012	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2012-144	Sean O'Neill	Canadian Renewable Bioenergy Corp. et al	19-Apr-2012		29-Nov-2013	SIR	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-002	Hilary E. Clarke	Look Communications Inc.	16-Jul-2012	01-Jun-2010	16-Feb-2017		\$ 250,000	\$ 98,521	\$ -	\$ -	\$ 348,521	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-005	Anthony H.S. Knight	Spire Real Estate Limited Partnership	20-Jul-2012		30-Nov-2012		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-009	Bruce McKenna	BANK OF MONTREAL	09-Aug-2012	27-Jul-2012	21-Dec-2013	NCP	\$ 59,550	\$ -	\$ -	\$ -	\$ 59,550	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-010	Daniel R. Shouldice	Cory Black	27-Jul-2012	06-Oct-2011	29-Dec-2014		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-040	David E. Slan	310 Richmond Street West Limited (and its sharehol	01-Nov-2012	03-Nov-2011	10-Mar-2014	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-041	John D. Morrison	North Point Mezzanine Capital Ltd. Partnership	30-Oct-2012	06-Sep-2007	31-Oct-2014	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-061	Jennifer L. Cockbill	Aronis Investment Ltd.	20-Dec-2012	19-Dec-2012	30-Sep-2015		\$ 33,000	\$ -	\$ -	\$ -	\$ 33,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-063	Peter J. Reardon	BANK OF MONTREAL	29-Nov-2012		30-Mar-2017		\$ 37,205	\$ 4,292	\$ -	\$ -	\$ 41,497	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-064	William A. Rowlands	Terracap Management Inc. et al	27-Dec-2012	18-Aug-2010			\$ -	\$ 32,179	\$ 40,000	\$ 129,817	\$ 201,996	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-072	Francis Archibald	Chairman Mills Inc. and George & Mary Crothers	06-Mar-2013	08-Jan-2013	10-Jul-2013		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-079	David Evan Slan	Monarch	14-Feb-2013	01-Jun-2012	21-Aug-2014	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-110	Jill Pereira	Maxam Opportunities Fund Ltd. Partner	03-May-2013	31-Dec-2010	30-Apr-2014		\$ 900,000	\$ 61,058	\$ -	\$ -	\$ 961,058	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-116	Ted Urbanek	Easter Currents Distributing Ltd.	13-May-2013	01-Apr-2013	23-Feb-2017	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-124	Andrew Etcovitch	Canadian Forest Navigation Co. Ltd.	17-May-2013				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-125	Roderick W. Kirkham	GJS Capital Corp./Gregg Sedun	29-Apr-2013				\$ -	\$ 43,265	\$ -	\$ 46,735	\$ 90,000	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-130	Malcom Kronby	Mirek Planeta	26-Jun-2013	20-May-1997	15-Oct-2013	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-131	David Ross	Michael Eider	25-Jun-2013	15-Apr-2013	17-Sep-2013	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-132	Catherine Roberts	Karin Lando	26-Jun-2013		11-Dec-2013	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-133	Robert Antenore	Potentia (001093 - 34 Kern) Limited Partnership	01-May-2013		18-Sep-2013	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-134	Christopher Bennett	EllisDon Corporation; Fengate Capital Management	26-Jun-2013		26-May-2014	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2013-135	Michael Templeton	Canada Trust Company	22-Jun-2013		21-Aug-2014	NCP	\$ -	\$ 11,708	\$ -	\$ -	\$ 11,708	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-009	Michael Paul Whitcombe	Howard Leventhal	30-Jul-2013		17-Mar-2014	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-010	Desmond Balakrishnan	Erin Winczura	16-Aug-2013	10-Aug-2013	22-Dec-2017	NCP	\$ -	\$ 11,795	\$ -	\$ -	\$ 11,795	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-013	W. Brad Hanna	Richard Chmura	29-Aug-2013	21-Aug-2013	13-Jun-2009	NCP	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLLAS2014-017	Samuel Hyman	Dennis Jamie Ostegaard	15-Aug-2013		29-Jul-2016		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -

Law Society																	
Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition	Abbr	LS Indemnity Paid	LS Legal Paid	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLAS Indemnity Paid	CLAS Legal Paid	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Incurred Liability
CLAS2015-100	Ernest Anton Hye	Janda Group Holdings W.L. Ltd.	02-Feb-2015					\$ -	\$ 45,057	\$ 60,000	\$ 29,943	\$ 135,000	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2015-115	Anthony Reid	Neighbours of former clients, Blackfords	01-May-2015	24-Feb-1993	25-Oct-2016			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2015-131	Andrew Stirling	St. George's Trust Company Ltd	19-Jun-2015	07-Apr-2015	21-Jan-2016	NCP		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2015-140	Adam Chisholm	Pembroke Insurance Company	26-Jun-2015	26-Aug-2009	12-Jan-2017	NCP		\$ -	\$ 7,681	\$ -	\$ -	\$ 7,681	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2015-141	Aron Philip Hochhauser	Mary Lou Davis	02-Jun-2015		30-May-2017			\$ -	\$ 13,091	\$ -	\$ -	\$ 13,091	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-014	David Wentzell	EquiGenesis Corporation et al.	19-Aug-2015	15-Jul-2009				\$ -	\$ -	\$ 350,000	\$ 650,000	\$ 1,000,000	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-020	Desmond Balakrishnan	Evolving Gold Corp	27-Aug-2015		15-Dec-2016	NCP		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-054	Max / Andrew Mendelsohn / Eteovitch	Alissa Rappaport	02-Dec-2015					\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-070	Katherine E. G. Van Nostrand	Gener8 Digital Media Services Ltd	11-Dec-2015		30-Jun-2016			\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-079	David Evan Slan	Sunrise Valley Holdings Corp, Jode Lotus Holdings Corp, Monaca SCP	18-Jan-2016	26-Oct-2015				\$ -	\$ 16,320	\$ -	\$ 316,538	\$ 332,858	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-082	Hannah McDonald	Carcross Tagish First Nation Lanahin Trust	26-Jan-2016	30-Oct-2014	23-Aug-2017	NCP		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-083	Anthony Knight	Hugh Archibald Magee, Sherri Leeanne Magee	21-Jan-2016	16-Jun-2014	30-Apr-2018	NCP		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-090	Dan Shouldice	Daulat Halani	26-Jan-2016		30-Sep-2016	NCP		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-104	Navnit Duhra	OS0502 B.C. Ltd.	09-Mar-2016		30-Apr-2018	NCP		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-105	Navnit Duhra	Champion Development Group Inc	12-Feb-2016		28-Aug-2018	NCP		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-115	Ryan J. Black	Bit Stew Systems Inc.	30-Mar-2016		28-Dec-2016	SIP		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-118	Lindsay David Goldberg	Michael William Fountain	18-Mar-2016					\$ -	\$ -	\$ 15,000	\$ -	\$ 15,000	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-122	Ryan Black	Shareholders of Bit Stew	30-Mar-2016	01-May-2015	28-Dec-2016	SIP		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-145	Bruce Chapple	Alignvest Private Debt Holdings Inc.	25-May-2016	13-May-2016	31-Oct-2016	NCP		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-157	Eric B. Friedman	Toronto Port Authority	22-Jun-2016	16-Jul-2014	03-Oct-2016	NCP		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-168	Peter J. Major	Split Brook Farm	30-Jun-2016		25-Jan-2017	NCP		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-169	Candy Salja	Integrated Team Solutions WGH Partnership	29-Jun-2016		28-Aug-2018	NCP		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2016-170	David Cowan	Bellhaven Copper & Gold	29-Jun-2016	08-Mar-2016	30-Nov-2017	NCP		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-013	Geza R. Barfai	Black & McDonald Ltd, Black & McDonald Bermuda Ltd	07-Aug-2016	01-Dec-2010				\$ -	\$ -	\$ -	\$ 10,000	\$ 10,000	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-015	Patrick J. Phelan	Heritage Education Funds Inc.	03-Aug-2016					\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-016	Scott Malmgren	Michael Louis Voisin	09-Aug-2016	01-Nov-2015	07-Mar-2017	NCP		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-017	Eric B. Friedman	The Estate of Frank Calderone by its Estate Trustee	11-Aug-2016					\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-022	Andrea Orr	First National Financial	29-Aug-2016	13-Jul-2016	27-Sep-2016	NCP		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-023	Patrick A. Thompson	Ihor Birka	29-Aug-2016	01-Feb-2016	08-Dec-2016	NCP		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-030	LUIGI MACCHIONE	Kilmer van Nostrand Co. Limited	09-Sep-2016	16-Mar-2016	28-Nov-2016	NCP		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2017-044	Jason J. Annibale	Far East Aluminum Works	28-Sep-2016	09-Nov-2015				\$ -	\$ -	\$ -	\$ 53,750	\$ 53,750	\$ -	\$ -	\$ -	\$ -	\$ -

Claim No	Insured	Claimant	Report Date	Error Date	Close Date	Claim Disposition	Abbr	Law Society									
								LS Indemnity Paid	LS Legal Reserve	LS Indemnity Reserve	LS Legal Reserve	LS Incurred Liability	CLAS Indemnity Paid	CLAS Legal Reserve	CLAS Indemnity Reserve	CLAS Legal Reserve	CLAS Incurred Liability
CLAS2018-116	David Cowan	Eastern Platinum	21-Jun-2018					\$ -	\$ -	\$ 300,000	\$ 500,000	\$ 800,000	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-117	David Dunlop	Peraton, Inc.	22-Jun-2018	11-Jan-2017				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-121	Darrell William Podowski	Huiyong Holdings (BC) Ltd	28-Jun-2018					\$ -	\$ -	\$ 100,000	\$ 30,000	\$ 130,000	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-124	Barbara Collins	The Green Organic Dutchman Holdings Limited	27-Jun-2018					\$ -	\$ -	\$ 300,000	\$ 200,000	\$ 500,000	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-133	David Paul Dahlgren	ProAv International Aviation Services Corporation	26-Jun-2018		28-Dec-2018	NCP		\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2018-135	Darcy Ammerman	The Co-operators Group	31-Jul-2018	28-Mar-2018				\$ -	\$ -	\$ -	\$ 25,000	\$ 25,000	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2019-012	Emile Catimel-Marchand	Far East Aluminum Works, Gamma Windows and Walls International	17-Aug-2018	13-Aug-2018				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2019-015	Patrick J. Phelan	Onofrio Loduca, Jason Maguire, Scott McIndless	28-Aug-2018	22-Dec-2017				\$ -	\$ -	\$ -	\$ 10,000	\$ 10,000	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2019-019	Laure Brazil	ERIKS Industrial Services ULC, ERIKS Industrial Services LP	11-Sep-2018	27-Jul-2018				\$ -	\$ 4,573	\$ -	\$ 15,427	\$ 20,000	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2019-020	Caileen Hartert	Peter Shields	05-Sep-2018					\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2019-024	Annik Forristal	Guojun Guo, Jia Wang	24-Sep-2018					\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2019-028	Ernest Anton Hee	Corporate Benefit Analysis	22-Aug-2018					\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2019-033	Andrew Etcovitch	2161815 Ontario Inc., 1066167 Ontario Inc., Paul C. Gollin, Paul A.	04-Oct-2018	15-Oct-2007				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2019-039	J. David Wake	Margaret Rose Slimmer, Barry Slimmer	17-Oct-2018	06-Jun-1994				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2019-044	Sean O'Neill	Adustus Investment Management Ltd., Mark Hrehorsky	08-Nov-2018					\$ -	\$ -	\$ -	\$ 50,000	\$ 50,000	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2019-045	Jason J. Annibale	Ikea North America Services, LLC, Larsson & Scheutzel P.C.	20-Nov-2018	09-Nov-2018				\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
CLAS2019-051	L. Michael Shannon	Ryan Mortgage Income Fund Inc.	29-Nov-2018					\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -	\$ -
Total								\$ 3,358,373	\$ 3,810,468	\$ 1,185,000	\$ 2,392,374	\$ 10,783,283	\$ -	\$ 806,610	\$ -	\$ -	\$ 641,610

APPENDIX G

RISK MANAGEMENT POLICIES AND PROCEDURES

Name of Firm: McMillan LLP

Please provide a full description of the Firm's most current risk management policies and procedures or, if appropriate, an update to your response to Appendix G of last year's renewal application.

1. A list of risk management policies was set forth in the 2012/2013 application.
2. In 2015 the Firm put in place an Anti-Corruption Policy
3. In 2017 the Firm put in place a Mobile Device Policy to address the protection and maintenance of firm information assets, networks and systems.
3. In 2017 the Firm put in place the following 3 Policies:

Data Classification & Retention Policy
Information Security Policy
Acceptable Use Policy

2018 VANCOUVER & CALGARY SUMMER STUDENT ORIENTATION

Week 1: May 7 – 11, 2018

DATE	TIME/LOCATION	TOPIC/EVENT
Monday, May 7	9:00–10:30 a.m. Lang Michener Boardroom	Welcome Breakfast, What to Expect from Summer at McMillan & Professionalism Facilitator: Ilana Schrager
	10:30–11:00 a.m.	BREAK
	11:00 a.m.–12:00 p.m. Lang Michener	How to Get Things Done at the Firm: HR Matters & Firm Tour Facilitator: Amy Le
	12:00–1:30 p.m. Lang Michener	Welcome Lunch with your Principals & Ilana Schrager
	1:30–3:15 p.m. Training Room (15 th floor)	IT Training: McMillan Workspace & Technology Facilitator: Michael McGinn
	3:15–3:30 p.m.	BREAK
	3:30–5:00 p.m. Lang Michener	How to Have a Successful Summer: Tips from Those Who have Gone Before Facilitators: Natalie Cuthill, Becky Rock, Lindsay Dykstra & Ilana Schrager
	5:00–6:00 p.m. Grain @ The Hyatt	Debrief with Lawyers from How to Have a Successful Summer (Natalie Cuthill, Becky Rock, Lindsay Dykstra)
Tuesday, May 8	9:00–10:00 a.m. Training Room	Library Orientation & Introduction to Firm Research Sources & Knowledge Management Facilitator: Eve Leung
	10:00–10:15 a.m.	BREAK
	10:15–10:45 a.m. Training Room	Library Online Module [on your own]
	10:45–11:00 a.m.	BREAK
	11:00 a.m.–12:30 p.m. Training Room	Library Training: Secondary Sources Part 1 Facilitator: Eve Leung
	12:30–12:45 p.m.	BREAK
	12:45–1:45 p.m. Lang Michener	Lunch & Learn: Docketing Facilitator: Ilana Schrager

DATE	TIME/LOCATION	TOPIC/EVENT
	1:45-2.00 p.m.	BREAK
	2:00-3:00 p.m. Training Room	IT Training: Docketing & inTapp Time Facilitator: Michael McGinn
	3:00-3:15 p.m.	BREAK
	3:15-5:00 p.m. Lang Michener	What Every Student Needs to Know about Deals: Part 1 Facilitators: Ryan Black & Mike Reid
Wednesday, May 9	9:00-10:30 a.m. Training Room	Library Training: Secondary Sources Part 2 Facilitator: Eve Leung
	10:30-11:00 a.m.	BREAK
	11:00 a.m.-12:00 p.m. Training Room	IT Training: Email Management & DMS Facilitator: Michael McGinn
	12:00-12:15 p.m.	BREAK
	12:15-1:15 p.m. Fraser Boardroom	Lunch & Learn: How to Get Good Instructions Facilitators: Morgan McDonald & Roland Hurst
	1:15-2:30 p.m. Training Room	Library Training: Primary Sources Part 1 Facilitator: Eve Leung
	2:30-2:45 p.m.	BREAK
	2:45-4:15 p.m. Fraser	Professional Etiquette / Networking Facilitator: Marketta Jokinen
	4:15-5:00 p.m.	BREAK / Vancouver students get settled in offices
	5:00-6:00 p.m. Lang Michener	Welcome Reception
Thursday, May 10	9:00-10:00 a.m. Training Room	Library Training: Primary Sources Part 2 Facilitator: Eve Leung
	10:00-10:15 a.m.	BREAK
	10:15-11:15 a.m. Training Room	Library Training: Wrap Up/Game Show Facilitator: Eve Leung
	11:15-11:30 a.m.	BREAK
	11:30 a.m.-12:15 p.m. Fraser	Own Your Career Facilitator: Ilana Schrager
	12:15-12:30 p.m.	BREAK
	12:30-1:15 p.m. Fraser	Lunch & Learn - Research & Writing: How to Think Strategically Facilitator: Katherine Reilly

DATE	TIME/LOCATION	TOPIC/EVENT
	1:15-1:30 p.m.	BREAK
	1:30-3:00 p.m. Training Room	IT Training: Word 2007 etc. Facilitator: Michael McGinn
	3:00-3:15 p.m.	BREAK
	3:15-4:45 p.m. Training Room	IT Training: cont'd Facilitator: Michael McGinn
	4:45-5:45 p.m.	BREAK / Vancouver students get settled in offices
	6:00-9:00 p.m. Nightingale Restaurant	Dinner with Mentors and Ilana Meet at 5:45 p.m. (15 th floor Reception) to walk over together <u>or</u> meet at restaurant for 6:00 p.m.
Friday, May 11	9:00-9:30 a.m. Lang Michener	Overview of Office Services, Reception & Hospitality Facilitators: Allison Paiger / Malou Angeles & Blayne Alty
	9:30-10:00 a.m. Lang Michener	Working with an Assistant Facilitator: Kirsten Whitley
	10:00-10:15 a.m.	BREAK
	10:15-11:45 a.m. Lang Michener	What Every Student Needs to Know About Deals: Part 2 Facilitators: Ryan Black & Mike Reid
	11:45 a.m.-12:00 p.m.	BREAK
	12:00-1:30 p.m. Lang Michener	Lunch & Learn: Intro to Securities Law Facilitator: Mark Neighbor
	1:30-1:45 p.m. Lang Michener	Meet with Ilana Schrager to discuss Research Memo Assignment
	1:45-2:00 p.m.	BREAK
	2:00-3:30 p.m. Lang Michener	Legal Research & Writing - Anatomy of a Research Memo Facilitators: Louis Zivot & David McInnes
	3:30-5:00 p.m. Lang Michener	Introduction to McMillan Practice Areas Various Facilitators

**2018 TORONTO, OTTAWA and MONTREAL
SUMMER STUDENT PROGRAM**

Date	Time	Seminar or Event
Monday May 7	8:30 a.m. – 9:00 a.m. Rowell II & III	Coffee Mix and Mingle (Eric, Library – Eve, Charlotte, Stephanie, Jude)
	9:00 a.m. – 10:15 a.m. Rowell I	Welcome from Professional Services Committee Co-Chair Eric Friedman How to Have a Successful Summer: What to Expect from Your Summer At the Firm with Marlene Kane and Jude Atwood (75 min.)
	10:15 a.m. – 10:30 a.m.	Break
	10:30 a.m. – 11:30 a.m.	What Every Summer Student Needs to Know About Professionalism with Marlene Kane and Jude Atwood
	11:30 a.m. – 11:45 a.m.	Student Photos
	11:45 a.m. – 12:45 p.m. Rowell II & III	Welcome Lunch (with Marlene Kane and Jude Atwood)
	12:45 p.m. – 1:45 p.m. Rowell I	How to Get Things Done at the Firm: HR Matters and Firm Tour with Carolyn Jay and Andrea Malette, Nisha Rider
	1:45 p.m. – 2:15 p.m.	How to Get things Done at the Firm: Working with Legal Support Services with Annalisa Sorgini
	2:15 p.m. – 2:30 p.m.	How to Have a Successful Summer: What Every Summer Student Needs to Know about Law Clerks with Karen Tuschak (15 minutes)

Date	Time	Seminar or Event
	<p>2:30 p.m. – 2:45 p.m.</p> <p>2:45 p.m. – 4:45 p.m.</p> <p>Training Room</p> <p>Self-Study</p>	<p>Break</p> <p>How to Get Things Done at the Firm: Using McMillan Technology with Technology Team including Orientation for Mobile Devices (to be issued to ALL students by IT</p> <p>Get settled in office</p> <p>Library Survey</p>
Tuesday May 8	<p>9:00 a.m. – 10:30 a.m.</p> <p>Rowell I</p> <p>10:30 a.m. – 10:45 a.m.</p> <p>10:45 a.m. – 11:30 a.m.</p> <p>Rowell I – Toronto</p> <p>11:30 a.m. – 12:15 p.m.</p> <p>Rowell I & Library</p> <p>12:15 p.m. – 1:15 p.m.</p> <p>Rowell II & III</p> <p>1:15 p.m. – 2:15 p.m.</p> <p>Rowell I</p> <p>2:15 p.m. – 2:45 p.m.</p> <p>Rowell I</p> <p>2:45 p.m. – 3:00 p.m.</p> <p>3:00 p.m. – 4:30 p.m.</p> <p>Training Room</p>	<p>How to Have a Successful Summer: Meeting the Firm's Expectations with Marlene Kane and Jude Atwood</p> <p>Break</p> <p>Legal Research and Writing: Research Process and Methodology with Charlotte Conlin</p> <p>Library Orientation and Introduction to Firm Research Sources with Library Team</p> <p>Lunch and Q+ A with Marlene Kane and Jude Atwood</p> <p>Legal Research and Writing: How to Think Strategically with Charlotte Conlin</p> <p>Legal Research and Writing: How to Get Good Instructions from Your Assigning Lawyer with Stephen Brown-Okruhlik and Michelle Boodhoo</p> <p>Break - Snack</p> <p>How to Get Things Done at the Firm: Using McMillan Technology with Technology Team (EMM and DMS)</p>

Date	Time	Seminar or Event
	<p>Self-study</p> <p>5:30 p.m.</p> <p>Sky Lounge</p>	<p>Module for Research Training -Secondary Sources</p> <p>Group Social – Games Night</p>
<p>Wednesday May 9</p>	<p>9:00 a.m. - 10:30 a.m.</p> <p>Rowell I</p> <p>10:30 a.m. – 10:45 a.m.</p> <p>10:45 a.m. – 12:15 p.m.</p> <p>Training Room</p> <p>12:15 p.m. – 12:30 p.m.</p> <p>12:30 p.m. – 2:15 p.m.</p> <p>Rowell I</p> <p>2:15 p.m. – 2:30 p.m.</p> <p>2:30 p.m. – 4:00 p.m.</p> <p>Training Room</p> <p>4:00 p.m. – 4:15 p.m.</p> <p>4:15 p.m.- 5:00 p.m.</p> <p>Rowell I</p>	<p>Legal Research and Writing: The Anatomy of a Research Memo with Lisa Kerbel-Caplan</p> <p>Break</p> <p>Technology Training with Technology Team [One Office Templates & Research Memo]</p> <p>Working Lunch</p> <p>How to Have a Successful Summer: Making a Great First Impression with Evan Thompson and Lauren Ray</p> <p>Break</p> <p>Legal Research and Writing: Secondary Sources Part I with Library Team</p> <p>Break</p> <p>What Every Summer Student Needs to Know About How to Commission a Affidavit with Nicole Rozario</p>

Date	Time	Seminar or Event
Thursday May 10	9:00 a.m. – 11:00 a.m. Rowell I	Legal Research and Writing: How to Analyze the Facts and the Law in Your Research Memo with Lisa Kerbel-Caplan (
	11:00 a.m. – 11:15 a.m.	Break
	11:15 a.m. – 12:15 p.m.	What Every Summer Student Needs to Know About Deals: Part 1 - How to Navigate Deal Agreements with Adriana Rudensky and Laura Giesbrecht
	12:15 p.m. – 12:30 p.m.	Working Lunch
	12:30 p.m. – 2:00 p.m.	What Every Summer Student Needs to Know About Deals: Part 2 – How to Conduct Due Diligence with Marlene Kane, Adriana Rudensky and Laura Giesbrecht
	2:00 p.m.- 2:15 p.m.	Break
	2:15 p.m. – 3:15 p.m.	What Every Summer Student Needs to Know About Deals: Part 3 – How To Be Useful at a Closing with Tayleigh Armstrong [NOTE: includes signature pages, checking definitions, closing books]
	3:15 p.m. – 3:30 p.m.	Break
	3:30 p.m. – 5:00 p.m. Training Room	Legal Research and Writing: Secondary Sources Part II with Library Team
	5:15 p.m. – 6:30 p.m. Sky Lounge	Welcome Reception

Date	Time	Seminar or Event
Friday May 11	9:00 a.m. – 10:30 a.m. Rowell I	Legal Research and Writing: What Every Summer Student Needs to Know About the Elements of Good Writing with Lisa Kerbel-Caplan
	10:30 a.m. – 10:45 a.m.	Break
	10:45 a.m. – 11:45 a.m. Training Room	Legal Research and Writing: Primary Sources with Library Team
	11:45 a.m. – 12:00 p.m.	Working Lunch
	12:00 p.m. – 12:45 p.m. Rowell I	How to Have a Successful Summer: What Every Summer Student Needs to Know about the Business of Law with Marlene Kane
	12:45 p.m. – 2:15 p.m. Training room	InTapp Time: The Mechanics of Docketing with Technology Team (60 min. – increased time to 90 minutes)
	2:15 p.m. – 2:30 p.m.	Break
	2:30 p.m. Training Room	Exercises - Primary Sources Legal Research and Writing: Wrap Up (including Game Show) with Library Team Wrap Up for the Week
Monday May 14	8:30 a.m. – 8:45 a.m. Library	Research Memo Assignment/Instructions with Marlene Kane Independent Work on Research Memos

Date	Time	Seminar or Event
	6:00 p.m.	Research Memos Due – email to your Writing Mentor no later than 6:00 p.m.
Tuesday May 15	2:00 p.m. – 3:00 p.m. (NOT over lunch) Tor – Rowell III Ott – Laurier Mtl – Mont Royal I	Business Research and Resources with Library Team Via video conferencing for OTT & MTL
Wednesday May 16	12:00 p.m. – 1:15 p.m. (includes lunch) 40 A&B North	Introduction to Tax Practice and Research with Ehsan Wahidie, Jonathan Bright and Ashleigh Graden
Thursday May 17	2:00 p.m. – 3:00 p.m. (NOT over lunch) Tor – Rowell I Ott – Laurier	Litigation Research and Resources with Library Team Via video conferencing for OTT
Tuesday May 22	2:00 p.m. – 3:30 p.m. Tor – McMillan Binch Ott – Laurier	Legislative Research with Eve Leung via videoconferencing
Thursday May 24	10:30 a.m. – 12:00 p.m. Training Room (NOT over lunch)	McMillan Standard Agreement Format: How to Prevent a Dozen Document Disasters with Technology Team
Friday May 25	12:00 p.m. – 1:00 p.m. (includes lunch) Lang Michener	How to Have a Successful Summer: Managing Your Practice with Marlene Kane and TBD
Monday May 28	10:30 a.m. – 11:30 a.m. Training Room (NOT over lunch)	Litigation Informs training with Technology Team

Date	Time	Seminar or Event
Tuesday May 29	2:30 p.m. – 3:15 p.m. Lang Michener	How to Have a Successful Summer: Tips From Those Who Have Gone Before You with Shannon Ste. Marie, Guneev Bhinder, and Kelly Kan
Monday June 4	12:00 p.m. – 1:15 p.m. (includes lunch) Tor – McMillan Ott – Laurier Mtl – Mont Royal I	United States Research with Library Team Via video conferencing for OTT & MTL
Tuesday June 5	12:00 p.m. – 1:15 p.m. (includes lunch) McMillan Binch	Introduction to McMillan's National Organizing Groups (NOG's) <ul style="list-style-type: none"> • Business Law • Capital Markets - • Tax - • Regulatory -
Wednesday June 6	9:00 a.m. – 4:00 p.m.	Student Meetings with Lisa Kerbel-Caplan
Friday June 8	12:00 p.m. – 1:15 p.m. (includes lunch) Tor – McMillan Mtl - McMillan	International Research with Library Team Via video conferencing for MTL
Tuesday June 12	12:00 p.m. – 1:15 p.m. (includes lunch) McMillan Binch	Introduction to McMillan's National Organizing Groups (NOG's) <ul style="list-style-type: none"> • FS/Restructuring • CRE • A&E
Wednesday June 13	5:15 p.m. – 6:45 p.m. (includes lunch) Mtl – Mont Royal II Ott – Laurier Tor – McMillan Binch VAN – Fraser Cal – Mannville	Legal Research and Writing: How to Read and Understand Statutes with Charlotte Conlin Via videoconferencing in all offices

Date	Time	Seminar or Event
Thursday June 14	12:00 p.m. – 1:30 p.m. (includes lunch) Binch	Introduction to Securities Laws and Research with Laura Fraser and Ashleigh Graden
Tuesday June 19	2:00 p.m. – 3:00 p.m. TOR – Howland OTT -	Research/Memo Writing: Mid-Summer Check-in with the Library Team
Wednesday June 20	2:00 p.m. – 3:30 p.m. Training room	Technology check-in, review and debrief with L&D
Thursday July 5	10:00 a.m. – 11:00 a.m. Training room	LTC4 Working with Legal Documents Workshop – Assessment 1
Thursday July 5	2:00 p.m. – 3:00 p.m. Training room	LTC4 Time & Billing Workshop – Assessment 1
Thursday July 12	10:00 a.m. – 11:00 a.m. Training room	LTC4 Working with Legal Documents Workshop – Assessment 2& 3
Thursday July 12	2:00 p.m. – 3:00 p.m. Training room	LTC4 Time & Billing Workshop – Assessment 2 & 3
Thursday July 19	10:00 a.m. – 11:00 a.m. Training room	LTC4 Working with Legal Documents – Assessment 1 - 3
Thursday July 19	2:00 p.m. – 3:00 p.m. Training room	LTC4 Time & Billing Workshop – Assessment 1, 2, 3

**PROGRAMME DE FORMATION
ÉTUDIANTS D'ÉTÉ 2018 – MONTRÉAL**

Date	Heure	Activité
Lundi 14 mai	9h – 9h30 Salle Sherbrooke I	Questions administratives avec Franca Gianinni (30 min.)
	9h30 – 10h30 Salle Sherbrooke I	Bienvenue à Montréal et visite du bureau avec Catherine Péloquin (30 min.)
	10h30 – 12h Salle Mont Royal II	Recherche juridique : La Référence (90 min.)
	12h15 – 13h45	Lunch avec vos mentors
	14h – 14h15 (bureau de Shari)	Instructions pour la note de recherche avec Shari Munk-Manel (15 min.)
	14h15 – 18h	Travail individuel sur votre note de recherche
Mardi 15 mai	9h – 12h	Travail individuel sur votre note de recherche
	12h	Envoyer note de recherche par courriel à Shari Munk-Manel
	14h – 15h Salle Mont-Royal I (video conference)	Business Research and Resources with Library Team (60 min.)
	18h-21h 360, rue Champ-de-Mars, Montréal	Atelier de cuisine des étudiants et stagiaires à l'Académie culinaire
Jeudi 17 mai	17h – 19h Cuisine (27 ^e)	Cocktail de bienvenue des étudiants
Lundi 21 mai		CONGÉ

Date	Heure	Activité
Mardi 22 mai	11h-12h Salle McMillan (Webex / videoconference)	Ressources québécoises en recherche juridique avec Danielle Beaudouin et Eve Leung; Visite de la bibliothèque
	12h – 13h15 (incluant le lunch) Salle Sherbrooke I	Gestion de la pratique avec Enda Wong (75 min.)
Mercredi 23 mai	9h – 10h Salle McMillan	Recherche des lois québécoises avec Danielle Beaudouin et Eve Leung
	14h-15h Bureau de Shari	Recherche juridique et rédaction: Corrigé de l'exercice de note de recherche avec Shari Munk-Manel (60 min.)
Jeudi 24 mai	13h – 16h 715 Square-Victoria, bureau 600	Recherche juridique : SOQUIJ (180 min.)
Vendredi 25 mai	8h 30– 10h Salle de formation	Fonctions Workshare Compare et Track Changes avec Shanna Fréchette
Jeudi 31 mai	12h-13h (incluant le lunch) Salle Mont Royal II	Les valeurs du cabinet avec Max Mendelsohn
Lundi 4 juin	12h – 13h30 (incluant lunch) Salle McMillan	United States Research with Library Team (60 min.)
Vendredi 8 juin	12h – 13h30 (includes lunch) Salle Mont Royal I	International Research with Library Team (60 min.)
Mercredi 13 juin	17h15 – 18h45	Legal Research and Writing: How to Read and Understand Statutes with Charlotte Conlin (90 min.)
TBD	9h – 10h	Mid-Summer check-in with Library staff avec Danielle Beaudouin et Eve Leung
Jeudi 5 juillet	8h30 -10h30 Palais de justice (entrée Saint-Antoine)	Visite du Palais de justice avec Mireille Germain

Date	Heure	Activité
Vendredi 6 juillet	12h – 13h30 (incluant le lunch) Salle Mont Royal II	Recherches RDPRM, recherches en vertu de la Loi sur les banques ainsi qu'en matière de faillite, litige et propriété intellectuelle avec Frédérique Gay
Vendredi 13 juillet	12h – 13h30 (includes lunch) Salle Mont Royal II	Comment lire les rapports de recherche avec Frédérique Gay

**2018 OTTAWA
SUMMER STUDENT PROGRAM**

Date	Time	Seminar or Event
Monday May 14	9:00 a.m. – 9:30 a.m.	Welcome to Ottawa, Tour of the Office and Administrative matters with Laura Buder (30 min.)
	9:30 a.m. – 9:45 a.m. Jonathan's office	Research Memo Assignment/Instructions with Jonathan O'Hara
		Independent Work on Research Memos
	12:00 p.m. - 1:30 p.m.	Lunch with your mentor Independent Work on Research Memos
Tuesday May 15	10:30 a.m.	Send your memo by email to Jonathan O'Hara at 10:00 a.m.
	2:00 – 3:00 p.m. Laurier (video conference)	Business Research and Resources with Library Team
Wednesday May 16	9:00 a.m. – 10:00 a.m. Laurier	Small Claims Court Practice & Procedure with Timothy Cullen (60 min)
Thursday May 17	2:00 p.m. – 3:00 p.m. Laurier (video conference)	Litigation Research and Resources with Library Team
	3:30 p.m. – 4:00 p.m. Macdonald	Welcome and other tips with Catherine Péloquin (30 min)
	4:00 p.m. – 5:00 p.m.	Student Welcome Cocktail

Date	Time	Seminar or Event
Friday May 18	10:45 a.m. – 12:00 p.m.	Tour of Courthouse with Kyle Lambert (60min.)
	12:00 p.m. – 1:00 p.m. (lunch at restaurant)	How to Have a Successful Summer: Tips From Those Who Have Gone Before You with Timothy Cullen and Kyle Lambert (60 min.)
Monday May 21		Statutory Holiday
Tuesday May 22	2:00 p.m. – 3:30 p.m. Laurier (videoconference)	Legislative Research with Eve Leung (90 min.)
Wednesday May 23	11:00 a.m. – 12:00 a.m. Jonathan's office	Individual Student Meetings with Writing Mentor
	12:00 a.m. – 1:00 p.m. Laurier	How to Have a Successful Summer: Managing Your Practice with Darcy Ammerman (30 min)
Thursday May 24	9:00 a.m. – 10:00 a.m. Training room	Workshare Compare et Track Changes with Sherry Chen
Monday June 4	12:00 p.m. – 1:30 p.m. (includes lunch) Laurier (videoconference)	United States Research with Library Team (60 min.)
Friday June 8	12:00 p.m. – 1:30 p.m. (includes lunch) Laurier (videoconference)	International Research with Library Team (60 min.)
Wednesday June 13	5:15 p.m. – 6:45 p.m. Laurier (video conference)	Legal Research and Writing: How to Read and Understand Statutes with Charlotte Conlin (90 min.)

**2018 Ottawa Articling Student Program (additional Ottawa training)
Lucas and Marie-Eve**

Date	Time	Topic/Event
Tuesday, August 7	8:30 a.m. – 9:30 a.m. McClung Room	Welcome and Local Administrative Matters with Laura Buder (60 min)
	9:30 a.m. – 10:00a.m.	Settling in your office (30 min)
	10:00 a.m. – 11:30 a.m. McClung Room	Litigation Research Refresher with Library Team (90 min)
	11:30 p.m. – 1:00 p.m.	Lunch with student mentors
	1:00 p.m. – 2:30 p.m. McClung Room	Business Law Research Refresher with Library Team (90 min)
	2:30 p.m. – 3:30 p.m. Training room	Technology Training: WorkShare Compare and Track Changes with Sherry Chen (60 mins)
Wednesday August 8	Details to follow	Joint Ottawa/Montreal Summer Student Fun Day
Thursday, August 9	2:00 p.m. – 3:00 p.m.	Farewell/Welcome Ice Cream Social on the patio
Tuesday, August 13	12:00 p.m. – 13:30 p.m. Restaurant TBD	Tips from those who have gone before you with Timothy Cullen and Kyle Lambert

HORAIRE DE FORMATION – ANDREAS DHAENE ET SIMON PARANSKY

Date	Heure	Lieu	Activité
Mardi 26 juin	9h - 10h	Mont Royal II	Assurances, bénéfices et salaire avec Franca Giannini
	10h - 10h30	Mont Royal II	Formulaires du Barreau du Québec avec Milèna Tomovic
	10h30 - 11h		Installation dans votre bureau
	11h - 11h30		Rappels informatiques avec Shanna Fréchette
	11h30 – 11h45	Mont Royal II	Rencontre IT re blackberry avec Arsène Ekpini
	12h - 13h30		Lunch de bienvenue avec les étudiantes
	13h30 – 14h30	Réception	Conseils pour le stage et tour du bureau avec Catherine Péloquin
	19h – 20h30	Brasserie 4 Origines 1304 St- Patrick	Trivia night
Mercredi 27 juin	12h-13h30		Lunch avec votre mentor
Jeudi 28 juin	17h -19h	Cuisine	Cocktail de bienvenue
Jeudi 5 juillet	8h30 – 10h30	Palais de Justice (Entrée Sainte-Antoine)	Représentations à la Cour et Visite du Palais de justice avec Mireille Germain
Vendredi 6 juillet	12h – 13h30 (incluant le lunch)	Salle Mont Royal II	Recherches RDPRM, recherches en vertu de la Loi sur les banques ainsi qu'en matière de faillite, litige et propriété intellectuelle avec Frédérique Gay

Date	Heure	Lieu	Activité
Vendredi 13 juillet	12h – 13h30 (incluant le lunch)	Salle Mont Royal II	Comment lire les rapports de recherche avec Frédérique Gay
Jeudi 19 juillet	14h-21h	Chalet de Max (Morin-Heights)	BBQ Annuel chez Max Mendelsohn

Mardi 19 janvier	12h – 13h	Sherbrooke II (27e)	Anatomie d'une transaction avec Stephanie Hamelin et Enda Wong
Vendredi 22 janvier	12h – 13h	Sherbrooke II (27e)	Comprendre les clauses essentielles d'un contrat avec Stephanie Hamelin et Enda Wong
Mardi 26 janvier	12h – 13h30	Sherbrooke II (27e)	Tout ce que vous devez savoir sur la vérification diligente avec Stephanie Hamelin et Enda Wong
Mardi 2 février	12h – 13h	Sherbrooke II (27e)	Comment être utile lors d'une clôture et comment préparer des livres de clôtures avec Enda Wong

Articling Student Orientation

Alexander Tyzuk (Office 1430)

Brandon Manhas (Office 1452)

Monday, November 19

9:00 am to 9:45 am

Reception / Macfarlane
Boardroom

Welcome/HR Orientation with Natasha Miroshenko, HR Manager
Meet at Reception

10:00 am to 10:30 am Macfarlane Boardroom

Meet with Ron Harries for mobile device handover and troubleshoot

10:30 am to 11:30 am Lawrence Boardroom

Your Articles with McMillan with Ilana Schrager

12:00 pm to 1:00 pm Fraser Boardroom

Welcome Lunch with Articling Students (Colin, Rosie, John, Marta and Fang)

1:00 pm to 2:00 pm Your offices

Get settled in your office (walk around to say hello; take care of administrative matters; set up voicemail; make sure that email and mobile device work, etc.)

2:00 pm to 3:00 pm Alex - Your office

Technology Refresher Training with Michael McGinn

3:00 pm to 4:00 pm Brandon - Your office

Technology Refresher Training with Michael McGinn

Tuesday, November 20

9:30 am to 11:00 am Macfarlane Boardroom

Library Research Training – Legal Resources Refresher & Litigation Resources with Shannon McLeod

11:00 am to 11:30 am Macfarlane Boardroom

Working with Assistants Refresher Training with Kirsten Whitley and assistants.

1:00 pm to 3:00 pm

Continue to get settled and work on bio for McMillan.ca

3:00 pm to 3:30 pm Stewart Boardroom

Working with Corporate Services with Susan Dhillon

Wednesday, November 21

9:30 am to 10:30 am
Macfarlane Boardroom

Library Research Training – Financial Services/Business Resources with Shannon McLeod

12:00 pm to 1:00 pm
Mosaic Grille and Bar
Restaurant

Welcome Lunch with Principals, Mark Neighbor and Cory Kent
(Meet at Reception)

Thursday, November 22

10:00 am to 10:15 am
(Alex)

and

Meet with photographer for website photo

10:15 am to 10:30 am
(Brandon)

Lang Michener Boardroom

2018 Professional Development Programs – National

January 2018	Program
Monday January 15 12:00 – 1:15 p.m.	Associate BD: Partner Panel with- Andrea Onn, Kathy Martin and Lindsay Lorimer
Tuesday January 16 Vancouver	Leading Client Engagements Part 3: with Steve Armstrong
Thursday - Saturday January 25, 26, 27	McMillan Business Academy Day 1 – Communication Skills (Trevor Currie) Day 2 - in progress Day 3 – Negotiation
Monday January 29 12:00 – 1:15 p.m.	Financial Statements – Part 1 with Michael Friedman
Wednesday January 31 12:00 – 1:15 p.m.	Business Law Associate Training – Acquisitions: Privacy Considerations and Clauses with Lyndsay Wasser

February 2018	Program
Thursday February 1 12:00 – 2:00 p.m.	Performance Management – How to Make the Most of Assessment Meetings with Diana Kawarsky (for mentees) (2 hours Professionalism)
Tuesday February 6 5:15 pm – 7:15 pm	Performance Management – How to Make the Most of Assessment Meetings with Diana Kawarsky (for mentees) (2 hours Professionalism)
Wednesday February 7 12:00 – 1:15 p.m.	Performance Management – How to Make the Most of Assessment Meetings with Diana Kawarsky (for mentors) (1 hour Professionalism)

February 2018	Program
February 7 12:00 – 1:15 p.m. (PST)	Associate Advocacy Training – Matters to Consider Before Drafting any Pleading: Written Advocacy with Caireen Hanert and Katherine Reilly
Monday February 12 12:00 – 1:15 p.m.	Financial Statements – Part 2 with Michael Friedman
Thursday February 15 2:30 – 5:00 p.m.	Western Business Law Clinic – How to Start a Small Business in Ontario with Chris Garrah and Allison Marks
Tuesday February 20 12:00 – 1:15 p.m.	Associate Advocacy Training – Developing the Theory of Your Case with Martin Thompson and Patrick Thompson
Wed February 21 Vancouver	Leading Client Engagements Part 4: with Tim Leishman

March 2018	Program
Monday March 8 12:00 – 1:15 p.m.	Associate Advocacy Training – Pleadings with Brett Harrison (TOR & OTT)
Thursday March 8 3:30 – 5:00 p.m.	New Principal Orientation
Wednesday March 28 12:00 – 1:30 p.m.	Business of Law at McMillan: Part 1 Winning the Work, Doing the Work, Getting Paid for the Work Our Business Model with Suzie Williams and Claire Duckworth

April 2018	Program
Wednesday April 4 12:00 – 1:30 pm	Business of Law at McMillan: Part 2 Financial Metrics with Claire Duckworth

April 2018	Program
April 10	Ottawa – The Art of Selling Yourself with Sophie Audet (1 Professionalism credit)
April 11	Articling Student Workshop – Resilience & Hireback Stressors with Joanne Clarfield Schafer
Thursday April 12 12:00 – 1:00 p.m.	McMillan Corporate Counsel Webcast – How to Handle Allegations of Misconduct in the Workplace with Dave McKechnie and Hilary Henley (1 hour EDI/Professionalism)
Friday April 13	Senior Associate Conference - Business of Law: Running a Law Firm
Friday April 13	Principals' Conference - Tim Leishman Managing People and Matters: The Art of Delegating and Giving Feedback
Saturday April 14	AGM

May 2018	Program
May 7	Summer Student Orientation – Toronto, Ottawa and Montreal
May 28 12:00 – 1:15 p.m.	The Exceptional Lawyer with Geza Banfai

June 2018	Program
June 13 12:00 – 1:15 p.m.	Associate Advocacy Training – Practical Advice and Insights on Arbitration and Mediation with Andrew Stead and Robert Wisner

July 2018	Program
	Intensive Trial Advocacy Workshop
Monday July 30-- Friday Aug 3	Articling Student On-Boarding

September 2018	Program
Tuesday September 4	New Associate Orientation
Wednesday - Friday Sept 5-7	McMillan Business Academy Day 1 – Communication Skills Day 2 - Financial Statements Day 3 – Negotiation
Wednesday Sept. 12	Starting Strong with Joanne Schaefer (Resilience for TOR Articling Students)
Friday September 21 12:00 p.m. – 1:30 p.m.	Relativity – Fact Manager Training with Christopher Purdon
Wednesday Sept. 26 12:30 p.m. – 2:00 p.m. (Western Campus)	Western Business Law Clinic - Incorporation and Organization Workshop
Friday September 28 12:00 p.m. – 1:00 p.m.	Career Development Coaching Program Launch with Jude Atwood

October 2018	Program
Monday October 1 5:00 p.m. – 6:30 p.m. Principals	Equity Partnership – Realities and Rewards with Teresa Dufort and Bruce Chapple
Tuesday October 2 12:00 p.m. – 1:30 p.m. Associates	Equity Partnership – Realities and Rewards with Teresa Dufort and Bruce Chapple
Wednesday October 3 12:00 p.m. – 1:30 p.m.	Resilience for Associates with Margie Shore (All Associates except New Associates) (1.5 hours Professionalism) (TOR)
October 9	Relativity – Case Dynamics Training with Chris Purdon
Friday October 12 12:00 p.m. – 1:00 p.m.	First Year Fridays (New TOR Associates only)
October 11	Relativity – Case Dynamics Training with Chris Purdon

November 2018	Program
Friday November 2 12:00 p.m. – 1:00 p.m.	RBC Financial Planning one-on-ones on Friday Nov 16 and 23

November 2018	Program
November 12 12:00 p.m. – 1:15 p.m.	Associate Litigation Training – Oral Advocacy with Jeffrey Levine and Calie Adamson
November 15 12:00 p.m. – 1:30 p.m.	Audit Response Refresher with Rob Scavone and Marlene Kane
November 16 3:00 p.m. – 5:00 p.m.	Western Business Law Clinic Workshop: Drafting Litigation Proof Contracts with Marlene Kane and Caroline Samara
Wednesday November 21 12:00 p.m. – 1:30 p.m.	Associate BD – Networking: In Person and Online with GrowthPlay
Friday November 23	First Year Fridays (New TOR Associates only)
November 28 8:00 a.m. – 9:30 a.m.	Corporate Counsel EDI – Understanding Gender at Work with Delee Fromm (1.5 EDI hours – accredited by LSO)

December 2018	Program
December 12	Client Webcast: Audit Inquires

Year-round programs	
e-Learning	Risk Management (1.5 hours Professionalism)
e-Learning	IT Security (1 hour Professionalism)

APPENDIX H
CYBER LIABILITY

Name of Firm: McMillan LLP

1. Personnel

- a) Do you have a Chief Security Officer or Chief Information Security Officer or equivalent? ☒ yes ☐ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Security Policies?

Director of Information Security & Governance

- b) Do you have a Chief Privacy Officer or equivalent? ☒ yes ☐ no

If "no", who within the Firm is responsible for the management of and compliance with the Firm's Privacy Policies?

2. Protection

- a) Do you use encryption tools to enhance the integrity and confidentiality of confidential information?
☒ yes ☐ no ****based on risk and where appropriate**

If you use encryption tools, in which scenarios is data encrypted? (Check all statements that you believe are applicable.)

- ☐ Data at rest
☒ Data in transit
☐ Data transferred to removable media (laptops, CD's, backup tapes, USB devices, etc.)
☐ None of the above

- b) Do you use and regularly update industry-standard antivirus software? ☒ yes ☐ no
- c) Do you install the latest software updates to reduce security vulnerabilities? ☒ yes ☐ no
- d) Do you require that passwords be a minimum length and contain alpha and numeric characters?
☒ yes ☐ no
- e) Do you require that passwords be regularly updated? ☒ yes ☐ no
- f) Do you check to make sure that no spyware or adware resides on your computers? ☒ yes ☐ no
- g) Do you use and regularly update industry-standard firewall protection systems to prevent unauthorized access to internal networks and computer systems? ☒ yes ☐ no
- h) Is the data on your servers encrypted? ☐ yes ☒ no ***selected critical data/servers**

- i) Is the data on your desktop and laptop computers encrypted? ☒ yes ☐ no *laptop computers only
- j) Is the data on your mobile devices encrypted? ☒ yes ☐ no
- k) Have predesignated computer system/application access rights and privileges been set for all authorized users? ☒ yes ☐ no
- l) Is there hourly or daily automatic backup of documents and emails? ☒ yes ☐ no
- m) Is there hourly or daily automatic backup of your firm-wide tickler system and/or your lawyers' own personal tickler systems? ☒ yes ☐ no
- n) Are backups stored off-site at a secure location? ☒ yes ☐ no
- o) Do you use software that can be used to wipe laptops and mobile devices clean if they are misplaced or stolen? ☒ yes ☐ no
- p) Do you use software that can detect unauthorized transfers of personal information and unauthorized copying of files? ☐ yes ☒ no
- q) Do you use a metadata scrubber on documents that you transmit to clients or third parties such as opposing counsel? ☒ Most of the time ☐ Occasionally ☐ Never

3. Incident Response

Do you have a written network security incident response plan? ☒ yes ☐ no

If "yes": McMillan is in the process of reviewing key aspects of its Incident Response Plans

- a) Does it include alternative options should a critical third party outsourcing provider's operations be incapacitated? ☒ yes ☐ no
- b) Does it include procedures to alert your clients that their data may have been compromised? ☒ yes ☐ no

4. Policies

- a) Do you maintain a comprehensive information security and privacy policy that is updated and enforced on a continuous basis? ☒ yes ☐ no
- b) Do you advise your lawyers of the risks of using unencrypted email? ☒ yes ☐ no
- c) Does your firm advise your lawyers of the dangers of metadata? ☒ yes ☐ no
- d) Do you purchase insurance other than CLLAS coverage to protect you in the case of privacy breaches? ☒ yes ☐ no
- e) Do you purchase insurance other than CLLAS coverage to protect you in the case of cyber-attacks? ☒ yes ☐ no



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Online 2019 Firm Summary Form

[FAQs](#)

NOTE: For the purposes of this Application, **LAWYER** means each person who holds a Class L1 licence pursuant to the by-laws of the *Law Society Act*.

Please review carefully. To make further changes or corrections before submitting, click on the Tabbed section of the application. Press the '**Submit**' button at the end of this review to complete the online filing.

A964520 MCMILLAN LLP

Instructions	Firm Information	Member List	Coverage Options	Payment Information	Warranty & Signature
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General LAW FIRM Information

- 1. Name of LAW FIRM:** MCMILLAN LLP

Address: #4400 - 181 BAY ST
BROOKFIELD PLACE
TORONTO, ON
M5J 2T3

Phone Number: 416-865-7000

Fax Number: 416-865-7048

E-mail address:

Firm Website(s): www.mcmillan.ca

Managing Partner/LAWYER: 23677J -- TERESA MARIE DUFORT

Office Admin./Manager: NISHA RIDER

Claims Contact: 23125F -- DANIEL VINCENT MACDONALD

CPD Contact: MARLENE KANE

Nature of Law Practice: Partnership - LLP
- 2. Contact name and title:**

Indicate the preferred firm contact for insurance matters.

Name: Dan MacDonald

Title: General Counsel/Chief Risk Officer
- 3. Number of PARALEGAL PARTNER(S) OR SHAREHOLDER(S) (if applicable) and LAWYERS in LAW FIRM in Ontario:**

Indicate the current number of PARALEGAL PARTNER(S) OR SHAREHOLDER(S) (if applicable) and LAWYERS in the LAW FIRM in Ontario.

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- 4. Number of staff in LAW FIRM in Ontario who are not LAWYERS:**

Indicate the current number of staff in the LAW FIRM in Ontario who are not LAWYERS. Include all staff who provide law-related services who are directly or

indirectly employed, whether through management, other companies or otherwise, by the LAW FIRM or by any of the LAWYERS in the LAW FIRM or any spouse, including those EMPLOYEES who are casual or part-time EMPLOYEES. Independent contractors such as bookkeepers, PARALEGALS, researchers, etc. who are not EMPLOYEES of the LAW FIRM should not be included.

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5. Excess liability insurance:

Indicate the name of the insurer and excess liability insurance limits. Limits are in addition to the required \$1 million per CLAIM/\$2 million in the aggregate primary LAWPRO policy limits.

Your excess insurers include:

Canadian Lawyers' Liability Assurance Society

Other insurer: Canadian Lawyers' Liability Assurance Society

Total Excess Liability Limits:

per CLAIM/aggregate above LAWPRO POLICY limits (for all Excess coverage carried)

\$ 219 Million per claim

\$ 219 Million aggregate

6. Volume Billings:

Indicate the **Average Gross Billings per LAWYER** in the LAW FIRM.

Average Gross Billings (select one):

AV13 - Average Gross Billings: \$400,001 - \$500,000

Firm Member List

Listed below are the names and LSO numbers for all partners, associates and/or employed LAWYERS in the LAW FIRM, who are to be included in this application for Professional Liability insurance.

R - LAWYER Removed; **N** - New LAWYER; **U** - LAWYER info Updated.

LSO#	Last Name	First Name	Status
61750W	ADAMSON	ALICE ELINOR CALIE	
41811S	ALLEN	MERVYN DEZONIE ST.CLAVER	
60346K	AMMERMAN	DARCY JUNE EDITH	
45319L	ANNIBALE	JASON JOSEPH	
20488J	AVIS	PAUL JAMES	
22613E	BADALI	GERALD ANTHONY	
17332F	BANFAI	GEZA ROBERT	
72471I	BATES	CHRISTIE JANE CLARK	
50965E	BATHGATE	BENJAMIN MATTHEW	
52088A	BEAUDRIE	GERALD RAYMOND II	
74466Q	BHINDER	GUNEEV	
41628E	BIRD	KEITH DANE	
66196U	BOODHOO	MICHELLE DEVI	
75378A	BOROJENI	ALMA	
61781A	BOSHYK	PAUL DANIEL	
60039L	BRAZIL	LAURA DRYDEN	
66204D	BRIGHT	JONATHAN CHARLES GERARD	
66576P	BROWN-OKRUHLIK	STEPHEN JAMES	
73668A	BRUVELS	NICHOLAS ALEXANDER ROSS	
33173N	BURNS	MICHAEL ANDREW CLARENCE	
31774T	CAMPBELL	ANDREW NEIL	
63582P	CHAD	JOSHUA IAN	
39856J	CHAPPLE	BRUCE ALAN	

43890T	CHERTIN	JASON ALEXANDER
56736M	CHISHOLM	ADAM DONALD HUNT
29813P	CLIFFORD	JOHN FREDERICK
26135J	COLLINS	FRANCIS PAUL
39398E	CONLIN	CHARLOTTE ELLEN
57582G	COOPER	RACHEL AMI
70553D	COUGHLIN	SEAN KENDRICK
67466T	CULLEN	TIMOTHY JOSEPH
28388P	DAVIS	PAUL DESMOND
27262E	DE VUONO	CARLO GIANNI ANTONY
28766S	DEBENHAM	DAVID BISHOP
42013J	DEWAN	RAJEEV
65857F	DI FRANCESCO	STEFANIE ANNE
21625C	DRABINSKY	HOWARD MARK
35439F	DUBE	GEORGES CUDDIHY
60413H	DUDKIEWICZ	DAVID MARK
23677J	DUFORT	TERESA MARIE
29846I	DUNLOP	DAVID ROBERT
31827E	EDMONDSTONE	DANIEL GEORGE
22715J	FLYNN-GUGLIETTI	MARY LOUISE
39059I	FORGIONE	PASQUALE
61495I	FORRISTAL	MARIE ANNIK
72871O	FREEDMAN	JOSHUA LYNTON
31055F	FRIEDMAN	ERIC BRAM
45405A	FRIEDMAN	MICHAEL FRASER
38235F	GARRAH	CHRISTOPHER JAMES
60439R	GEBERT	JEFFREY PHILIP
41681T	GIDDENS	PETER DOUGLAS
63666B	GIESBRECHT	LAURA CLAIRE
19889L	GLASS	ROBERT EDWARD
68423U	GORDON	SAMANTHA LYNN
67044K	GOTFRIED	ADAM NEAL
32272T	GRENIER	GLENN
54480O	GROOM	PATRICK MICHAEL RORY
28449C	GROOM	SHARON ELIZABETH
45965G	HALLADAY	CASEY WILTON
58590T	HAN	JULIANA
35899V	HANNA	WILLIAM BRADLEY
44336A	HARRISON	BRETT GAVIN
75539A	KAN	KELLY HOI YEE
18484O	KENT	ANDREW JOHN FRANCIS
23096P	KENT	DAVID WILLIAM
72270J	KHALILI	SHAHRAM
67818B	KOCZERGINSKI	MITCHELL SEAN
30203M	KRUPA	HENRY JOHN
28811M	KUBRICK	GEOFFREY CHARLES
75099O	LAKING	ALAN JOHN
63416F	LAMBERT	KYLE MICHAEL
75108Q	LEMAJIC	ALEXIS NICOLE
55582H	LEVINE	JEFFREY ANDREW
68163D	LIPTON	JESSICA CARA
43433O	LORIMER	HEATHER LINDSAY
29568S	LYONS	CAROL VIRGINIA
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45724I	MACNEIL	JANINE MARIE
27671I	MAHONEY	RICHARD JOSEPH
33797R	MAIDMENT	JEFFREY SCOTT
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59529N	MARROCCO	ANDRAE JAMES
46028E	MARTIN	KATHY ABIE
33374M	MARTYN	JOHN SCOTT
75140B	MAVANI	HABIB AZIZ EBRAHIM
49366O	MCKECHNIE	DAVID JAMES GRAEME
25446E	MCNEE	MARGARET CECILIA
25451S	MCWILLIAM	BRUCE NORMAN
36821O	MILLER	TODD ANDREW

46043M	MIRAKIAN	SHAHEN-ARAM
60526C	MIRZA	AHSAN-UDDIN
29616A	MURPHY	TIMOTHY JOHN
36442P	MURRAY	PATRICK WILLIAM
26300E	MUSGROVE	JAMES BURK
71823C	NISKI	MIKOLAJ JAKUB
62608S	O'HARA	JONATHAN PETER
40094E	ONN	ANDREA LYNN
40600O	OPASHINOV	MARK
75195U	PALLOTTA	ANTHONY JOHN
49639N	PARLIAMENT	LISA DIANNE
68221C	PENNINGTON	KRISTEN LYNN
23510O	PETERSEN	RONALD STUART
22856R	PHELAN	PATRICK JOSEPH
52939F	PILCH	LYDIA YVONNE
52658E	RAFI	LEILA
70428L	RANKIN	JEREMY PALMER
27710P	RANKIN	MICHAEL STEPHEN
70727I	RAY	LAUREN ELIZABETH
73021U	RICCHETTI	ALEXANDER ROBERT
43347C	RICHMOND	MICHAEL JONATHAN
32435L	RIGBY	STEPHEN CHARLES ERNEST
35657E	ROGERS	ROBERT DOUGLAS JEFFREY
21103D	ROSS	DAVID NORMAN
43165S	ROSTOM	WAEEL MOSTAFA KAMEL AHMED
23876Q	ROWLANDS	WILLIAM ALLAN
72376V	ROZARIO	NICOLE CHLOE
66457K	RUDENSKY	ADRIANA KRISTINE
60583B	SAGAN	MARIA
63856K	SAMARA	CAROLINE TAHAN
27491Q	SCAVONE	ROBERT MICHAEL
20059R	SCOTT	THOMAS EDWARD
60607A	SHORE	ROBERT JONATHAN
20074C	SIMPSON	JEFFREY BRIAN
38470E	SLAN	DAVID EVAN
74816G	STE MARIE	SHANNON BEVERLEY
51422J	STEWART	CATHERINE BRETT
55687A	STIRLING	ANDREW JOHN
72415F	SUTTON	KAILEY EMMA
73358N	SUVAMINATHAN	VALENTEENA RUBEENA
22247K	TEMPLETON	MICHAEL DAVID
74833G	THOMAS	BRENT BRADLEY
47721T	THOMPSON	MARTIN JAMES
50549R	THOMPSON	PATRICK ANDREW
21158W	THRING	DAVID EDWARD
65741W	TOMBS	ANNA LESLIE ROBINSON
73377F	VINEBERG	PHILIP DE BENEDICTIS
70784H	WAHIDIE	EHSANULLAH
49942F	WASSER	LYNDSAY AVRA
43389Q	WATERS	DONALD MARTIN
51186K	WEERASOORIYA	TUSHARA NILUPUL
18002S	WELLS	PETER EDWARD JOHN
27552D	WHITCOMBE	MICHAEL PAUL
29739M	WILKS	JAMIE MICHAEL
32106J	WILLIS	PETER ALEXANDER JAMES
38069O	WISNER	ROBERT
68950K	WU	WILLIAM SHUANG
72781S	WYPYCH	JONATHAN PAUL VINCENT
17243F	YAKSICH	MICKEY MILAN
60338I	ZHAO	SANDRA XUE
71118M	ZHOU	DAVID HAO
63006F	ZHOU	XUE
72626V	LEE	WONSEOK
11037S	MCDERMOTT	ROBERT KENNETH
51151C	SBROCCHI	SANDRA DANIELLE

R
R
R

Number of LAWYERS practising in the firm: 151

The following LAWYERS are currently listed as members of your firm. However, they may not be automatically included in your firm's 2019 renewal, as additional filings may be required from the individual LAWYERS, as described below:

71092V VALDIVIESO MARIA CRISTINA

EXEMPT: A

This LAWYER has been exempt but has recently been added to your firm listing.

- If the LAWYER has commenced practice with your firm in 2018, the LAWYER must complete an Application form for New Applicants. The LAWYER will be added to the Firm's Renewal filing when the New Applicant's application form is submitted for the LAWYER.
- If this LAWYER is not commencing practice with your firm, please click the "Remove" button to the right of the LAWYER name.

LAW FIRM's Coverage Options

7. DEDUCTIBLE Option:

\$25,000 DEDUCTIBLE applicable to claim expenses, indemnity payments, and/or costs of repairs together

8. Innocent Party Coverage sublimit:

\$250,000 per claim/aggregate

9. Restricted Area of Practice Option: Criminal and/or Immigration Law:

No

10. Real Estate Practice Coverage Option:

Yes

Number of LAWYERS who have REAL ESTATE practice option: 24

LAW FIRM's Premium Payment Options

11. Third-party payor authorization:

The LAWYERS' 2019 insurance premium will be paid by a third party, other than another LAWYER or PARALEGAL PARTNER OR SHAREHOLDER in the LAW FIRM, the LAW FIRM itself, or a management or other company of the LAW FIRM:
No

If the LAWYERS' 2019 insurance premiums will be paid by a third party as described above, the undersigned LAWYER agrees to obtain the consent of the third party authorizing the transaction and providing for the use and disclosure of personal information in accordance with privacy legislation that came into effect on January 1, 2004.

This third party authorization will apply to future policy years, unless LAWPRO is advised in writing otherwise.

12. Instalment Option:

Lump sum payment by cheque, dated and received by February 6, 2019
(eligible for \$50 plus PST per LAWYER discount).

16. Mail/fax your premium payment information:

No

17. 2019 Invoicing Information:

Your firm's 2019 insurance premium invoice will be available in electronic form from this website after the application is processed. A notice will be emailed to the following email address contacts in your firm when your invoice and policy documentation are available to view and download. If the email addresses for any of the following contacts are incorrect or not provided, please update your firm contact information by clicking 'Address Changes' AFTER you submit this application and receive your filing confirmation number.

LAW FIRM Managing Partner/LAWYER: (teresa.dufort@mcmillan.ca)
 LAW FIRM Office Administrator/Manager: (nisha.rider@mcmillan.ca)
 LAW FIRM CPD Contact: (marlene.kane@mcmillan.ca)
 LAW FIRM CLAIMS Contact: (dan.macdonald@mcmillan.ca)
 Filer (as indicated in question 19): (janet.derbyshire@mcmillan.ca)

CLAIM(S) & POTENTIAL CLAIM(S)

- 18.** All members and employees of your LAW FIRM should be canvassed to determine if any member or employee of the LAW FIRM is aware of any CLAIM(S) or POTENTIAL CLAIM(S) of which LAWPRO has not been notified under the Law Society of Ontario program.

Other than CLAIM(S) and POTENTIAL CLAIM(S) of which LAWPRO has been notified under the Law Society of Ontario program, is any present member or employee of the LAW FIRM aware of any CLAIM(S) or POTENTIAL CLAIM(S) that has (have) been or may be made against the LAW FIRM or against any other on whose behalf this Application is submitted?

No

Filer Information

- 19. Name, Phone and E-mail:** (this information may be shared with the LAWYER(s) on whose behalf you are filing.)

Name: Janet Derbyshire
 Phone: 416-865-7297
 E-mail: janet.derbyshire@mcmillan.ca
 Your Comments and/or Suggestions:

A confirmation email will be sent to janet.derbyshire@mcmillan.ca upon submission.

Firm Summary Warranty & Signature

This Declaration shall constitute and form part of the 2019 Application Form for LAWPRO Professional Liability Insurance filed on behalf of each LAWYER in the Law Firm listed in the Member List.

It is understood, warranted and acknowledged that the undersigned LAWYER is authorized to act as agent for the purposes of this insurance on behalf of each of the LAWYERS. The LAWYERS each warrant and acknowledge that the information provided with this Application Form:

- is true and complete, or where estimates are required, that such estimates are reasonable;
- will be relied on by LAWPRO in assessing risk, in offering any terms of insurance and in issuing any policy of insurance;
- will be the basis of and form part of any resulting policy of insurance; and
- the information and options selected on this form should apply for 2019.

LAWYERS not currently carrying LAWPRO professional liability insurance coverage each acknowledge having read the LAWPRO Personal Information Statement for Ontario LAWYERS and PARALEGALS (LICENSEES) (which forms part of this Application Form). The LAWYERS consent to the collection, use and disclosure of personal information in any optional program(s) for which the LAWYERS choose to apply or are to be named as an INSURED/s in, now or in future policy years, in accordance with that Statement.

The LAWYERS each acknowledge his/her own on-going duty, through to the date of policy inception, to advise LAWPRO in writing of any material changes with respect to their practice circumstances.

☒ **Please check this box to evidence your signature for the Warranty Declaration above.**

If you wish to make a further change or correction, click on the appropriate Tab at the top of this form to return to the relevant section of the application. Then Review the application again.

Once you have reviewed your completed form and are satisfied that no additional changes need to be made, proceed as follows:

- *Print a copy of the Application for your records, using the print function in your browser.*
- *If you selected credit card as the payment option, see next section below to complete the submission of this form. Otherwise, click on the 'Submit' button below to e-file your firm application.*
- *You will automatically be provided with a confirmation number once you have submitted your form, to verify that you have successfully completed the e-filing process. Record your confirmation number with your printed application.*

Submit

For more information about this form, please contact LAWPRO Customer Service at: 1-800-410-1013, or (416) 598-5899 in Toronto or via e-mail at service@lawpro.ca.

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My LAWPRO > File Online > Confirmation

My LAWPRO®

LAWPRO Firm#: A964520
Firm Name: MCMILLAN LLP

Your filing has been submitted to LAWPRO Customer Service.
Your confirmation number is:

P2379880
(Filed on: 2018-10-22 11:09:33 AM)

Please record this confirmation number in a secure location as it confirms your filing as follows:

**** Professional Liability Insurance Application - Firm Renewal***

Customer Service will process your application shortly, and your 2019 policy documentation and premium invoices will be made available online for you to view or download, in a secure page on our website, when complete.

Note: The following lawyers are currently listed as members of your firm. However, they may not be automatically included in your firm's 2019 renewal, as additional filings may be required from the individual lawyers, as described below:

LSO#	Last Name	First Name
71092V	VALDIVIESO	MARIA CRISTINA

EXEMPT: A

This LAWYER has been exempt but has recently been added to your firm listing.

- If the LAWYER has commenced practice with your firm in 2018, the LAWYER must complete an Application form for New Applicants. The LAWYER will be added to the Firm's Renewal filing when the New Applicant's application form is submitted for the LAWYER.
- If this LAWYER is not commencing practice with your firm, please click the "Remove" button to the right of the LAWYER name.

Did you know: You may refer to the "E-Filing History" tab on your MY LAWPRO page for a full record of all efilings completed on the LAWPRO website by you or on your behalf within the last 5 years.

Return to My LAWPRO.

For more information about this form, please contact LAWPRO Customer Service at: 1-800-410-1013, or (416) 598-5899 in Toronto or via e-mail at service@lawpro.ca.

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The following LAWYERS are ELIGIBLE for and apply for the Real Estate Practice Coverage option effective January 1, 2019:

Please provide an answer for each member:

LSO#	Last Name	First Name	Yes	No
61750W	ADAMSON	ALICE ELINOR CALIE	<input type="radio"/>	<input checked="" type="radio"/>
41811S	ALLEN	MERVYN DEZONIE ST.CLAVER	<input checked="" type="radio"/>	<input type="radio"/>
60346K	AMMERMAN	DARCY JUNE EDITH	<input type="radio"/>	<input checked="" type="radio"/>
45319L	ANNIBALE	JASON JOSEPH	<input type="radio"/>	<input checked="" type="radio"/>
20488J	AVIS	PAUL JAMES	<input checked="" type="radio"/>	<input type="radio"/>
22613E	BADALI	GERALD ANTHONY	<input type="radio"/>	<input checked="" type="radio"/>
17332F	BANFAI	GEZA ROBERT	<input checked="" type="radio"/>	<input type="radio"/>
72471I	BATES	CHRISTIE JANE CLARK	<input type="radio"/>	<input checked="" type="radio"/>
50965E	BATHGATE	BENJAMIN MATTHEW	<input type="radio"/>	<input checked="" type="radio"/>
52088A	BEAUDRIE	GERALD RAYMOND II	<input type="radio"/>	<input checked="" type="radio"/>
74466Q	BHINDER	GUNEEV	<input type="radio"/>	<input checked="" type="radio"/>
41628E	BIRD	KEITH DANE	<input type="radio"/>	<input checked="" type="radio"/>
66196U	BOODHOO	MICHELLE DEVI	<input type="radio"/>	<input checked="" type="radio"/>
75378A	BOROJENI	ALMA	<input checked="" type="radio"/>	<input type="radio"/>
61781A	BOSHYK	PAUL DANIEL	<input type="radio"/>	<input checked="" type="radio"/>
60039L	BRAZIL	LAURA DRYDEN	<input type="radio"/>	<input checked="" type="radio"/>
66204D	BRIGHT	JONATHAN CHARLES GERARD	<input type="radio"/>	<input checked="" type="radio"/>
66576P	BROWN-OKRUHLIK	STEPHEN JAMES	<input type="radio"/>	<input checked="" type="radio"/>
73668A	BRUVELS	NICHOLAS ALEXANDER ROSS	<input type="radio"/>	<input checked="" type="radio"/>
33173N	BURNS	MICHAEL ANDREW CLARENCE	<input type="radio"/>	<input checked="" type="radio"/>
31774T	CAMPBELL	ANDREW NEIL	<input type="radio"/>	<input checked="" type="radio"/>
63582P	CHAD	JOSHUA IAN	<input type="radio"/>	<input checked="" type="radio"/>
39856J	CHAPPLE	BRUCE ALAN	<input type="radio"/>	<input checked="" type="radio"/>
43890T	CHERTIN	JASON ALEXANDER	<input type="radio"/>	<input checked="" type="radio"/>
56736M	CHISHOLM	ADAM DONALD HUNT	<input type="radio"/>	<input checked="" type="radio"/>
29813P	CLIFFORD	JOHN FREDERICK	<input type="radio"/>	<input checked="" type="radio"/>
26135J	COLLINS	FRANCIS PAUL	<input type="radio"/>	<input checked="" type="radio"/>
39398E	CONLIN	CHARLOTTE ELLEN	<input type="radio"/>	<input checked="" type="radio"/>
57582G	COOPER	RACHEL AMI	<input type="radio"/>	<input checked="" type="radio"/>
70553D	COUGHLIN	SEAN KENDRICK	<input checked="" type="radio"/>	<input type="radio"/>
67466T	CULLEN	TIMOTHY JOSEPH	<input type="radio"/>	<input checked="" type="radio"/>
28388P	DAVIS	PAUL DESMOND	<input type="radio"/>	<input checked="" type="radio"/>
27262E	DE VUONO	CARLO GIANNI ANTONY	<input type="radio"/>	<input checked="" type="radio"/>
28766S	DEBENHAM	DAVID BISHOP	<input type="radio"/>	<input checked="" type="radio"/>
42013J	DEWAN	RAJEEV	<input type="radio"/>	<input checked="" type="radio"/>
65857F	DI FRANCESCO	STEFANIE ANNE	<input type="radio"/>	<input checked="" type="radio"/>
21625C	DRABINSKY	HOWARD MARK	<input type="radio"/>	<input checked="" type="radio"/>

35439F	DUBE	GEORGES CUDDIHY	<input type="radio"/> Yes	<input checked="" type="radio"/> No
60413H	DUDKIEWICZ	DAVID MARK	<input checked="" type="radio"/> Yes	<input type="radio"/> No
23677J	DUFORT	TERESA MARIE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
29846I	DUNLOP	DAVID ROBERT	<input type="radio"/> Yes	<input checked="" type="radio"/> No
31827E	EDMONDSTONE	DANIEL GEORGE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
22715J	FLYNN-GUGLIETTI	MARY LOUISE	<input checked="" type="radio"/> Yes	<input type="radio"/> No
39059I	FORGIONE	PASQUALE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
61495I	FORRISTAL	MARIE ANNIK	<input checked="" type="radio"/> Yes	<input type="radio"/> No
72871O	FREEDMAN	JOSHUA LYNTON	<input type="radio"/> Yes	<input checked="" type="radio"/> No
31055F	FRIEDMAN	ERIC BRAM	<input type="radio"/> Yes	<input checked="" type="radio"/> No
45405A	FRIEDMAN	MICHAEL FRASER	<input type="radio"/> Yes	<input checked="" type="radio"/> No
38235F	GARRAH	CHRISTOPHER JAMES	<input type="radio"/> Yes	<input checked="" type="radio"/> No
60439R	GEBERT	JEFFREY PHILIP	<input type="radio"/> Yes	<input checked="" type="radio"/> No
41681T	GIDDENS	PETER DOUGLAS	<input type="radio"/> Yes	<input checked="" type="radio"/> No
63666B	GIESBRECHT	LAURA CLAIRE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
19889L	GLASS	ROBERT EDWARD	<input type="radio"/> Yes	<input checked="" type="radio"/> No
68423U	GORDON	SAMANTHA LYNN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
67044K	GOTFRIED	ADAM NEAL	<input type="radio"/> Yes	<input checked="" type="radio"/> No
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54480O	GROOM	PATRICK MICHAEL RORY	<input type="radio"/> Yes	<input checked="" type="radio"/> No
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45965G	HALLADAY	CASEY WILTON	<input type="radio"/> Yes	<input checked="" type="radio"/> No
58590T	HAN	JULIANA	<input type="radio"/> Yes	<input checked="" type="radio"/> No
35899V	HANNA	WILLIAM BRADLEY	<input type="radio"/> Yes	<input checked="" type="radio"/> No
44336A	HARRISON	BRETT GAVIN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
75539A	KAN	KELLY HOI YEE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
18484O	KENT	ANDREW JOHN FRANCIS	<input type="radio"/> Yes	<input checked="" type="radio"/> No
23096P	KENT	DAVID WILLIAM	<input type="radio"/> Yes	<input checked="" type="radio"/> No
72270J	KHALILI	SHAHRAM	<input checked="" type="radio"/> Yes	<input type="radio"/> No
67818B	KOCZERGINSKI	MITCHELL SEAN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
30203M	KRUPA	HENRY JOHN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
28811M	KUBRICK	GEOFFREY CHARLES	<input checked="" type="radio"/> Yes	<input type="radio"/> No
75099O	LAKING	ALAN JOHN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
63416F	LAMBERT	KYLE MICHAEL	<input type="radio"/> Yes	<input checked="" type="radio"/> No
72626V	LEE	WONSEOK	<input type="radio"/> Yes	<input checked="" type="radio"/> No
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55582H	LEVINE	JEFFREY ANDREW	<input type="radio"/> Yes	<input checked="" type="radio"/> No
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23125F	MACDONALD	DANIEL VINCENT	<input type="radio"/> Yes	<input checked="" type="radio"/> No
45724I	MACNEIL	JANINE MARIE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
27671I	MAHONEY	RICHARD JOSEPH	<input type="radio"/> Yes	<input checked="" type="radio"/> No

33797R	MAIDMENT	JEFFREY SCOTT	<input type="radio"/> Yes	<input checked="" type="radio"/> No
52754K	MANDEL	JENNIFER RACHEL	<input checked="" type="radio"/> Yes	<input type="radio"/> No
59529N	MARROCCO	ANDRAE JAMES	<input type="radio"/> Yes	<input checked="" type="radio"/> No
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11037S	MCDERMOTT	ROBERT KENNETH	<input type="radio"/> Yes	<input checked="" type="radio"/> No
49366O	MCKECHNIE	DAVID JAMES GRAEME	<input type="radio"/> Yes	<input checked="" type="radio"/> No
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25451S	MCWILLIAM	BRUCE NORMAN	<input checked="" type="radio"/> Yes	<input type="radio"/> No
36821O	MILLER	TODD ANDREW	<input type="radio"/> Yes	<input checked="" type="radio"/> No
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60526C	MIRZA	AHSAN-UDDIN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
29616A	MURPHY	TIMOTHY JOHN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
36442P	MURRAY	PATRICK WILLIAM	<input checked="" type="radio"/> Yes	<input type="radio"/> No
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71823C	NISKI	MIKOLAJ JAKUB	<input type="radio"/> Yes	<input checked="" type="radio"/> No
62608S	O'HARA	JONATHAN PETER	<input type="radio"/> Yes	<input checked="" type="radio"/> No
40094E	ONN	ANDREA LYNN	<input checked="" type="radio"/> Yes	<input type="radio"/> No
40600O	OPASHINOV	MARK	<input type="radio"/> Yes	<input checked="" type="radio"/> No
75195U	PALLOTTA	ANTHONY JOHN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
49639N	PARLIAMENT	LISA DIANNE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
68221C	PENNINGTON	KRISTEN LYNN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
23510O	PETERSEN	RONALD STUART	<input type="radio"/> Yes	<input checked="" type="radio"/> No
22856R	PHELAN	PATRICK JOSEPH	<input type="radio"/> Yes	<input checked="" type="radio"/> No
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52658E	RAFI	LEILA	<input type="radio"/> Yes	<input checked="" type="radio"/> No
70428L	RANKIN	JEREMY PALMER	<input type="radio"/> Yes	<input checked="" type="radio"/> No
27710P	RANKIN	MICHAEL STEPHEN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
70727I	RAY	LAUREN ELIZABETH	<input type="radio"/> Yes	<input checked="" type="radio"/> No
73021U	RICCHETTI	ALEXANDER ROBERT	<input type="radio"/> Yes	<input checked="" type="radio"/> No
43347C	RICHMOND	MICHAEL JONATHAN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
32435L	RIGBY	STEPHEN CHARLES ERNEST	<input type="radio"/> Yes	<input checked="" type="radio"/> No
35657E	ROGERS	ROBERT DOUGLAS JEFFREY	<input type="radio"/> Yes	<input checked="" type="radio"/> No
21103D	ROSS	DAVID NORMAN	<input checked="" type="radio"/> Yes	<input type="radio"/> No
43165S	ROSTOM	WAEI MOSTAFA KAMEL AHMED	<input type="radio"/> Yes	<input checked="" type="radio"/> No
23876Q	ROWLANDS	WILLIAM ALLAN	<input checked="" type="radio"/> Yes	<input type="radio"/> No
72376V	ROZARIO	NICOLE CHLOE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
66457K	RUDENSKY	ADRIANA KRISTINE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
60583B	SAGAN	MARIA	<input type="radio"/> Yes	<input checked="" type="radio"/> No
63856K	SAMARA	CAROLINE TAHAN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
51151C	SBROCCHI	SANDRA DANIELLE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
27491Q	SCAVONE	ROBERT MICHAEL	<input checked="" type="radio"/> Yes	<input type="radio"/> No

20059R	SCOTT	THOMAS EDWARD	<input checked="" type="radio"/> Yes	<input type="radio"/> No
60607A	SHORE	ROBERT JONATHAN	<input checked="" type="radio"/> Yes	<input type="radio"/> No
20074C	SIMPSON	JEFFREY BRIAN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
38470E	SLAN	DAVID EVAN	<input checked="" type="radio"/> Yes	<input type="radio"/> No
74816G	STE MARIE	SHANNON BEVERLEY	<input type="radio"/> Yes	<input checked="" type="radio"/> No
51422J	STEWART	CATHERINE BRETT	<input type="radio"/> Yes	<input checked="" type="radio"/> No
55687A	STIRLING	ANDREW JOHN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
72415F	SUTTON	KAILEY EMMA	<input type="radio"/> Yes	<input checked="" type="radio"/> No
73358N	SUVAMINATHAN	VALENTEENA RUBEENA	<input type="radio"/> Yes	<input checked="" type="radio"/> No
22247K	TEMPLETON	MICHAEL DAVID	<input type="radio"/> Yes	<input checked="" type="radio"/> No
74833G	THOMAS	BRENT BRADLEY	<input type="radio"/> Yes	<input checked="" type="radio"/> No
47721T	THOMPSON	MARTIN JAMES	<input type="radio"/> Yes	<input checked="" type="radio"/> No
50549R	THOMPSON	PATRICK ANDREW	<input type="radio"/> Yes	<input checked="" type="radio"/> No
21158W	THRING	DAVID EDWARD	<input type="radio"/> Yes	<input checked="" type="radio"/> No
65741W	TOMBS	ANNA LESLIE ROBINSON	<input type="radio"/> Yes	<input checked="" type="radio"/> No
71092V *	VALDIVIESO	MARIA CRISTINA	<input type="radio"/> Yes	<input type="radio"/> No
73377F	VINEBERG	PHILIP DE BENEDICTIS	<input type="radio"/> Yes	<input checked="" type="radio"/> No
70784H	WAHIDIE	EHSANULLAH	<input type="radio"/> Yes	<input checked="" type="radio"/> No
49942F	WASSER	LYNDSAY AVRA	<input type="radio"/> Yes	<input checked="" type="radio"/> No
43389Q	WATERS	DONALD MARTIN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
51186K	WEERASOORIYA	TUSHARA NILUPUL	<input type="radio"/> Yes	<input checked="" type="radio"/> No
18002S	WELLS	PETER EDWARD JOHN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
27552D	WHITCOMBE	MICHAEL PAUL	<input type="radio"/> Yes	<input checked="" type="radio"/> No
29739M	WILKS	JAMIE MICHAEL	<input type="radio"/> Yes	<input checked="" type="radio"/> No
32106J	WILLIS	PETER ALEXANDER JAMES	<input type="radio"/> Yes	<input checked="" type="radio"/> No
38069O	WISNER	ROBERT	<input type="radio"/> Yes	<input checked="" type="radio"/> No
68950K	WU	WILLIAM SHUANG	<input type="radio"/> Yes	<input checked="" type="radio"/> No
72781S	WYPYCH	JONATHAN PAUL VINCENT	<input type="radio"/> Yes	<input checked="" type="radio"/> No
17243F	YAKSICH	MICKEY MILAN	<input type="radio"/> Yes	<input checked="" type="radio"/> No
60338I	ZHAO	SANDRA XUE	<input type="radio"/> Yes	<input checked="" type="radio"/> No
71118M	ZHOU	DAVID HAO	<input type="radio"/> Yes	<input checked="" type="radio"/> No
63006F	ZHOU	XUE	<input type="radio"/> Yes	<input checked="" type="radio"/> No

Number of lawyers practising in the firm: 155

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